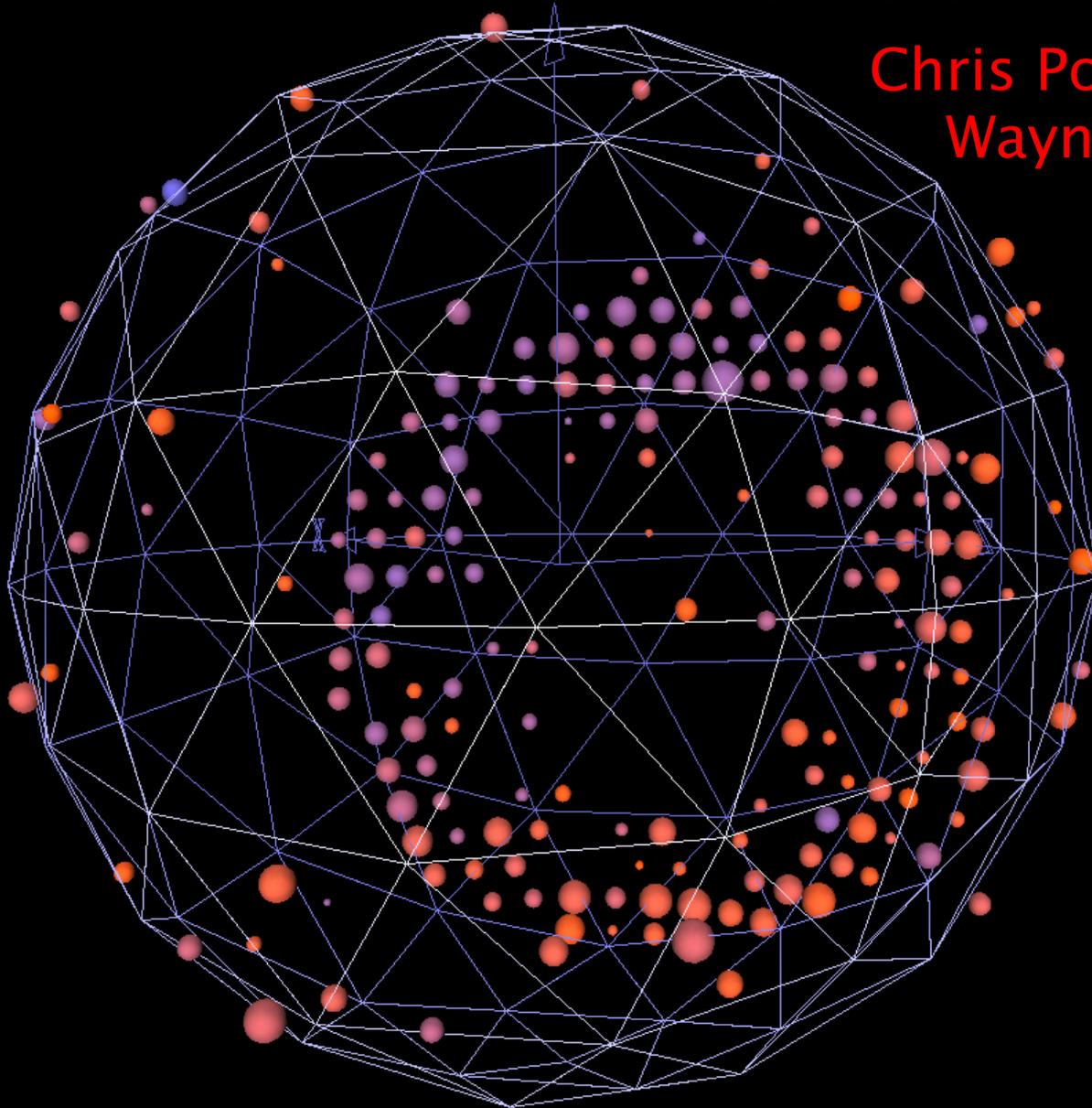


# Neutrino Oscillation Results from the MiniBooNE Experiment

Chris Polly, Indiana University  
Wayne State Colloquium



# The MiniBooNE Collaboration

A. A. Aguilar-Arevalo, A. O. Bazarko, S. J. Brice, B. C. Brown, L. Bugel, J. Cao, L. Coney, J. M. Conrad, D. C. Cox, A. Curioni, Z. Djurcic, D. A. Finley, B. T. Fleming, R. Ford, F. G. Garcia, G. T. Garvey, J. A. Green, C. Green, T. L. Hart, E. Hawker, R. Imlay, R. A. Johnson, P. Kasper, T. Katori, T. Kobilarcik, I. Kourbanis, S. Koutsoliotas, J. M. Link, Y. Liu, Y. Liu, W. C. Louis, K. B. M. Mahn, W. Marsh, P. S. Martin, G. McGregor, W. Metcalf, P. D. Meyers, F. Mills, G. B. Mills, J. Monroe, C. D. Moore, R. H. Nelson, P. Nienaber, S. Ouedraogo, R. B. Patterson, D. Perevalov, C. C. Polly, E. Prebys, J. L. Raaf, H. Ray, B. P. Roe, A. D. Russell, V. Sandberg, R. Schirato, D. Schmitz, M. H. Shaevitz, F. C. Shoemaker, D. Smith, M. Sorel, P. Spentzouris, I. Stancu, R. J. Stefanski, M. Sung, H. A. Tanaka, R. Tayloe, M. Tzanov, M. O. Wascko, R. Van de Water, D. H. White, M. J. Wilking, H. J. Yang, G. P. Zeller, E. D. Zimmerman

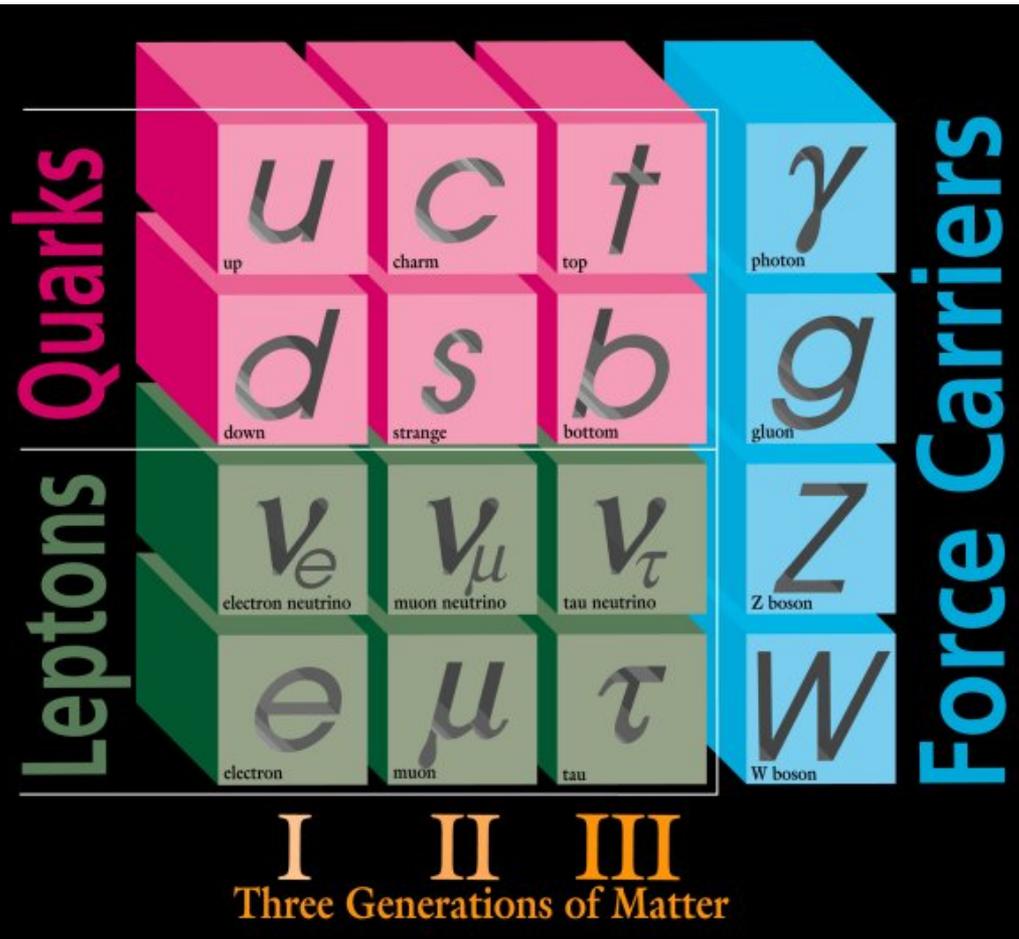


## • Talk Outline:

- ➔ Brief introduction to the Standard Model
- ➔ History of the neutrino
- ➔ Neutrino oscillations
- ➔ The LSND oscillation signal
- ➔ Analysis details and results from MiniBooNE



# The Standard Model building blocks...



## Force carriers

- photons ( $\gamma$ )  $\Leftrightarrow$  electromagnetic
- gluons (g)  $\Leftrightarrow$  strong force
- $W^\pm, Z$  bosons  $\Leftrightarrow$  weak force
- no inclusion of gravity...yet

## Quarks

- Feel all the forces
- Other than gluons, only particles that experience the strong force

## Leptons

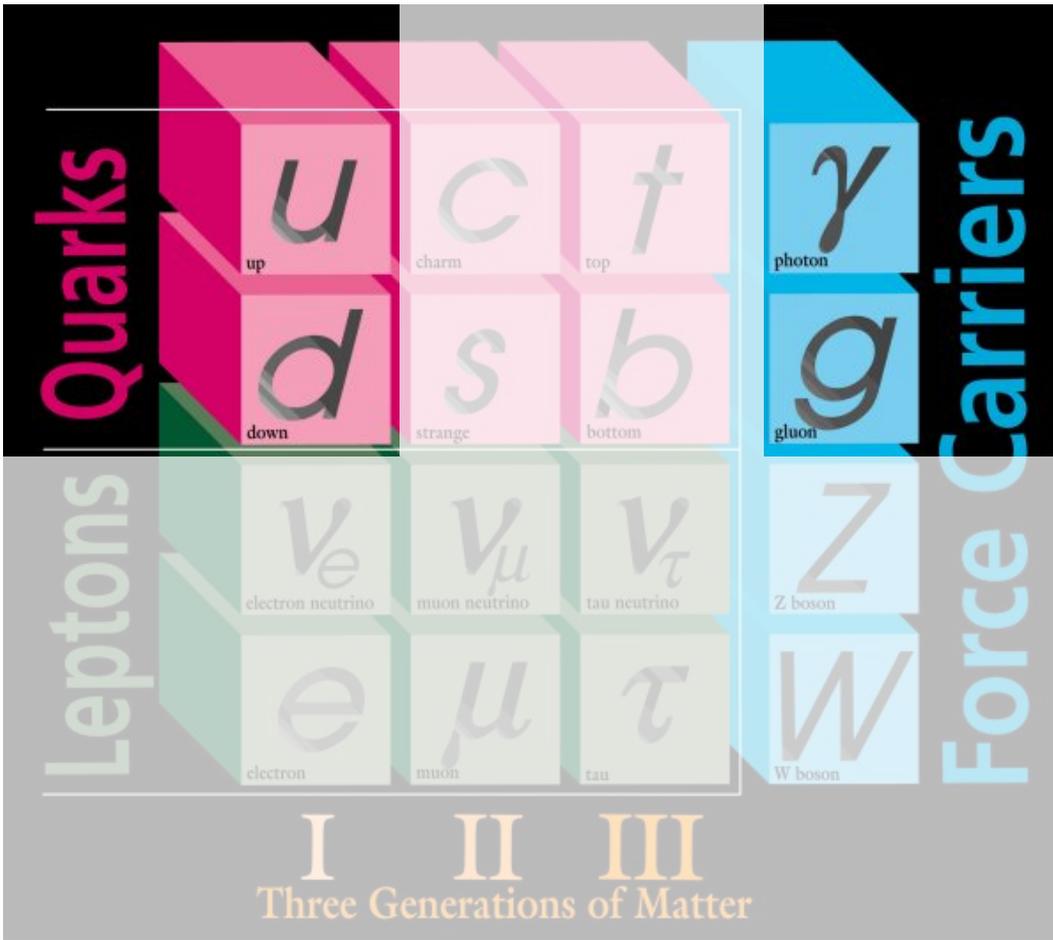
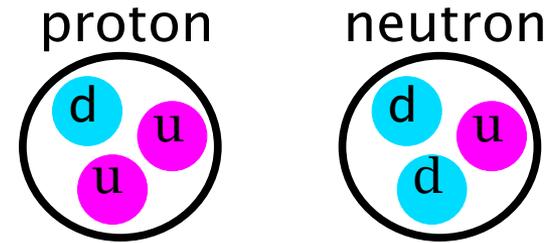
- Charged  $e, \mu, \tau$ 
  - Feel EM and weak
- Neutral  $\nu_e, \nu_\mu, \nu_\tau$ 
  - Interact **ONLY** via weak force

☆ Blocks not explicitly shown: every quark and lepton has an antiparticle, actually 8 gluons, 2 W bosons that differ in their +/-1 charge.



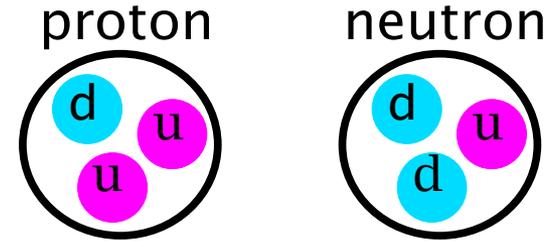
# From simple rules..incredible complexity

- Glue u (+2/3 charge) and d (-1/3 charge) together via strong force

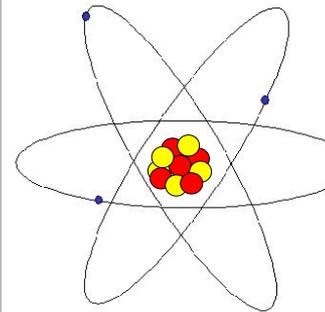


# From simple rules..incredible complexity

- Glue u (+2/3 charge) and d (-1/3 charge) together via strong force



- Combine n, p, and e- and we have nuclear and atomic physics



Periodic Table of the Elements

IA	IIA																IIIA	IVA	VA	VIA	VIIA	VIIIA	0			
1	2																3	4	5	6	7	8	9	10	11	12
2	3																4	5	6	7	8	9	10	11	12	
3	4																5	6	7	8	9	10	11	12		
4	5																6	7	8	9	10	11	12			
5	6																7	8	9	10	11	12				
6	7																8	9	10	11	12					
7	8																9	10	11	12						

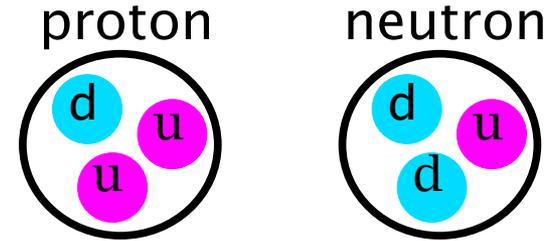
\* Lanthanide Series  
+ Actinide Series

I II III  
Three Generations of Matter

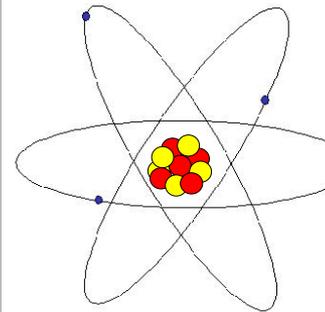


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\* Lanthanide Series  
+ Actinide Series

- Better stop here or we'll find ourselves doing chemistry ⇒ biology ⇒ debating theology!!!

Quarks

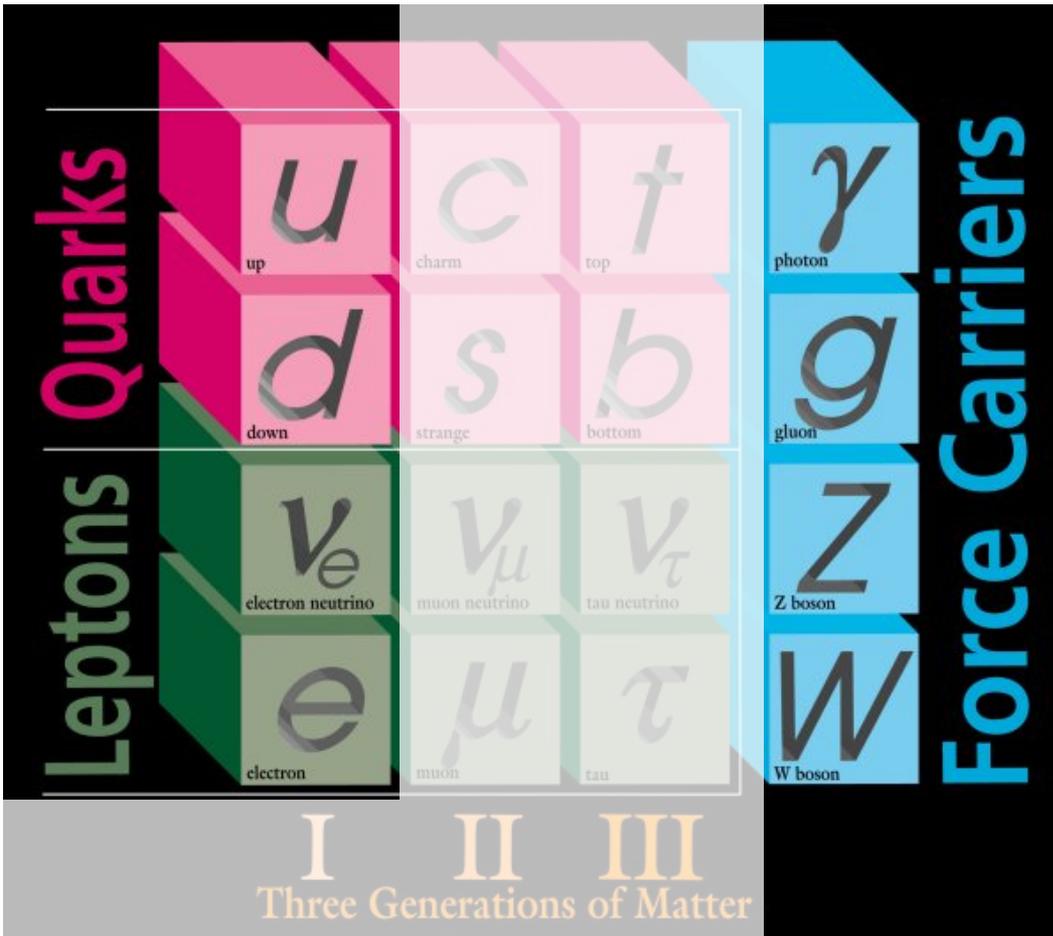
Leptons

Force Carriers

Three Generations of Matter

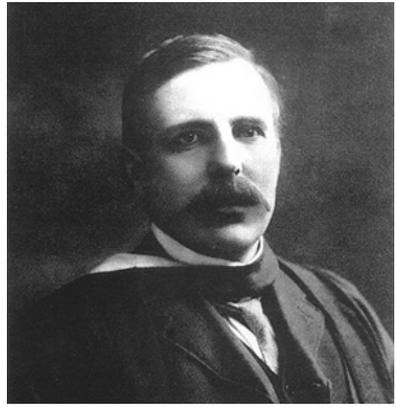


# So where exactly does this weak force and the $\nu$ 's enter?



- First hint was discovered in what we now call **nuclear beta decay**
- In the very early 1900's it was first discovered that nuclei could transform by radiation

Ernest Rutherford



J.J. Thomson



## $\alpha$ decay

Mass of parent nuclei (A) reduced by 4, charge (Z) reduced by 2.



## $\beta$ decay

Mass of parent nuclei (A) unchanged, charge (Z) increased by 1.

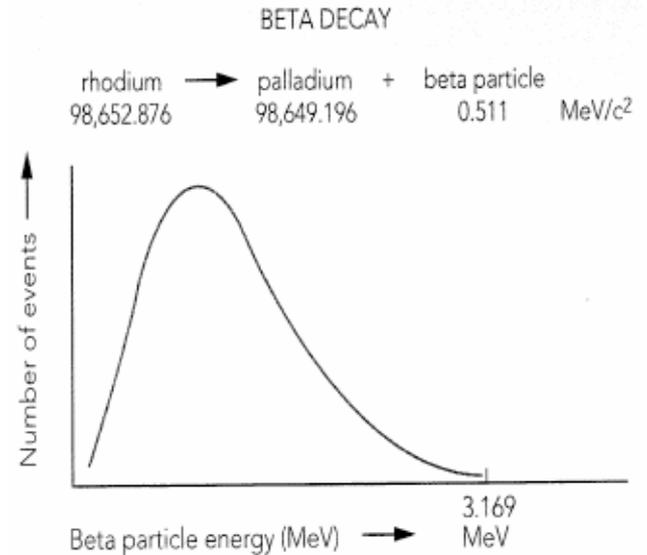
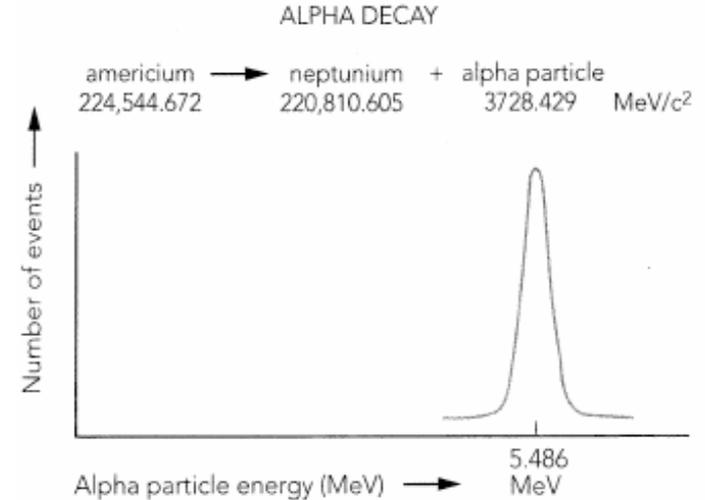


# The mystery of the missing energy

- For simple 2-body decay:  $M \Rightarrow m_1 + m_2$

$$E_1 = 0.5(M^2 - m_2^2 + m_1^2) / M$$

- Emitted  $\alpha$  is monoenergetic, **but  $\beta$  is not!!!**
- Led distinguished luminaries of the day (i.e. Neils Bohr) to postulate violation of energy and momentum conservation



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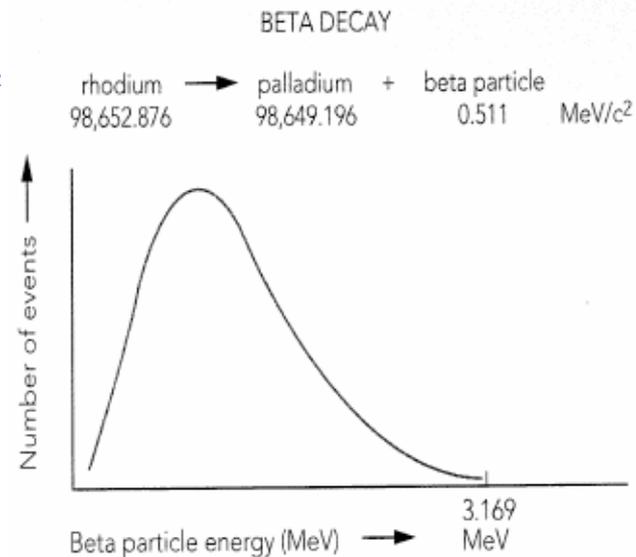
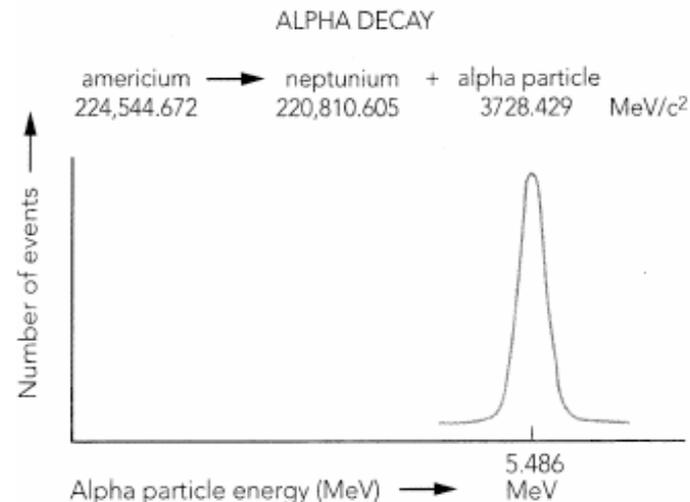
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*"Dear Radioactive Ladies and Gentlemen,  
...as a desperate remedy to save the principle  
of energy conservation in beta decay,...I  
propose the idea of a neutral particle of  
spin half"* W. Pauli 1929

*"I have done something very bad today  
by proposing a particle that cannot be  
detected; it is something no theorist  
should ever do."* W. Pauli 1929

Detective Pauli



★ And so the neutrino was 'discovered'!

# The mystery of the missing energy

- For simple 2-body decay:  $M \Rightarrow m_1 + m_2$

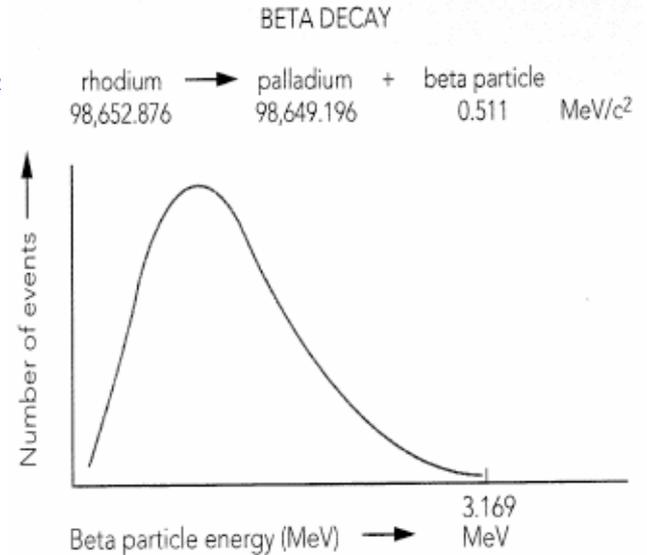
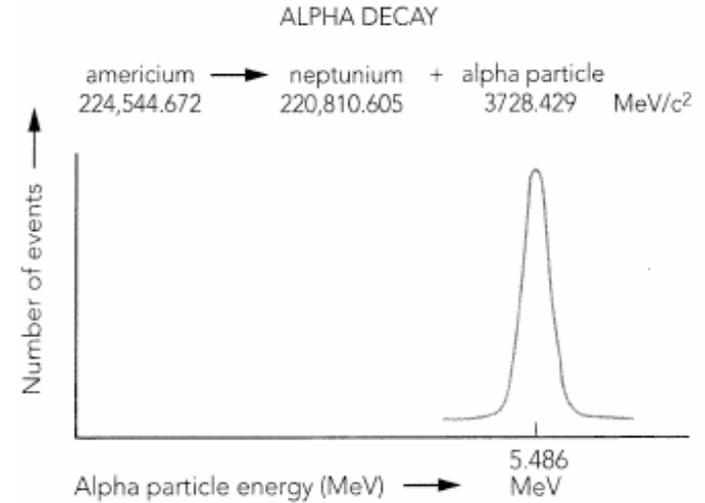
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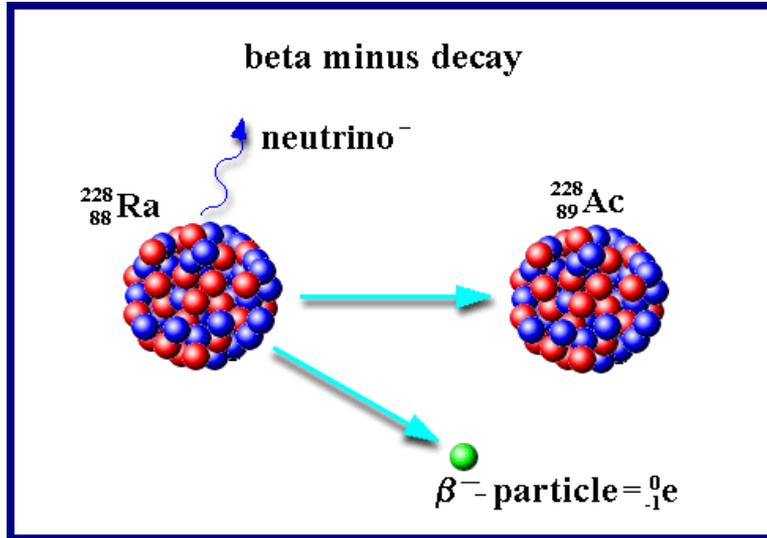
★ And so the neutrino was hypothesized!

Detective Pauli

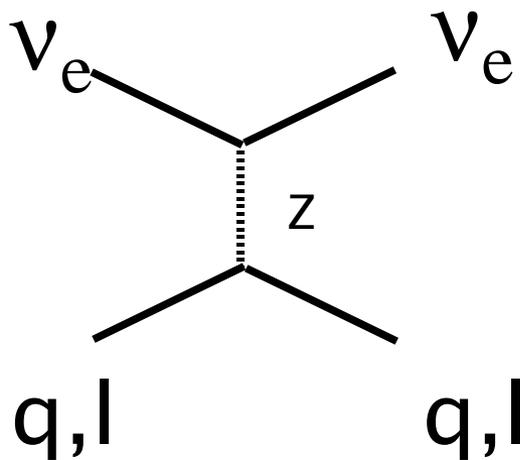
No relation :(



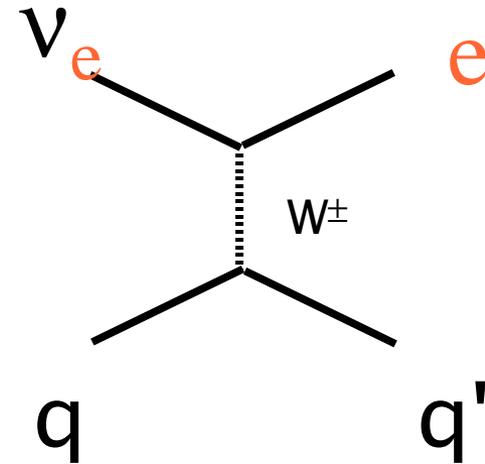
# Fast forward to what we know today



- The missing E in beta decay **IS** carried away by Pauli's phantom particle
- Hard to detect a neutrino directly
  - $\nu$  is neutral, no detection via ionization tracks, Cerenkov, etc.
  - Interacts only via the weak force by exchange a Z or W boson.



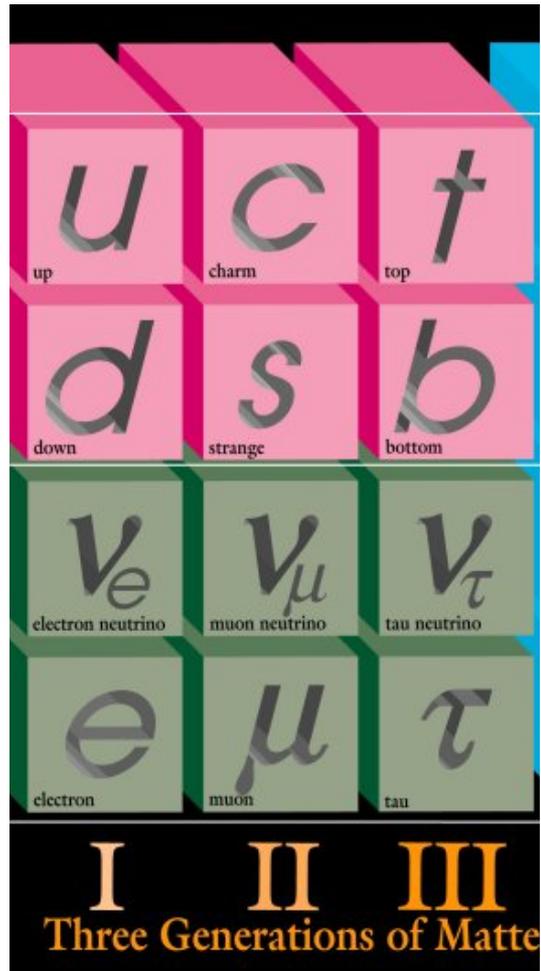
Neutral Current (NC)



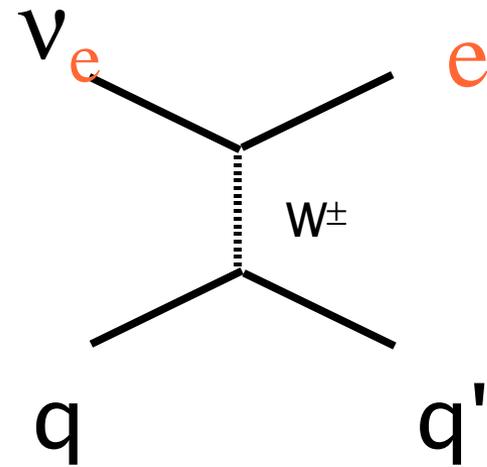
Charged Current (CC)



# The weak force...force of transmutation



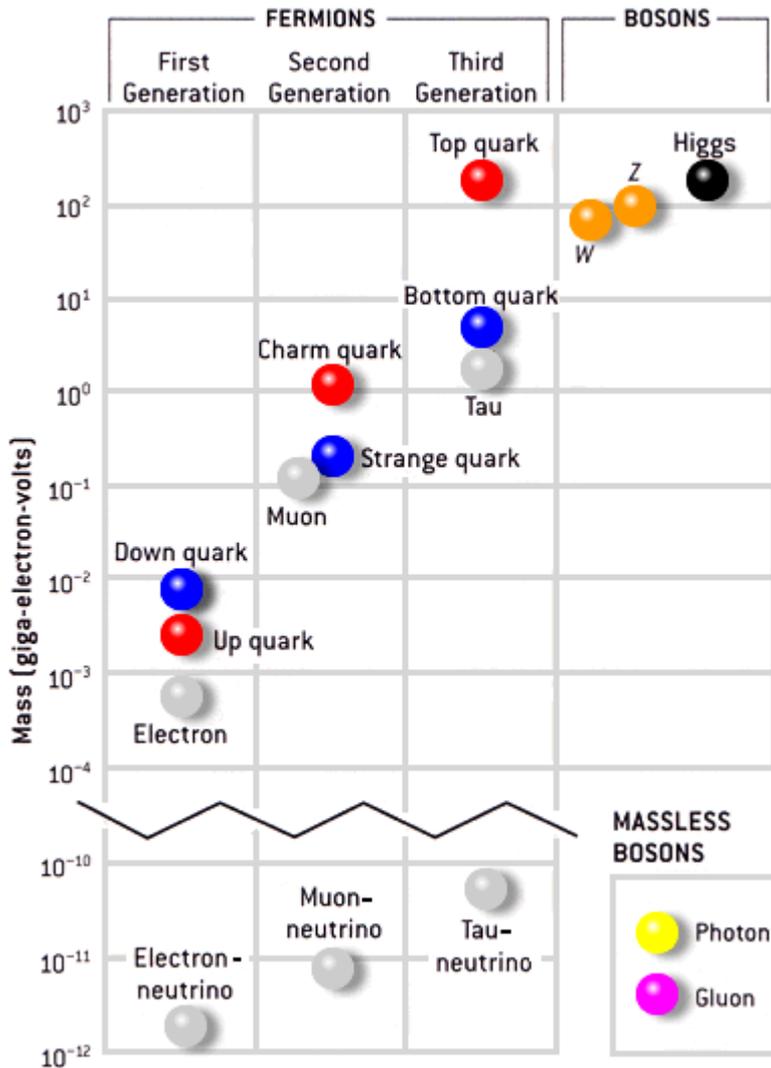
- Makes the weak interaction truly a force of transmutation
  - ➔ The CC channel converts  $\nu$  into their charged alter egos
  - ➔ Converts  $-1/3$  charge quarks into  $+2/3$  counterparts
- Incidentally, CC also proves that we have three distinct  $\nu$  flavors



Charged Current



# Neutrino masses are another enigma...



Shamelessly stolen from *Scientific American*

● We know neutrinos are very light

● Direct mass searches yield limits

→  $\nu_e$ : tritium decay:  $m < 3$  eV

→  $\nu_\mu$ : pion decay:  $m < 0.2$  MeV

→  $\nu_\tau$ : tau decay:  $m < 18$  MeV

→ Compare to hadron masses:

- pions ~ 140 MeV
- kaons ~ 500 MeV
- protons ~ 1 GeV
- neutrons ~ 1 GeV

● In fact, the Standard Model (c.1995) assumes they are massless



# $\nu$ masses and Pontecorvo's hypothesis

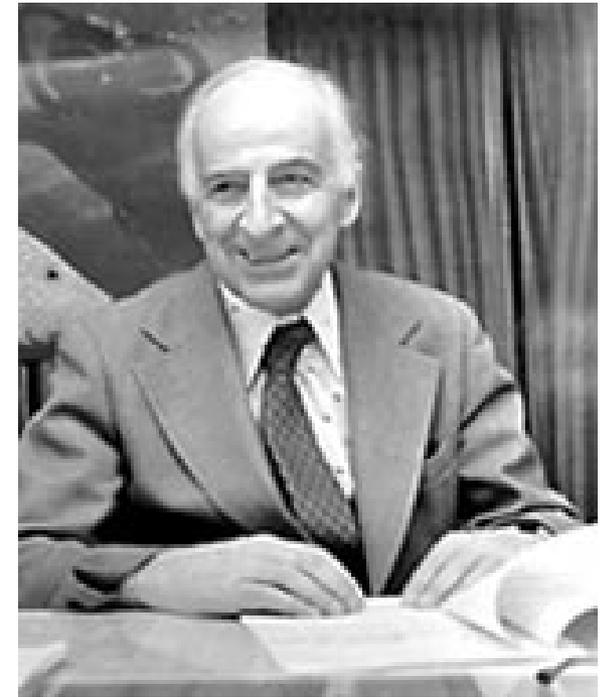
- Back in 1957, Pontecorvo pointed out that if  $\nu$ 's have mass, then it could be the case that the mass eigenstates were not identical to the weak

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

- Sounds a little far-fetched, but similar to kaon mixing where it was already known that the weak and strong (mass) eigenstates differed
- Neutrino mixing is a direct result:

$$P(\nu_a \rightarrow \nu_b) = \sin^2 2\theta \sin^2\left(1.27 \Delta m^2 \frac{L}{E}\right)$$

- By measuring the mixing, the mass differences of the neutrino can be inferred!



Bruno Pontecorvo

“At present this is highly speculative—there is no experimental evidence for neutrino oscillations...” D.J. Griffiths (1995), *Introduction to Quantum Mechanics*

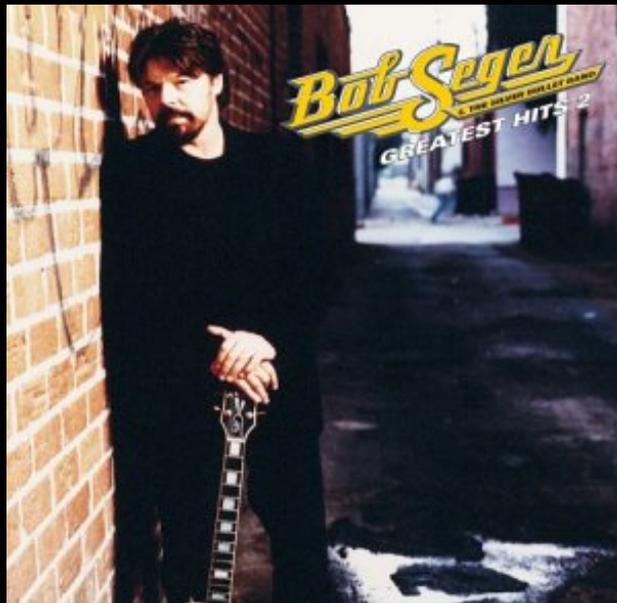


They say the sun is gonna grow  
someday.

It's gonna get real close and burn  
us all up...

...I can't promise you tomorrow. No  
one has the right to lie.

You can beg and steal and borrow.  
It won't save you from the sky.



# Tomorrow

Let me see a show of hands.  
**Tell me the truth now.**

**What happens if  
neutrinos have mass?**

I can't tell you about tomorrow.

I'm as lost as yesterday. In  
between your joy and sorrow,

I suggest you have your say:  
Here's to the little things...

# Well Bob, I'm glad you asked...

*neutrinos  
power  
the sun*



*neutrinos  
drive  
supernovae  
explosions*

*next to photons in  
the CMB, neutrinos  
are the most abundant  
particle in the universe*

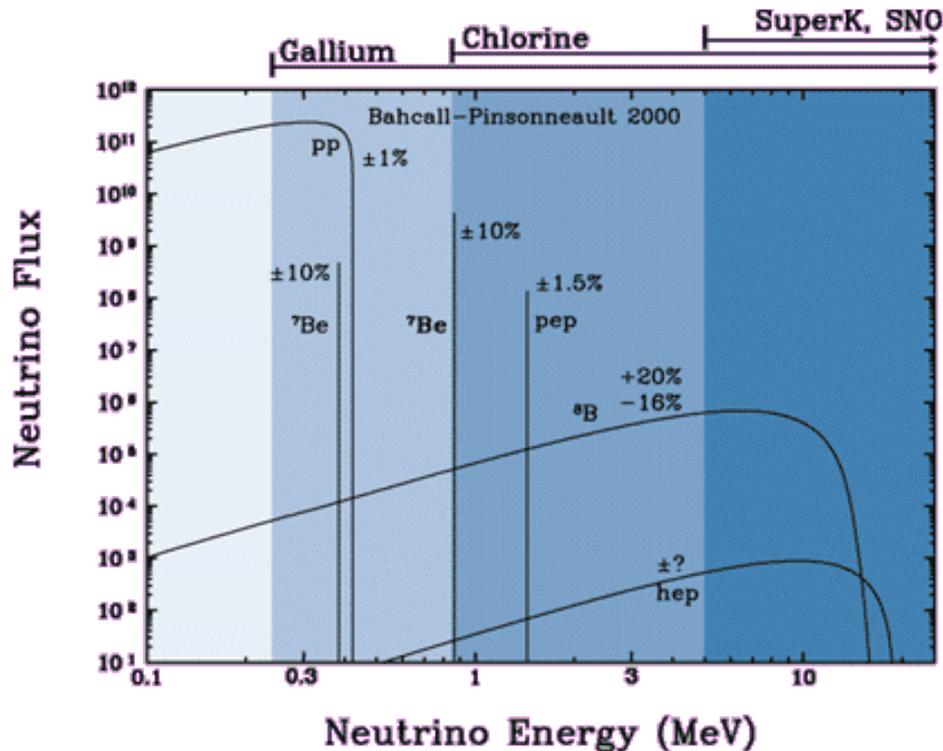
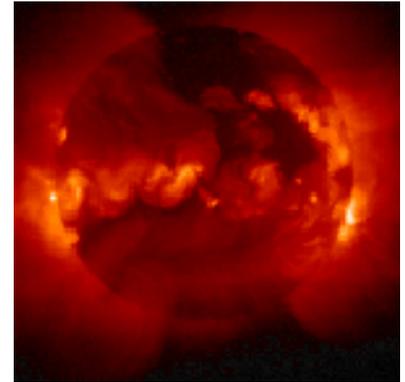
*neutrinos are a  
component of  
dark matter*

Even a tiny mass can change  
the way the universe works!



# A natural source of neutrinos...

- The sun is fueled by fusion reactions
  - $4^1\text{H} + 2e^- \rightarrow ^4\text{He} + 2\nu_e + 6\gamma$
  - More reaction chains follow...
- Neutrinos are produced copiously
  - Note all produce  $\nu_e$ , below  $\sim 10\text{MeV}$



# Ray Davis' experiment



- Ray Davis set out to measure solar  $\nu$ 's
- Used a large vat of dry cleaning solution
- Looking for inverse beta decay (CC) with Chlorine converting to Argon
- Deep underground at Homestake gold mine to get away from cosmic ray background

- First oscillation evidence came in 1968 from Davis' solar  $\nu_e$  experiment
  - found 1/3 of the expected  $\nu_e$  from sun
  - disappearance  $\nu_e \rightarrow \nu_x$
  - $\Delta m_{12}^2 \sim 8 \times 10^{-5} \text{ eV}^2$ ,  $\sin^2(2\theta) \sim 0.8$
- Mired in controversy, do we understand fusion, is the experiment correct, could it be due to neutrino oscillation?



# Solar neutrino oscillations

• The Sudbury Neutrino Observatory (SNO) confirmed Ray Davis' findings

→ Could also see CC **and** NC

- Deficit in CC channel
- Exactly right in NC channel!

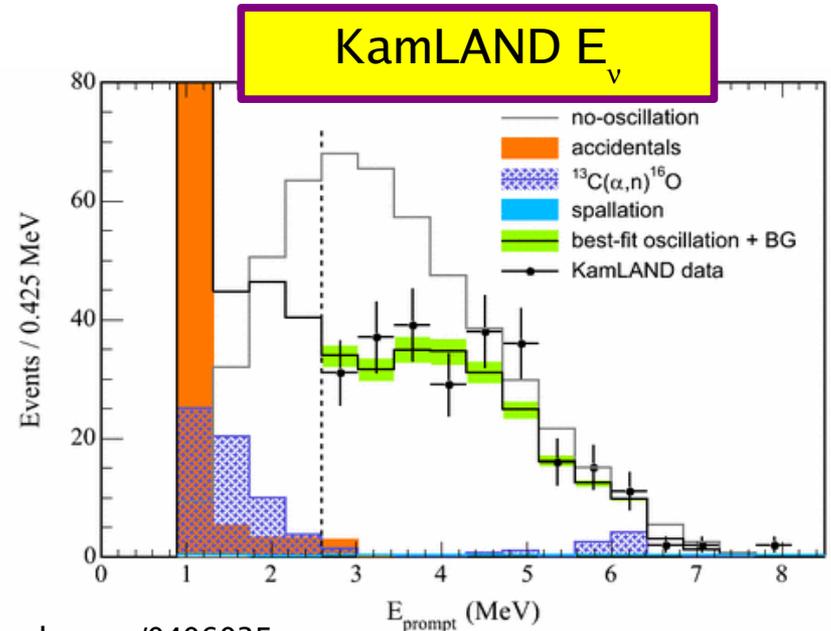
• KamLAND experiment used reactor antineutrinos

→ Confirm solar result through spectral distortion!

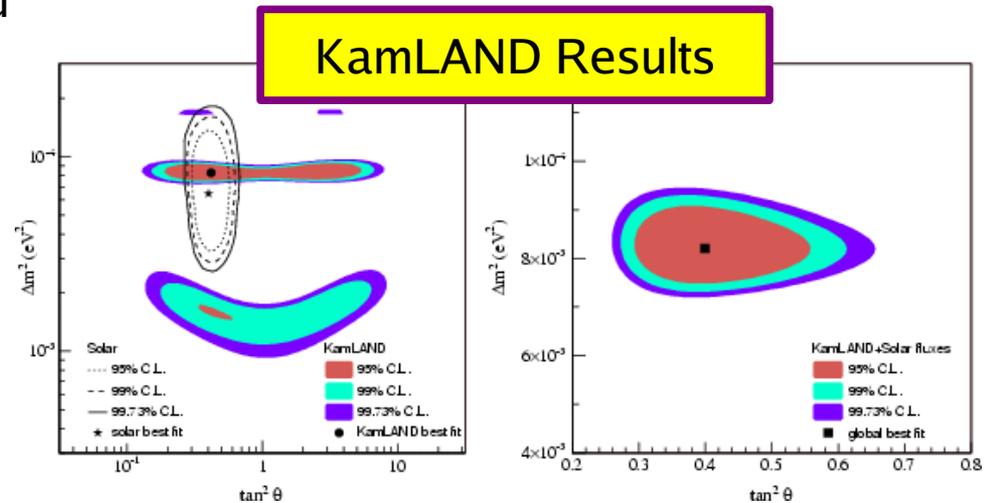
→ Showed oscillations apply to  $\nu_e$  and anti- $\nu_e$

• Final solution:

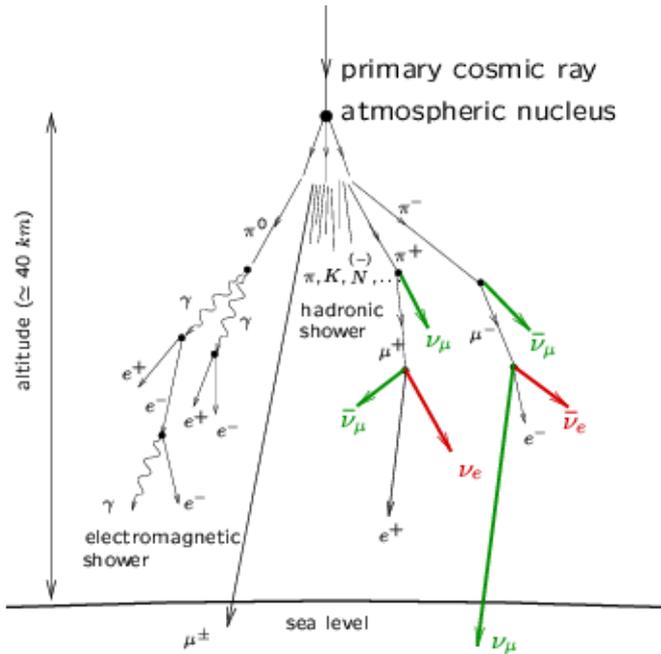
- Mixing angle  $\theta \approx 32^\circ$
- $\Delta m_{12}^2 \sim 8 \times 10^{-5} \text{ eV}^2$



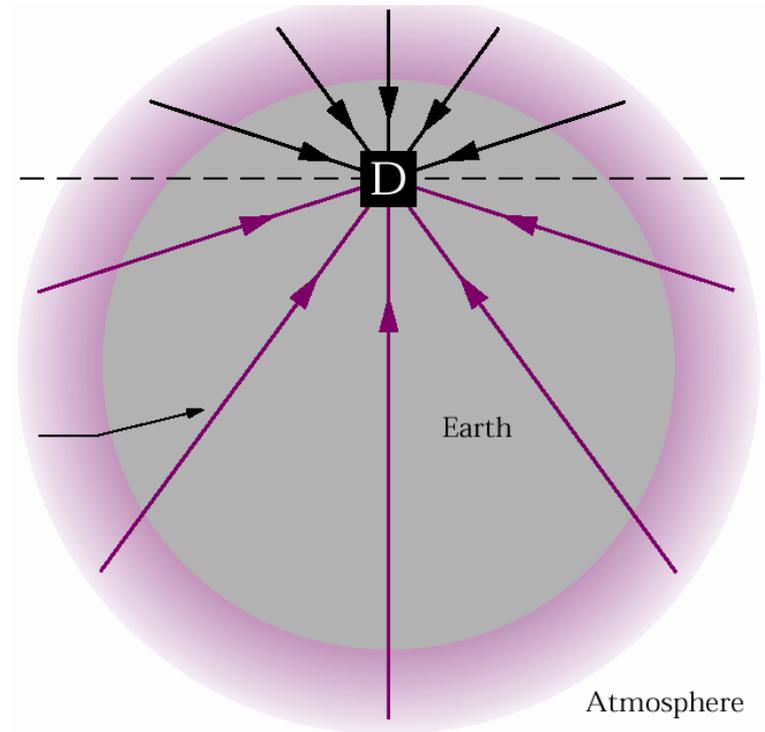
hep-ex/0406035



# Cosmic ray interactions are a natural source of $\nu_\mu$



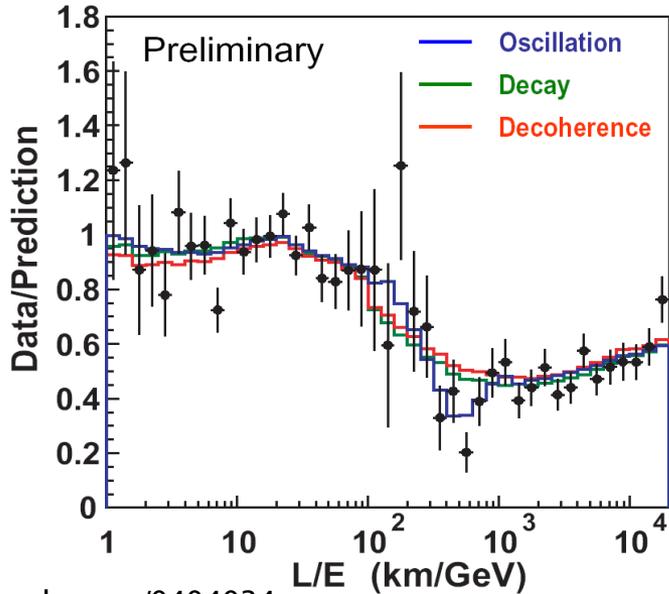
- High energy cosmic ray (primarily protons) generate massive showers
- Relativistically dilated lifetime allows muons to penetrate
- Copious source of  $\nu_\mu$



- Cannot control the E spectrum
- Can control the baseline, L
  - ➔ By looking at the direction the  $\nu_\mu$  comes from, the distance between the detector and the source can be varied

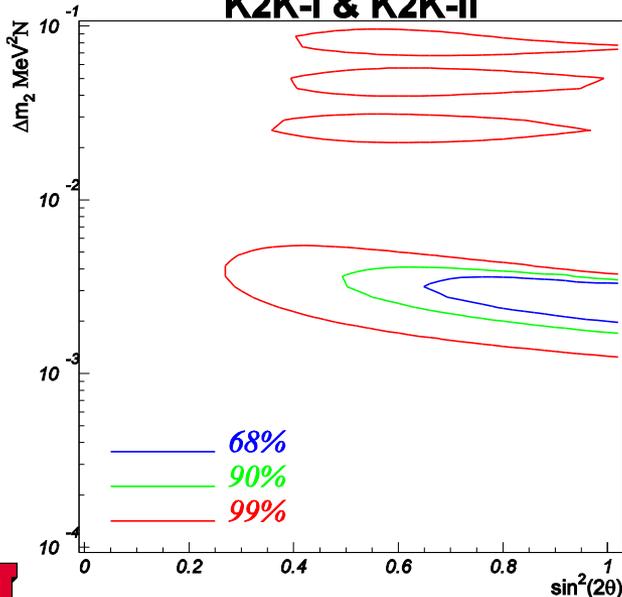


# Atmospheric oscillations

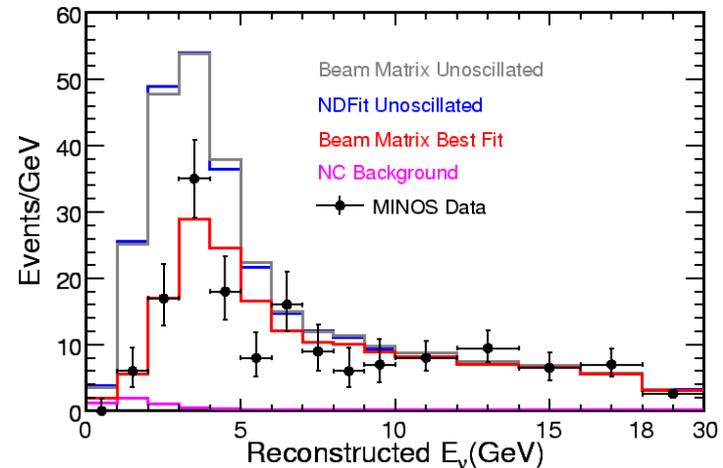


hep-ex/0404034

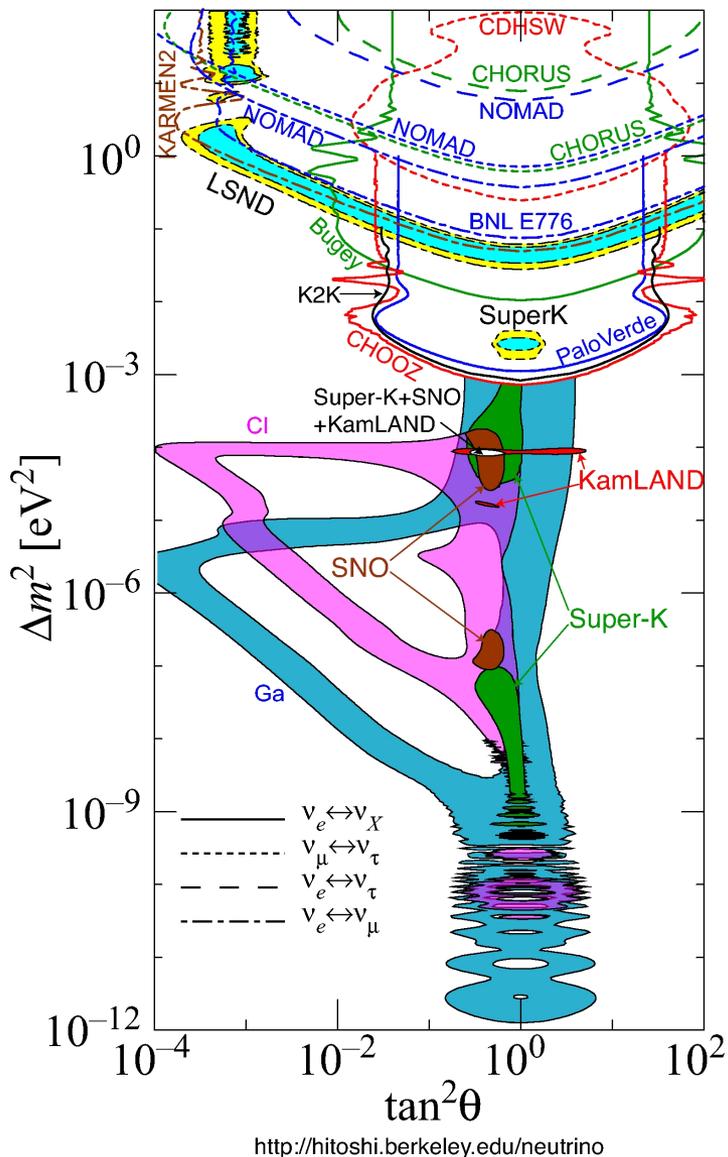
**K2K-I & K2K-II**



- New mixing found by Super-K through atmospheric  $\nu_\mu$  oscillations
  - ➔ mix of anti- $\nu$  and  $\nu$
  - ➔ found 1/2 as the upward  $\nu_\mu$  as downward
  - ➔ disappearance  $\nu_\mu \rightarrow \nu_x$
  - ➔  $\Delta m_{23}^2 \sim 2 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2(2\theta) \sim 1.0$
- Confirmed by K2K
  - ➔  $\nu_\mu$  from KEK accelerator to Super-K
  - ➔ man-made source confirms the natural
- Confirmed by many other experiments SNO, IMB, Soudan, and most recently MINOS



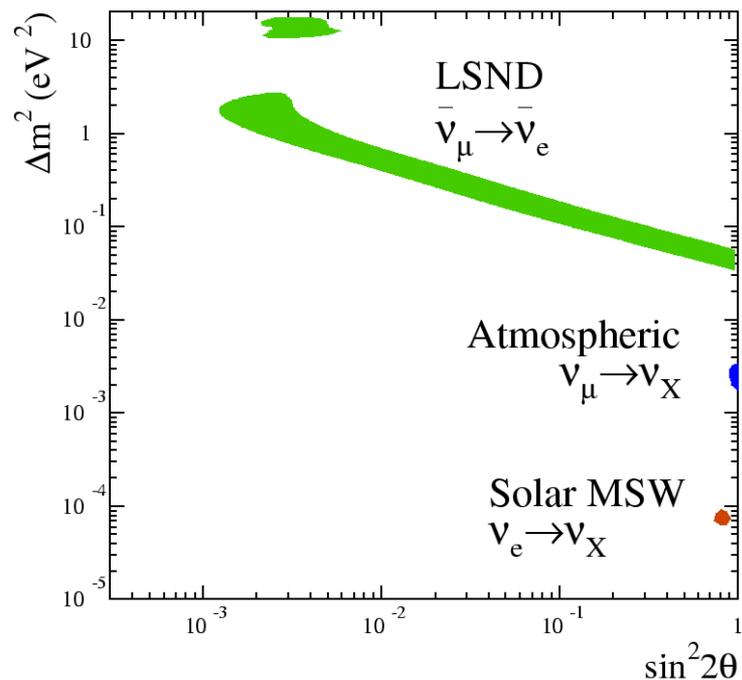
# Summary of $\nu$ oscillation searches



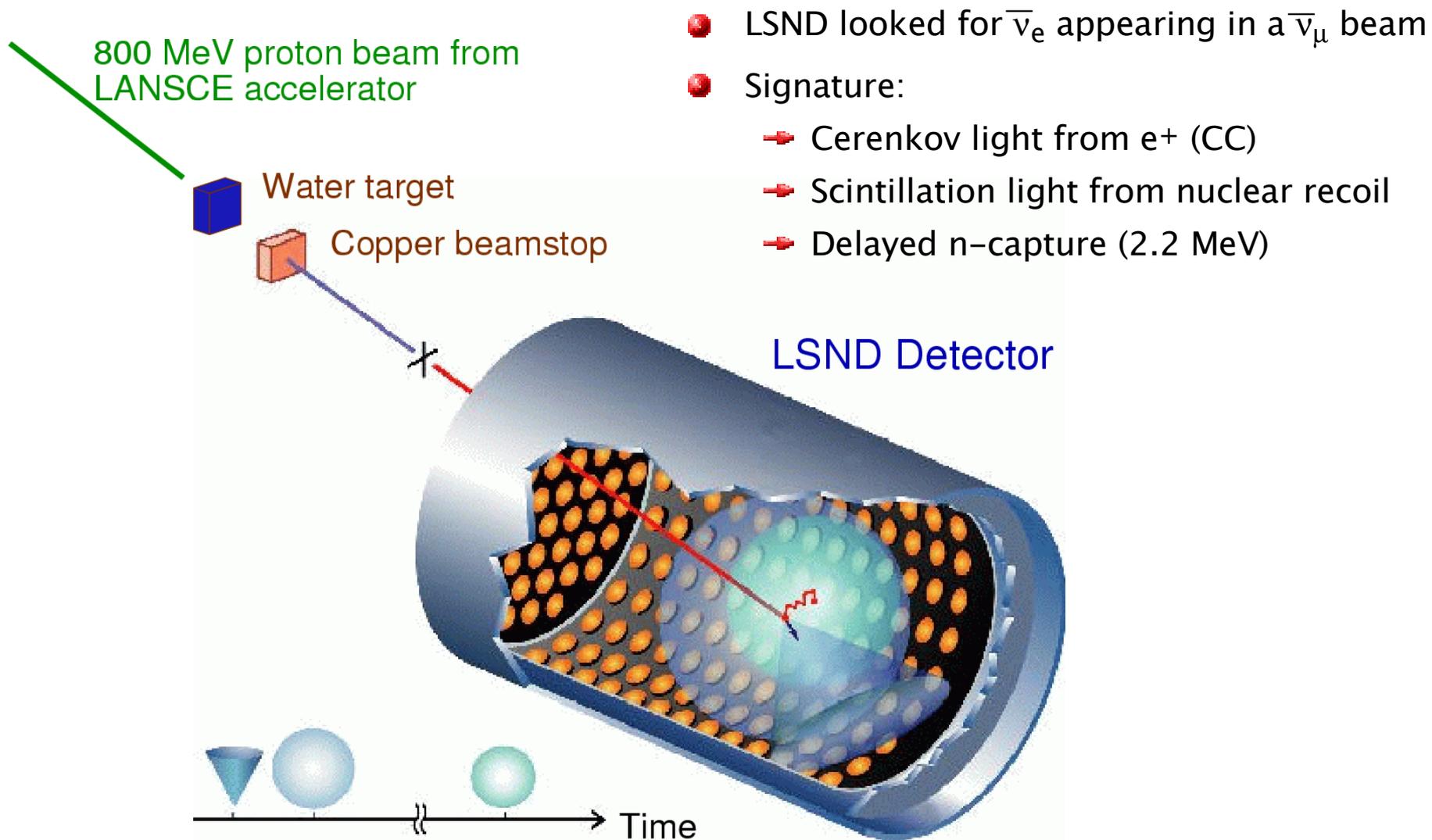
- Can see from the plot on the left how active the  $\nu$  oscillation industry has been!

$$P(\nu_a \rightarrow \nu_b) = \sin^2 2\theta \sin^2\left(1.27 \Delta m^2 \frac{L}{E}\right)$$

- Reducing plot on left to experiments that have found a positive oscillation...
  - Well-measured solar and atmospheric
  - One other signal, LSND, which is curiously at a much higher  $\Delta m^2$

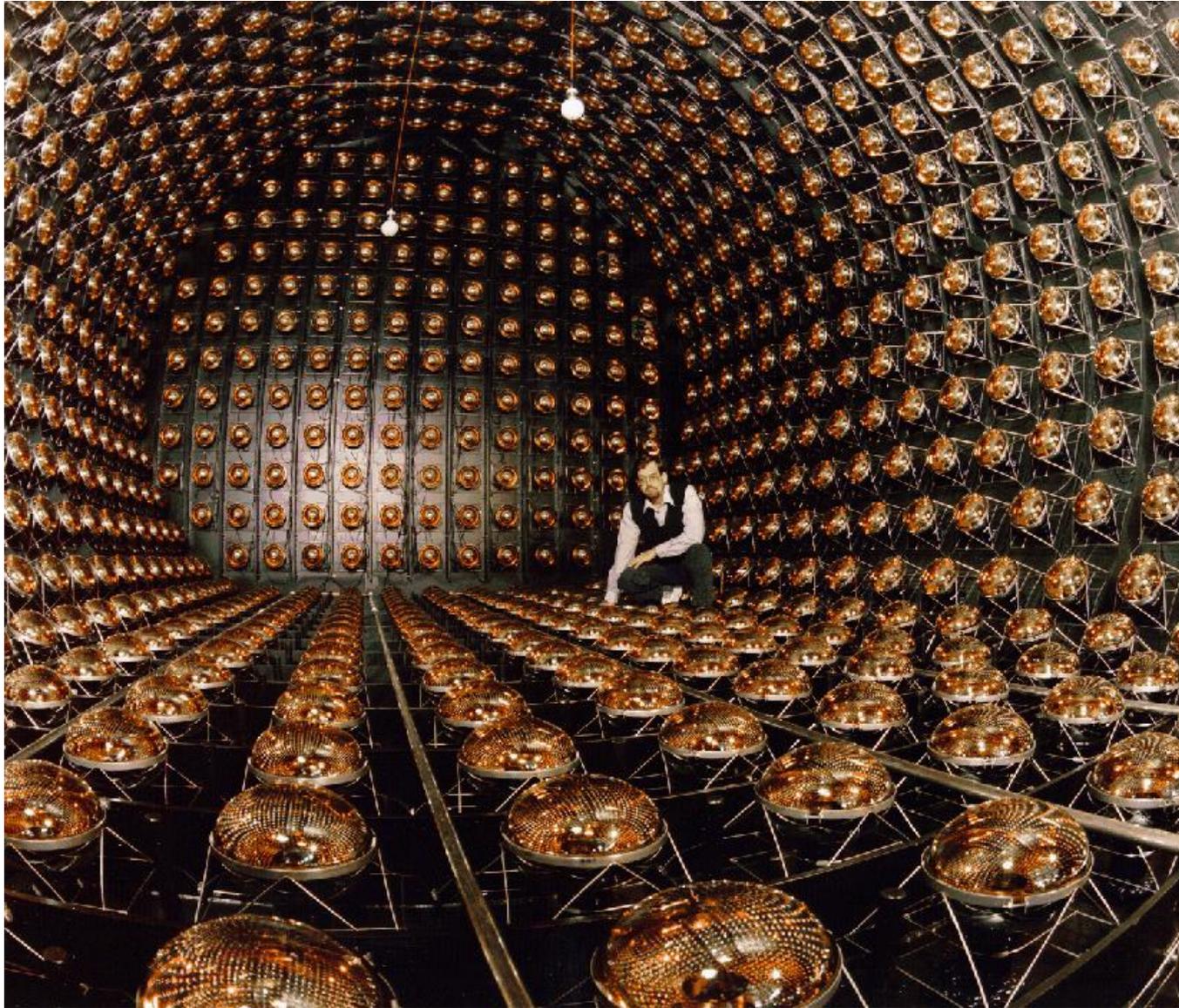


# The Liquid Scintillator Neutrino Detector at LANL



- LSND looked for  $\bar{\nu}_e$  appearing in a  $\bar{\nu}_\mu$  beam
- Signature:
  - ➔ Cerenkov light from  $e^+$  (CC)
  - ➔ Scintillation light from nuclear recoil
  - ➔ Delayed n-capture (2.2 MeV)

# Picture of LSND photomultipliers (used later in MB)



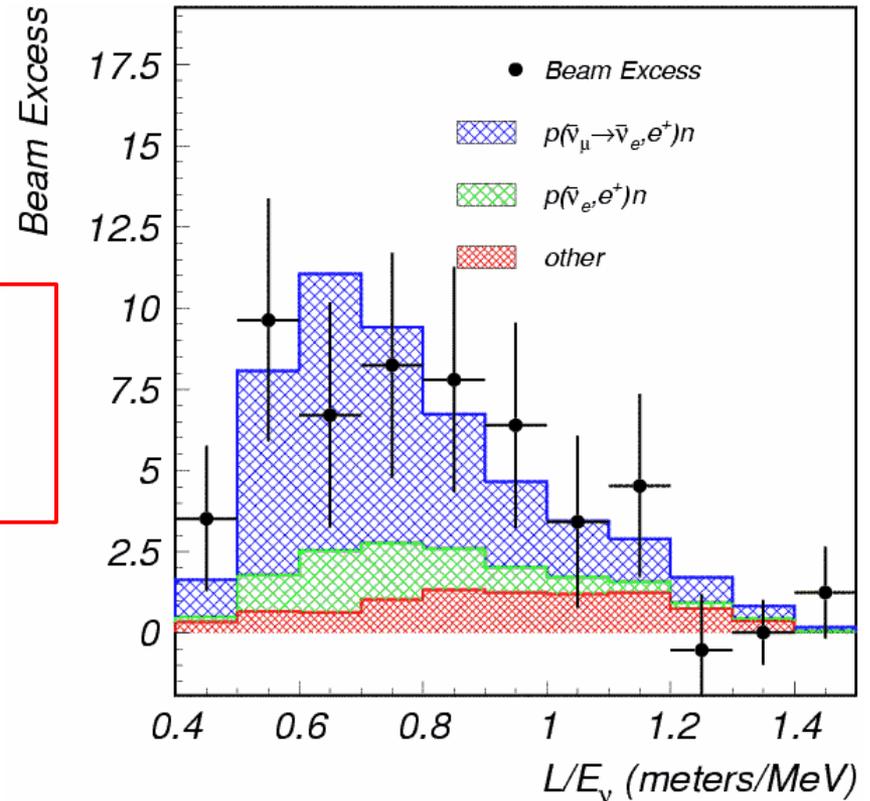
# MiniBooNE's motivation...LSND

- LSND found an excess of  $\bar{\nu}_e$  in  $\bar{\nu}_\mu$  beam
- Signature: Cerenkov light from  $e^+$  with delayed n-capture (2.2 MeV)
- Excess:  $87.9 \pm 22.4 \pm 6.0$  ( $3.8\sigma$ )

Under a 2 $\nu$  mixing hypothesis:

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \sin^2(2\theta) \sin^2\left(\frac{1.27 L \Delta m^2}{E}\right)$$

$$= 0.245 \pm 0.067 \pm 0.045 \%$$



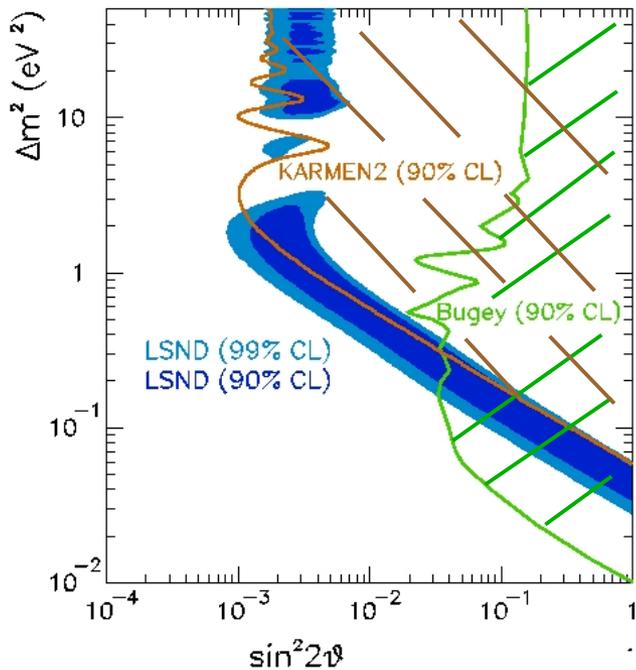
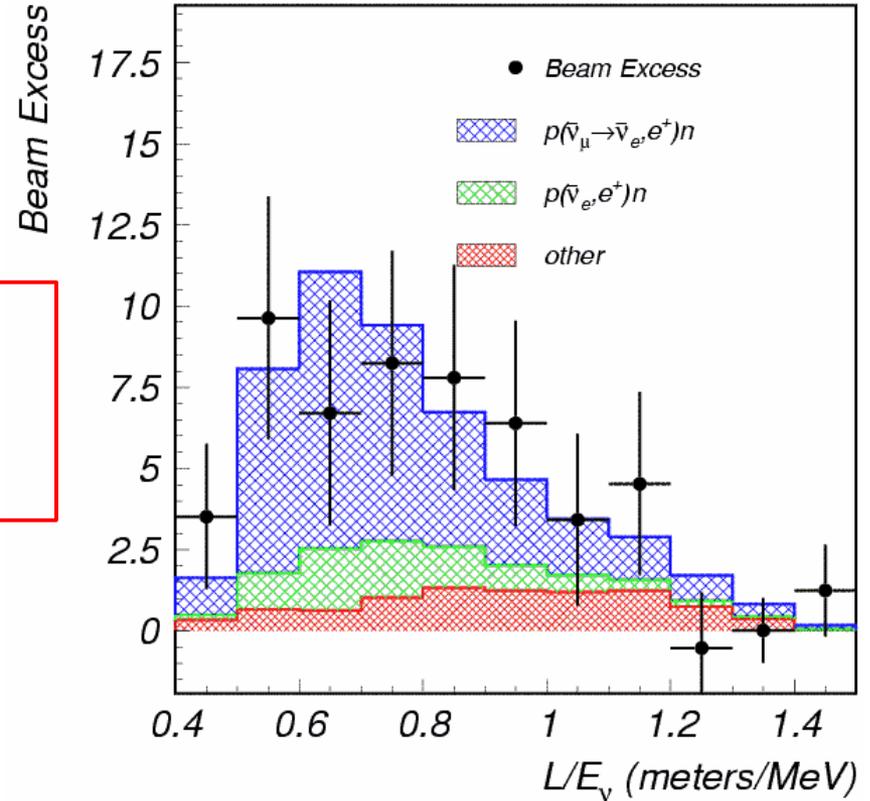
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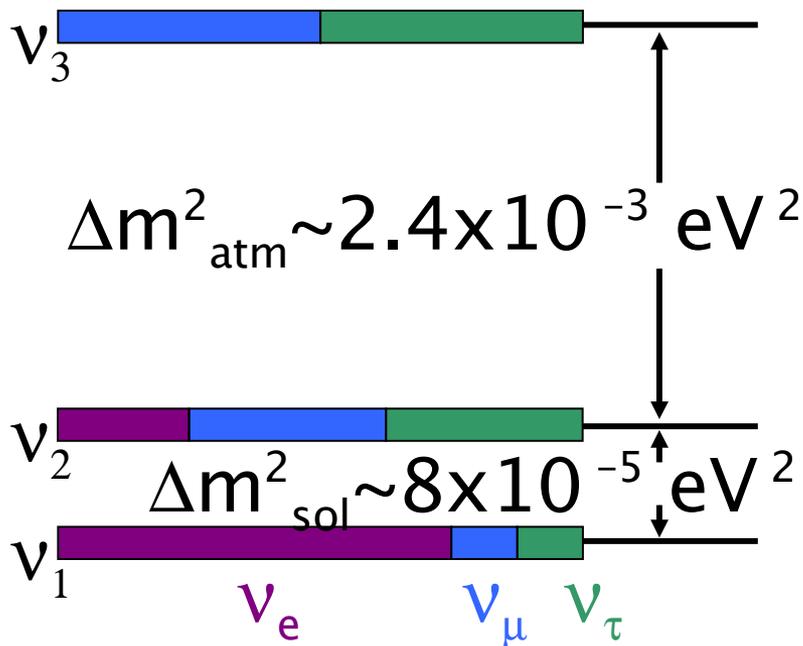
$$= 0.245 \pm 0.067 \pm 0.045 \%$$



- Other experiments, i.e. Karmen and Bugey, have ruled out portions of the LSND signal
- MiniBooNE was designed to cover the entire LSND allowed region



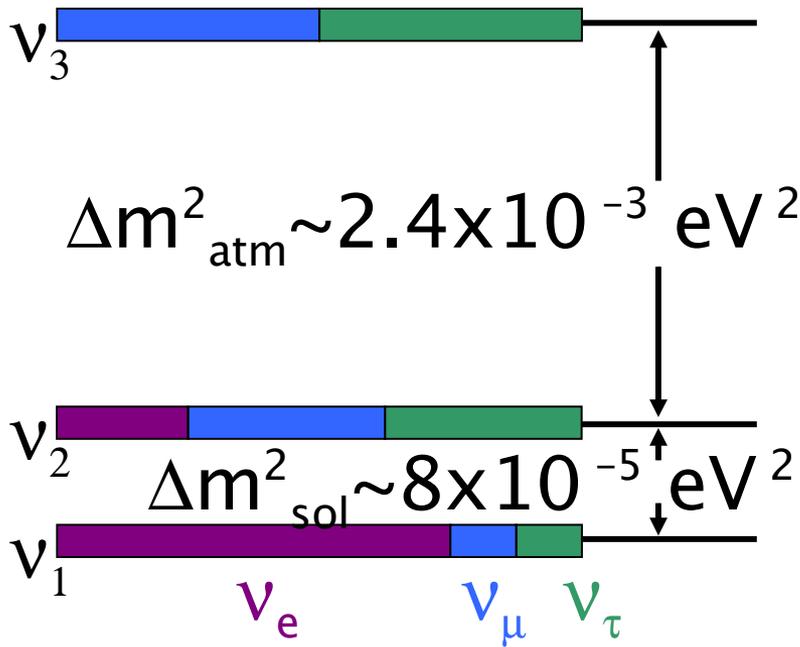
# Interpreting the LSND signal



- The other two measured mixings fit conveniently into a 3-neutrino model
- With  $\Delta m_{13}^2 = \Delta m_{12}^2 + \Delta m_{23}^2$ , the LSND  $\Delta m^2 \sim 1 \text{ eV}^2$  does not fit
- 'Simplest' explanation...a 4<sup>th</sup> neutrino



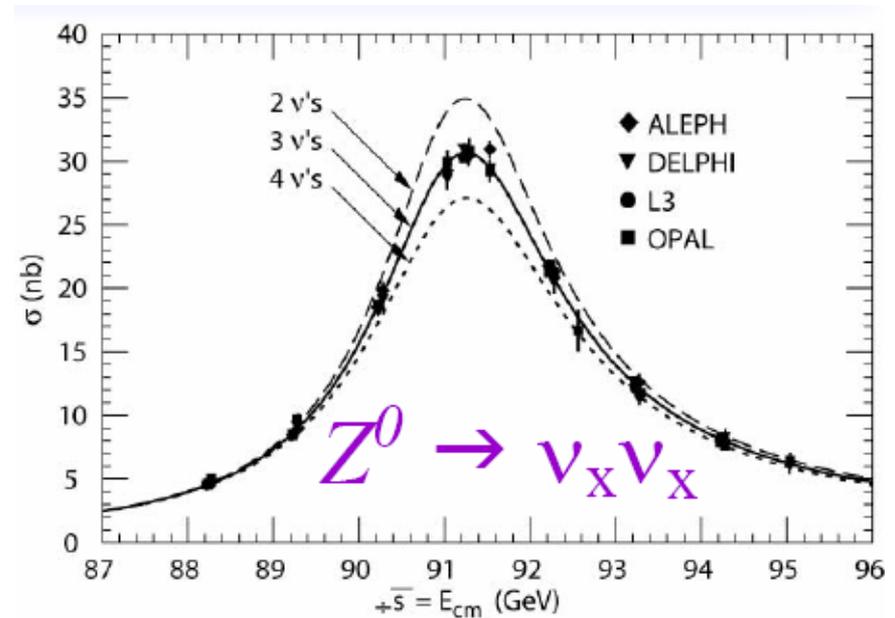
# Interpreting the LSND signal



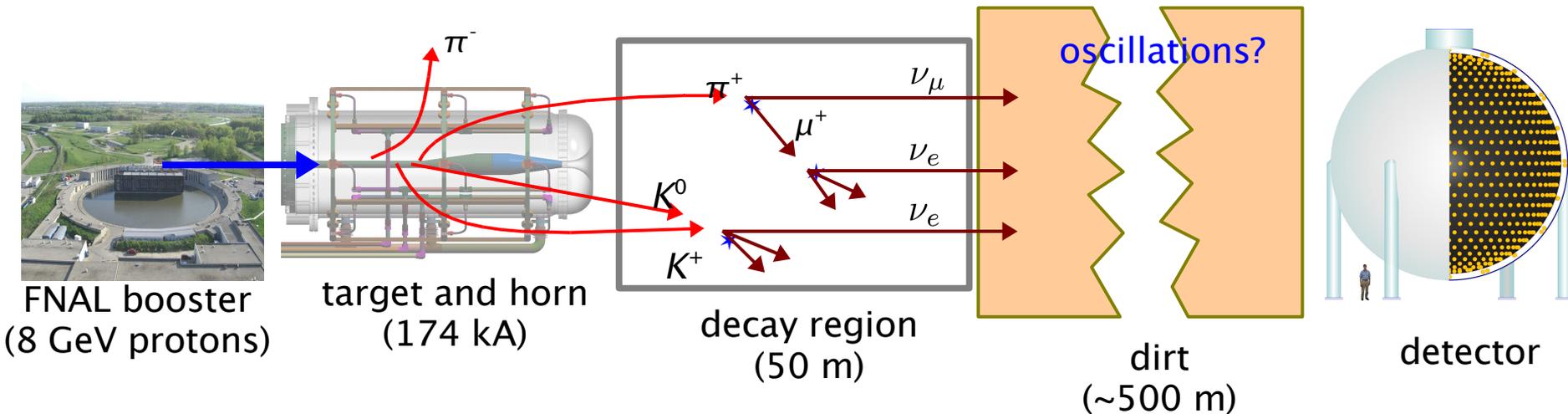
- The other two measured mixings fit conveniently into a 3-neutrino model
- With  $\Delta m_{13}^2 = \Delta m_{12}^2 + \Delta m_{23}^2$ , the LSND  $\Delta m^2 \sim 1 \text{ eV}^2$  does not fit
- 'Simplest' explanation...a 4<sup>th</sup> neutrino

- Width of the Z implies  $2.994 + 0.012$  light neutrino flavors
- Requires 4<sup>th</sup> neutrino to be 'sterile' or an even more exotic solution

- Sterile neutrinos *hep-ph/0305255*
- Neutrino decay *hep-ph/0602083*
- Lorentz/CPT violation *PRD(2006)105009*
- Extra dimensions *hep-ph/0504096*

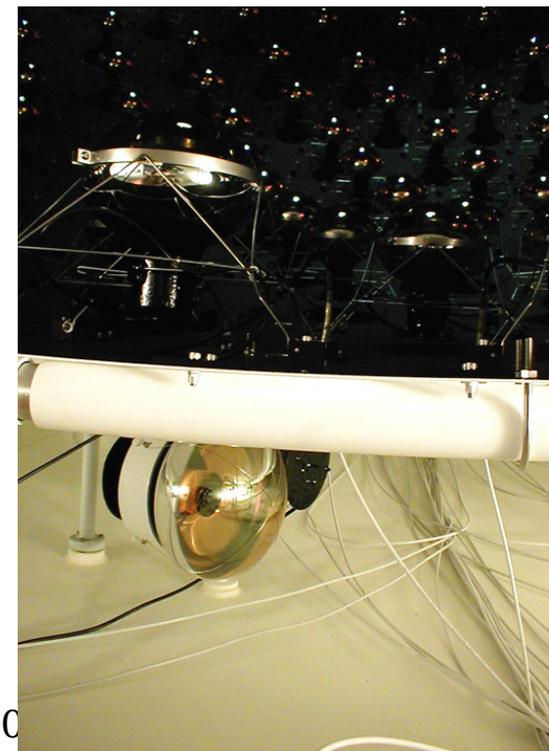


# The MiniBooNE design strategy

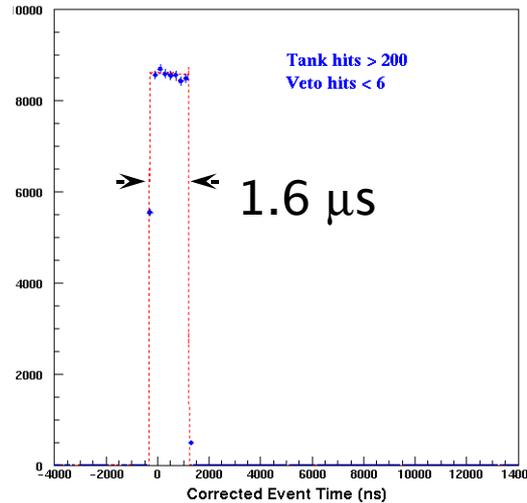
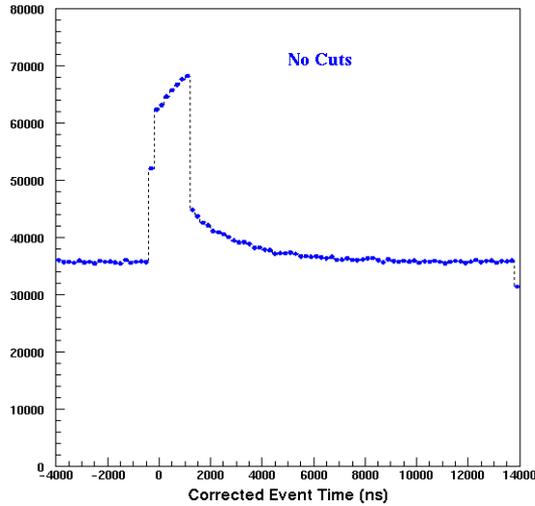


- Start with 8 GeV proton beam from FNAL Booster
- Add a 174 kA pulsed horn to gain a needed x 6
- Requires running  $\nu$  (not anti- $\nu$ ) to get flux
- Pions decay to  $\nu$  with  $E_\nu$  in the 0.8 GeV range
- Place detector to preserve LSND L/E:
 

MiniBooNE:	(0.5 km) / (0.8 GeV)
LSND:	(0.03 km) / (0.05 GeV)
- Detect  $\nu$  interactions in 800T pure mineral oil detector
  - ➔ 1280 8" PMTs provide 10% coverage of fiducial volume
  - ➔ 240 8" PMTs provide active veto in outer radial shell



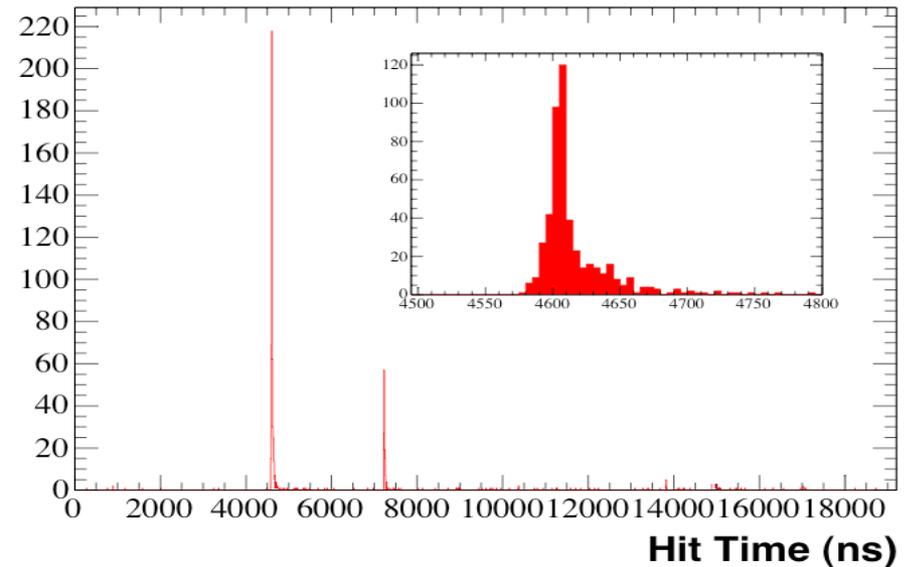
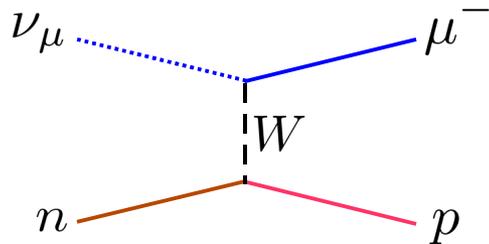
# Simple cuts eliminate random backgrounds



- Left: trigger window, no cuts
- Right: Simple cuts applied PMT hits in veto < 6 and tank > 200 show clean beam window
- Removes cosmic  $\mu$  and their decay electrons

- Subevent structure (clusters in time) can be used for particle identification (PID)
- 2 subevent time structure expected for most common  $\nu$  interaction in MB:

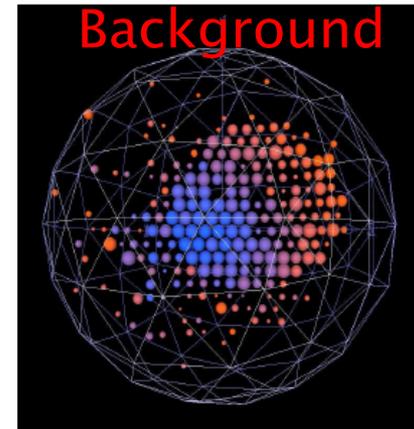
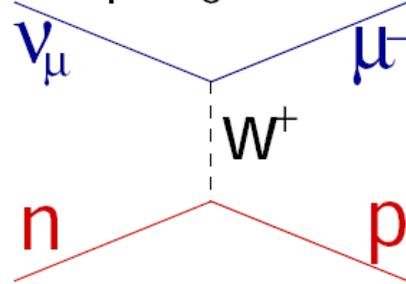
$\nu_\mu$  CCQE (charged-current quasi-elastic)



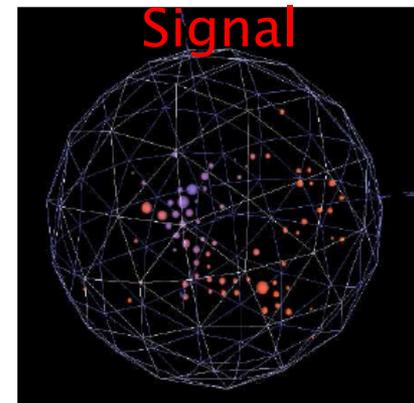
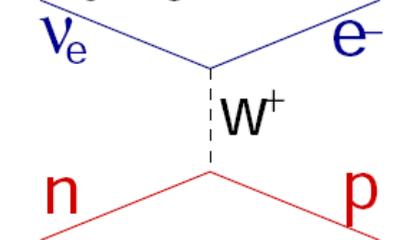
# Key points about the signal

- LSND oscillation probability is  $< 0.3\%$
- After cuts, MiniBooNE has to be able to find  $\sim 300 \nu_e$  CCQE interactions in a sea of  $\sim 150,000 \nu_\mu$  CCQE
- Intrinsic  $\nu_e$  background
  - ➔ Actual  $\nu_e$  produced in the beamline from muons and kaons
  - ➔ Irreducible at the event level
  - ➔ E spectrum differs from signal
- Mis-identified events
  - ➔  $\nu_\mu$  CCQE easy to identify, i.e. 2 “subevents” instead of 1. However, lots of them.
  - ➔ Neutral-current (NC)  $\pi^0$  and radiative  $\Delta$  are rarer, but harder to separate
  - ➔ Can be reduced with better PID
- MiniBooNE is a ratio measurement with the  $\nu_\mu$  constraining flux X cross-section

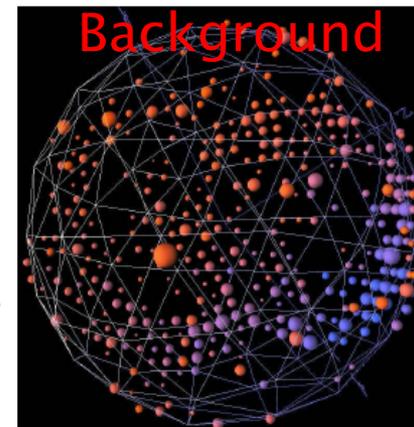
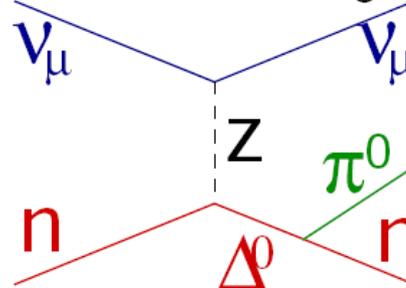
Muon candidate  
sharp ring, filled in



Electron candidate  
fuzzy ring, short track



Pion candidate  
two "e-like" rings



# Is the ring $\mu$ -like?

**Muons**

**Sharp, clear rings**

**Long, straight tracks**

Electrons

Fuzzy rings

Multiple scattering

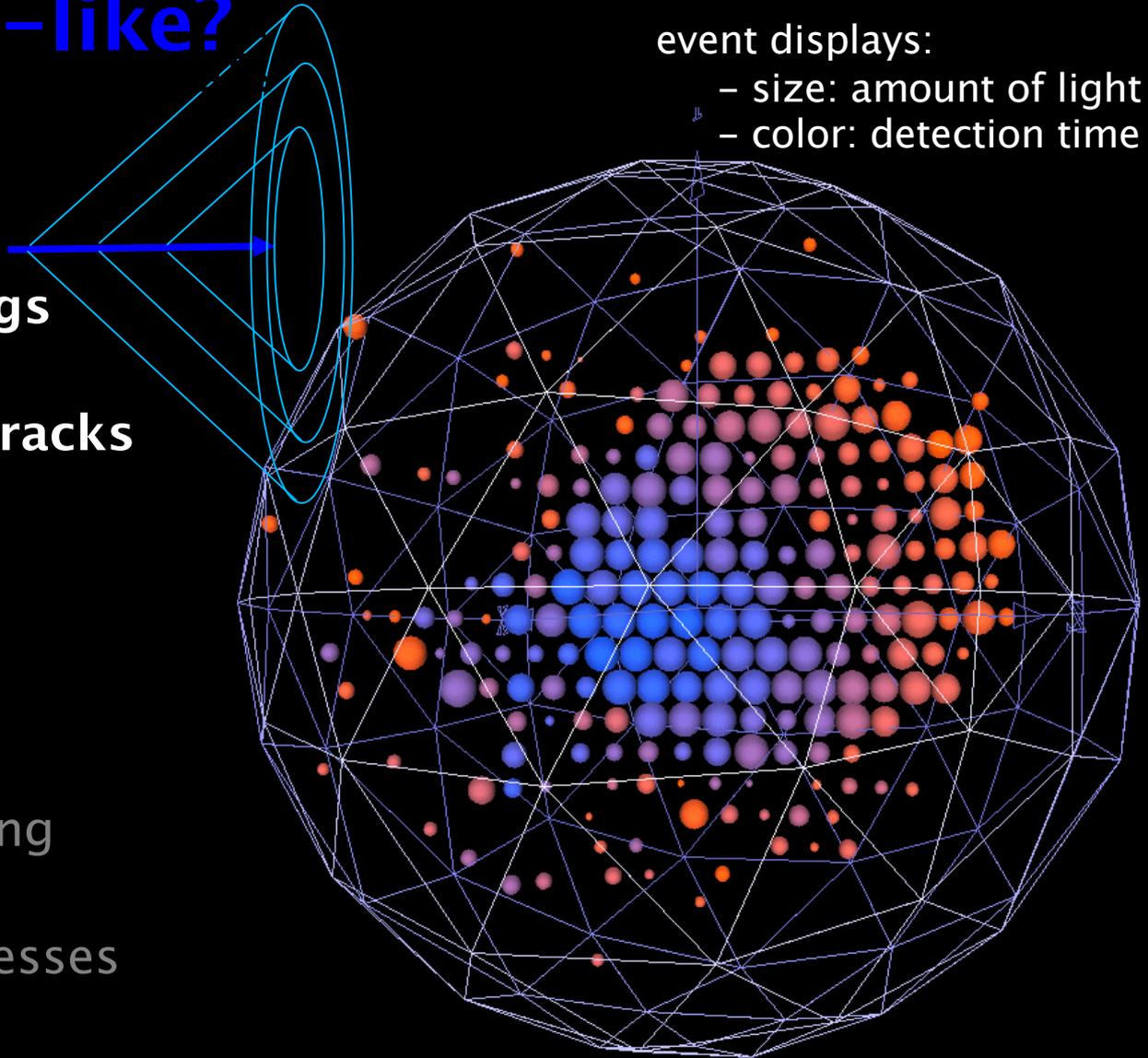
Radiative processes

Neutral Pions

Double rings

event displays:

- size: amount of light
- color: detection time



# Is the ring e-like?

event displays:

- size: amount of light
- color: detection time

Muons

Sharp, clear rings

Long, straight tracks

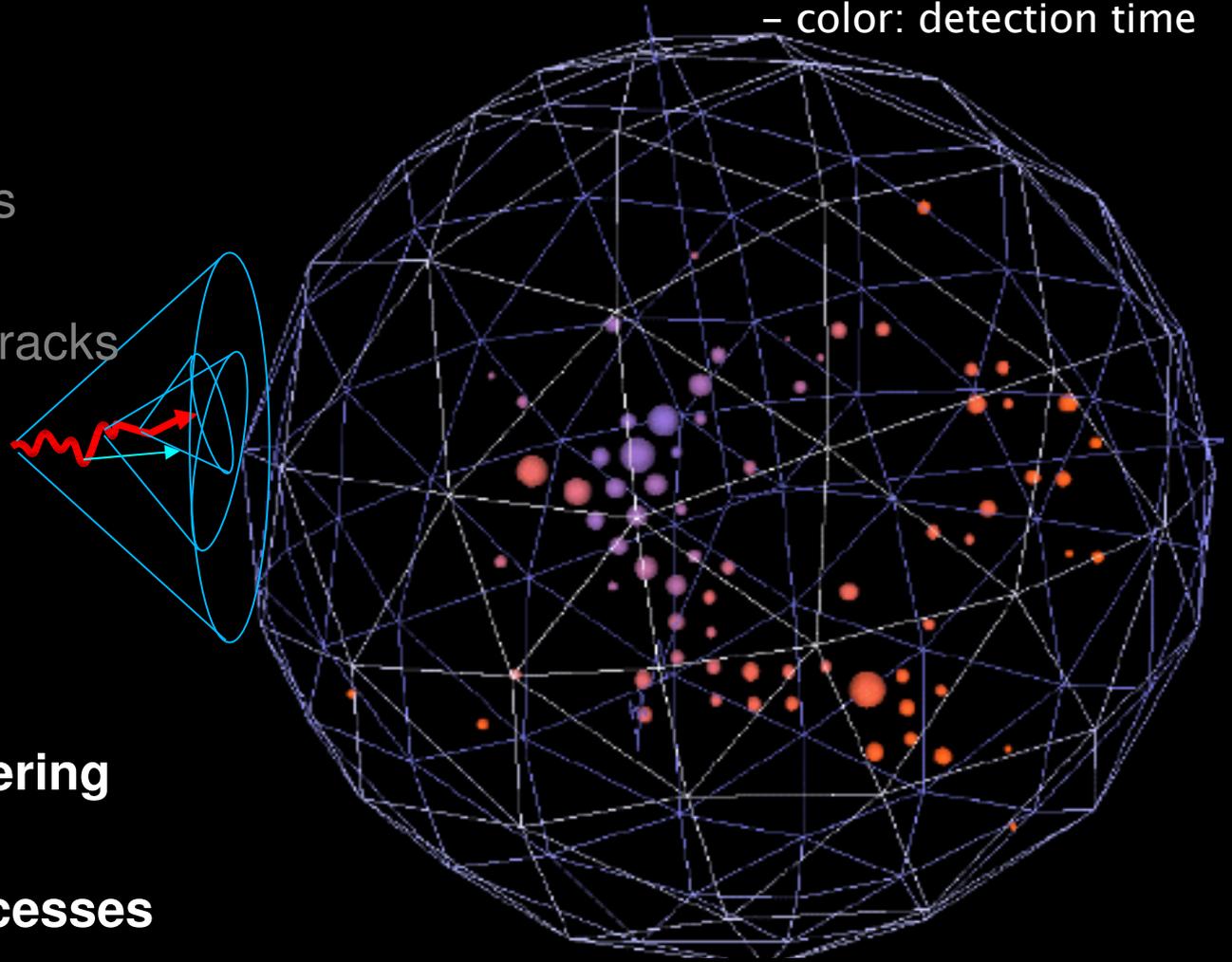
**Electrons**

**Fuzzy rings**

**Multiple scattering**

**Radiative processes**

Neutral Pions



# How many rings?

event displays:

- size: amount of light
- color: detection time

Muons

Sharp, clear rings

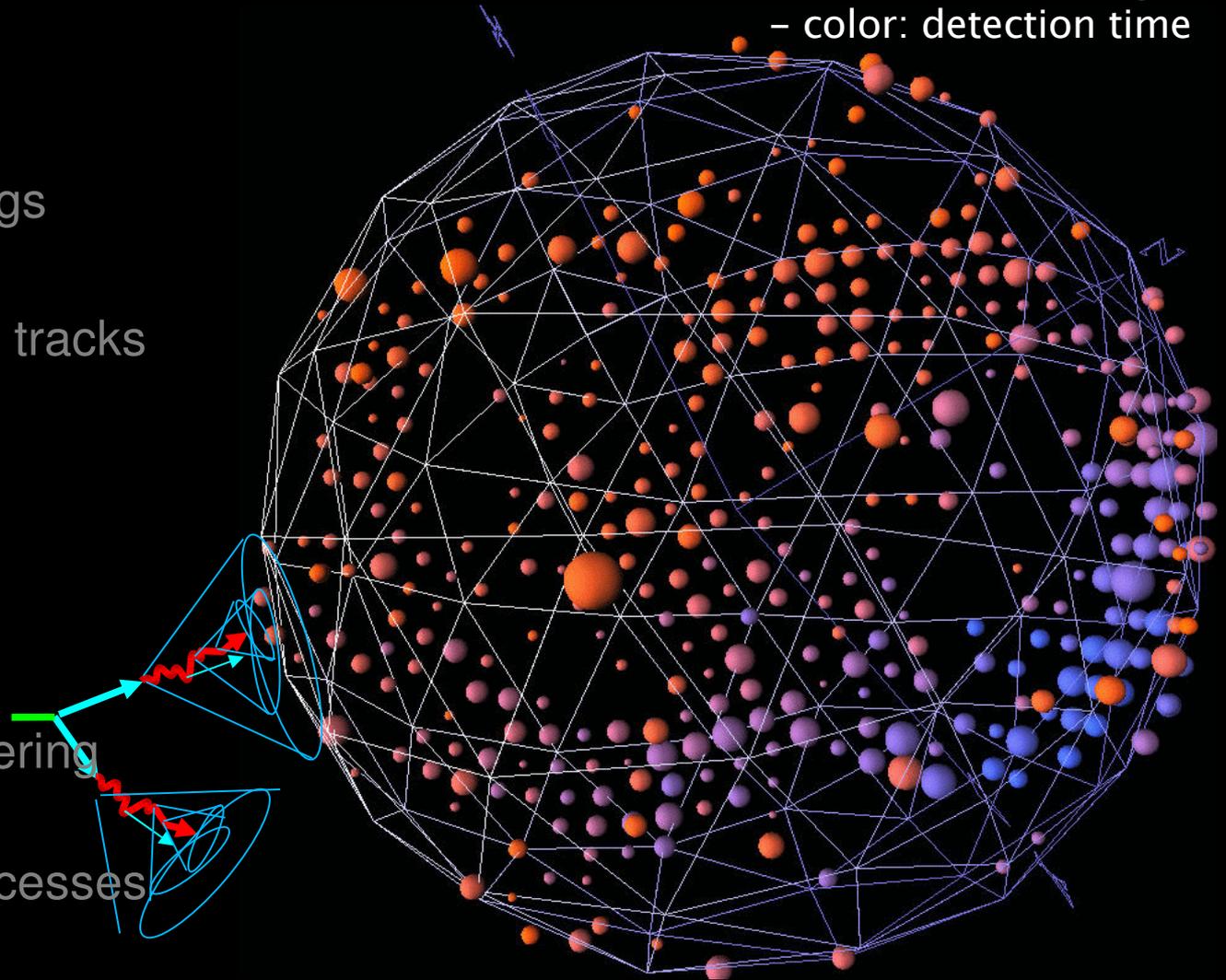
Long, straight tracks

Electrons

Fuzzy rings

Multiple scattering

Radiative processes



**Neutral Pions**



# How much Cerenkov?

Muons

Sharp, clear rings

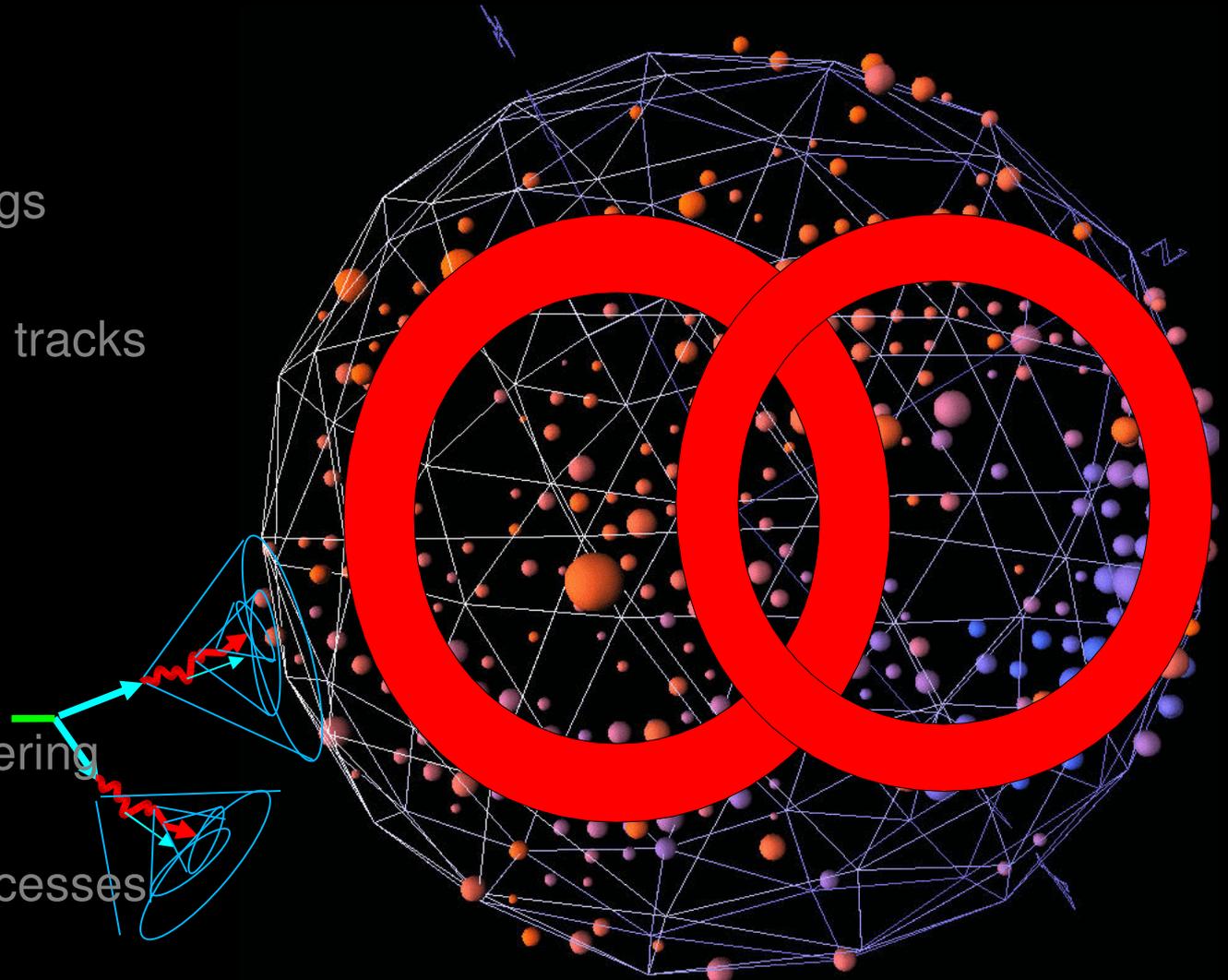
Long, straight tracks

Electrons

Fuzzy rings

Multiple scattering

Radiative processes



**Neutral Pions**



# How much Cerenkov vs isotropic?

Muons

Sharp, clear rings

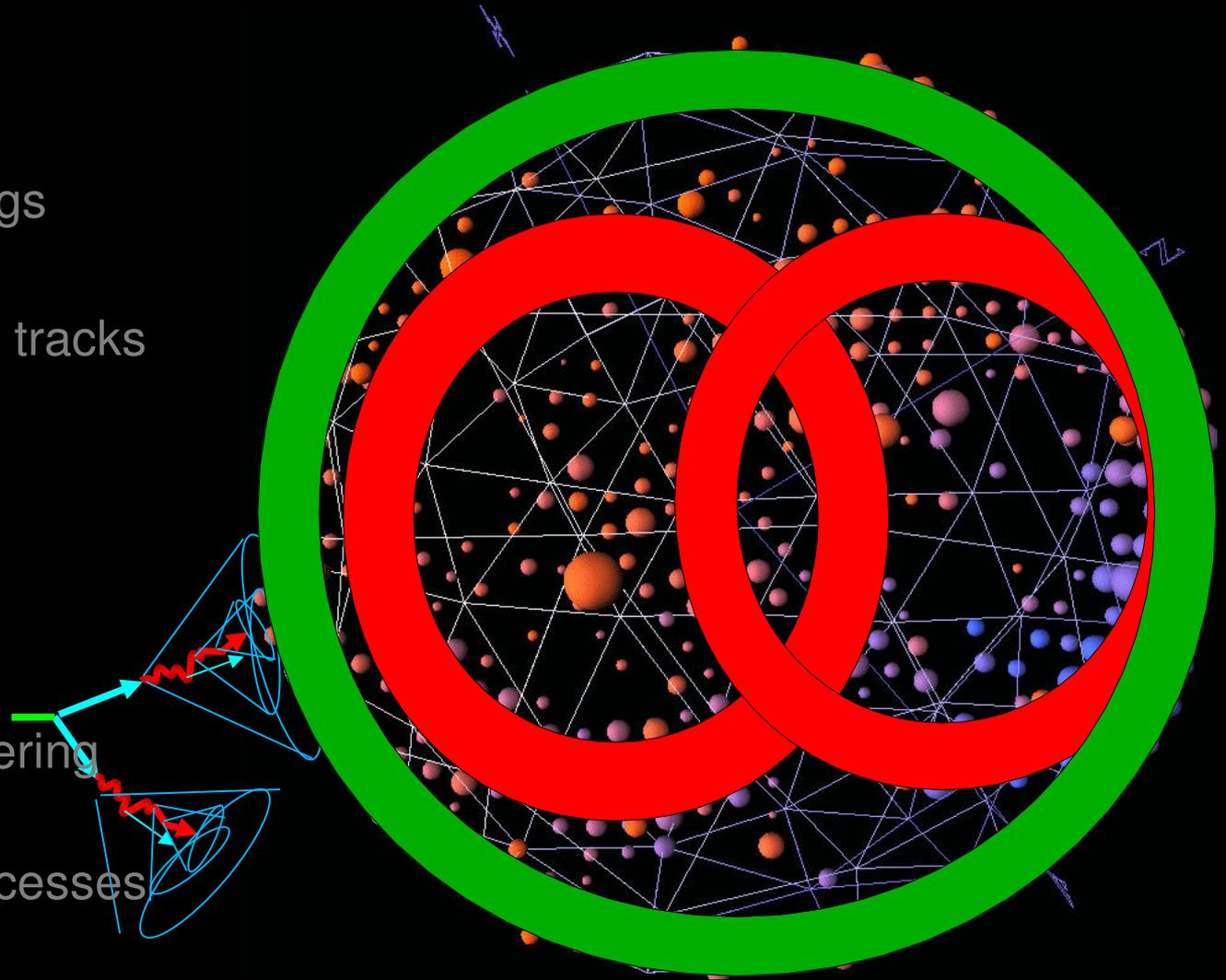
Long, straight tracks

Electrons

Fuzzy rings

Multiple scattering

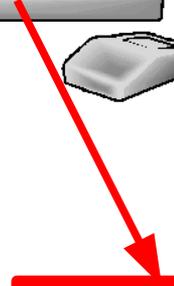
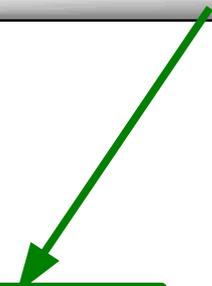
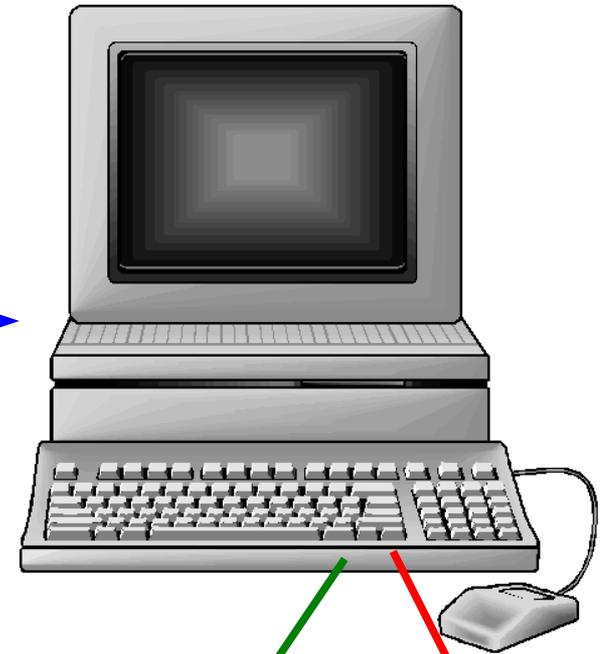
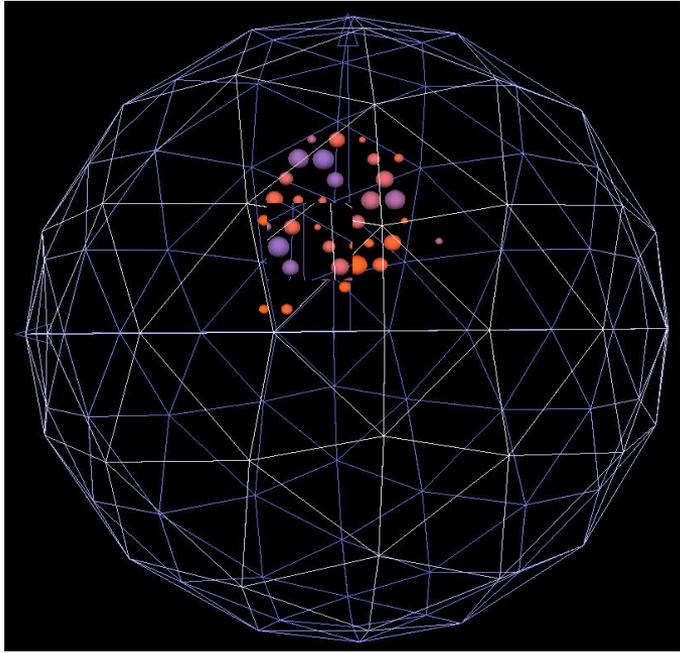
Radiative processes



**Neutral Pions**



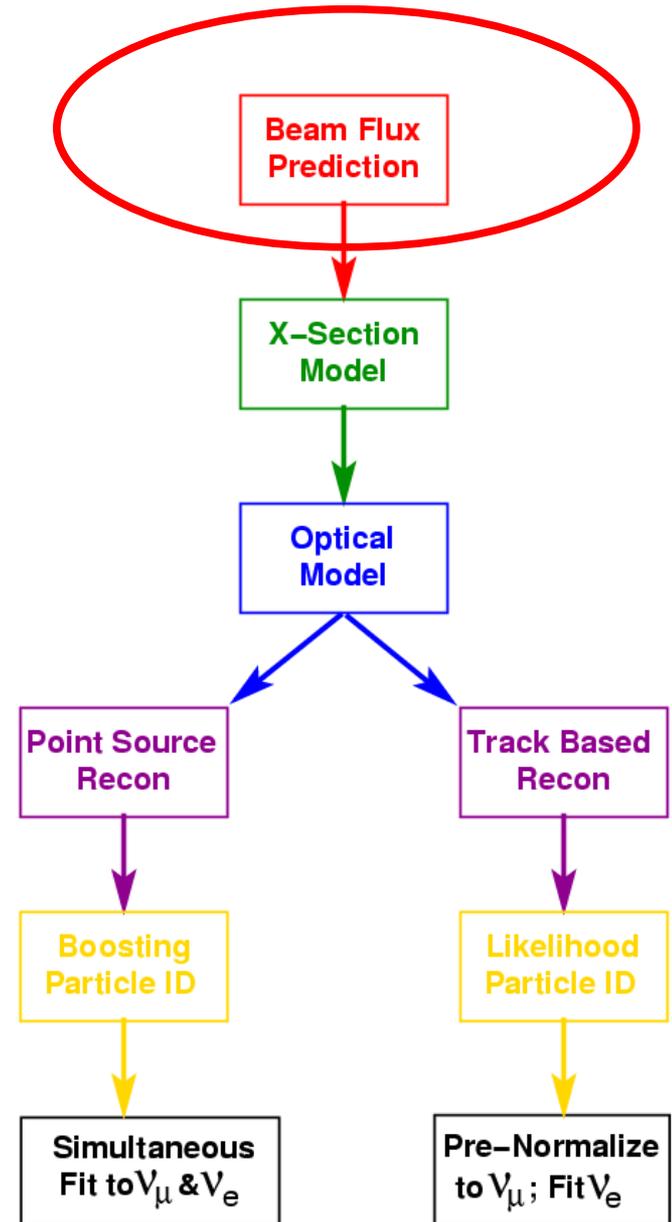
# Blind analysis in MiniBooNE



- The MiniBooNE signal is small but relatively easy to isolate
- As data comes in it is classified into 'boxes'
- For boxes to be opened to analysis they must be shown to have a signal  $< 1\sigma$
- In the end, 99% of the data were available prior to unblinding...necessary to understand errors

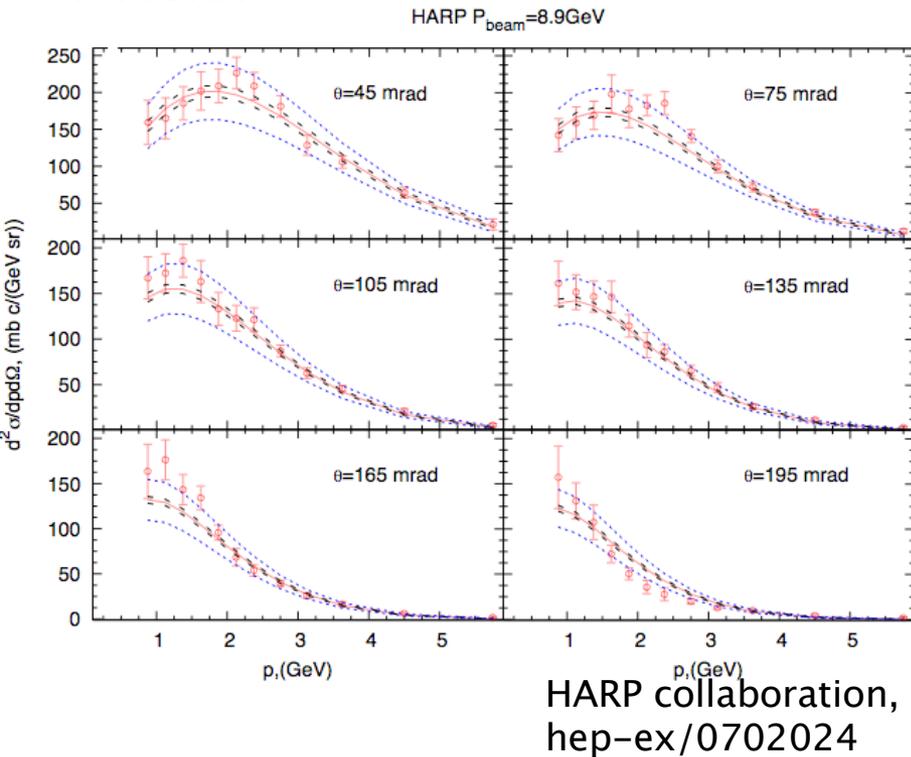


# Flux Prediction



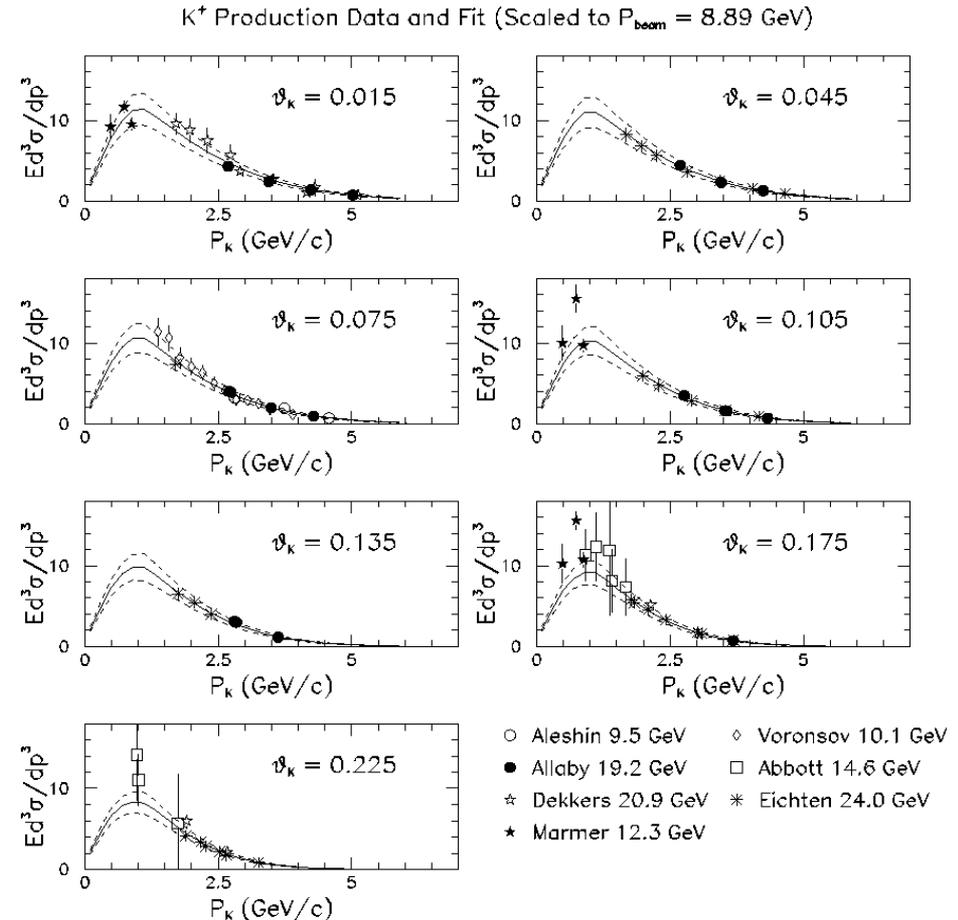
# Meson production at the target

## Pions:



- MiniBooNE members joined the HARP collaboration
  - 8 GeV proton beam
  - 5%  $\lambda$  Beryllium target
- Data were fit to Sanford–Wang parameterization

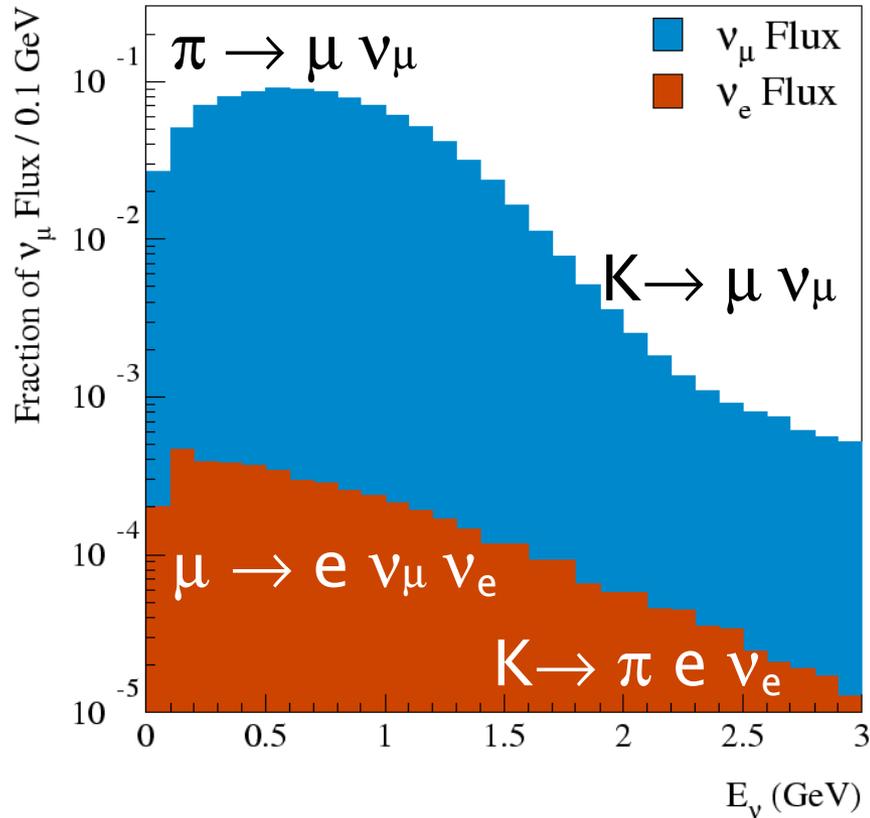
## Kaons:



- Kaon data taken on multiple targets in 10–24 GeV range
- Fit to world data using Feynman scaling
- 30% overall uncertainty assessed



# Final neutrino flux estimation



$$\nu_e / \nu_\mu = 0.5\%$$

“Intrinsic”  $\nu_e + \bar{\nu}_e$  sources:

$$\mu^+ \rightarrow e^+ \bar{\nu}_\mu \nu_e \quad (52\%)$$

$$K^+ \rightarrow \pi^0 e^+ \nu_e \quad (29\%)$$

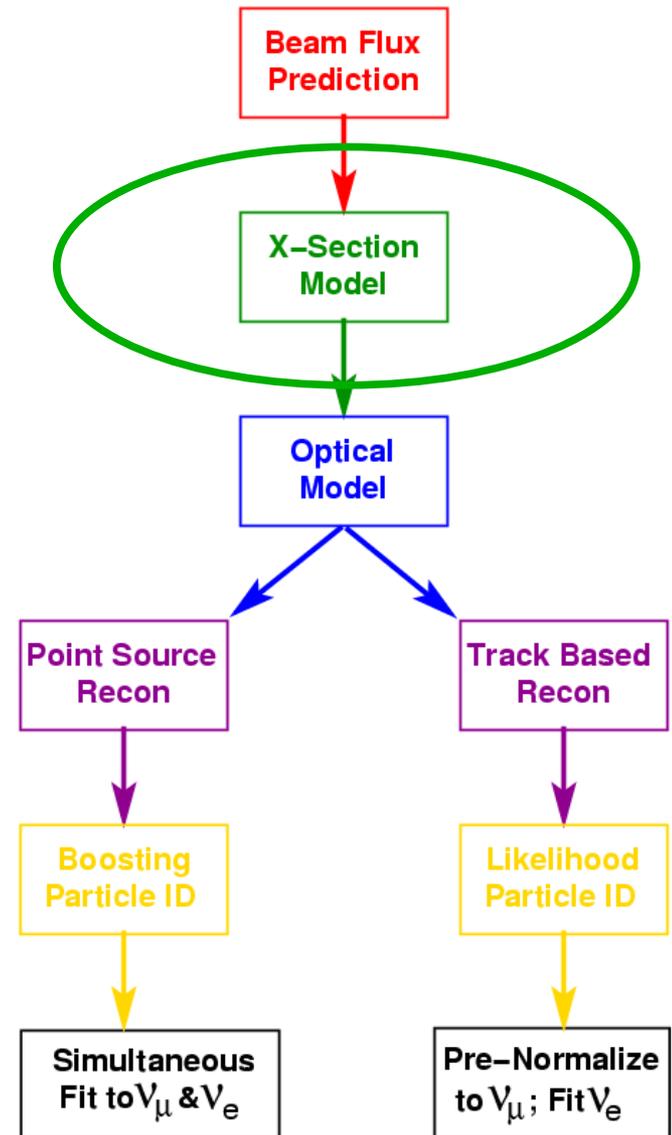
$$K^0 \rightarrow \pi e \nu_e \quad (14\%)$$

$$\text{Other} \quad (5\%)$$

Antineutrino content: 6%



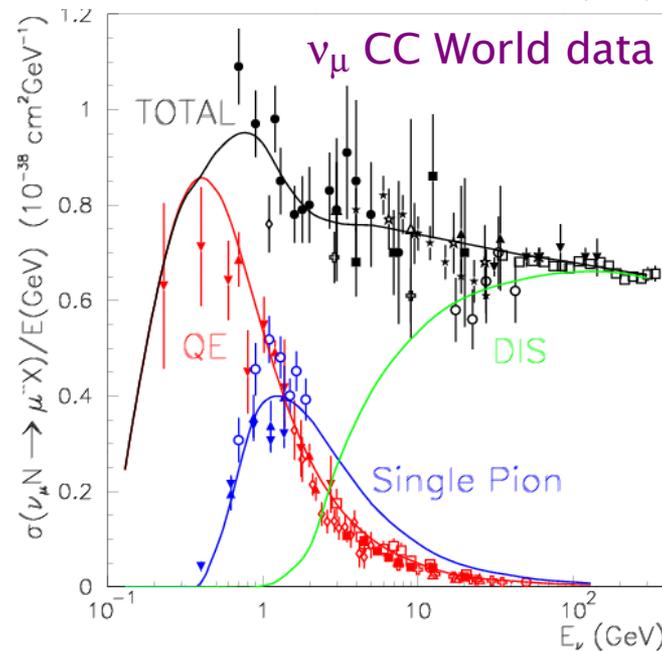
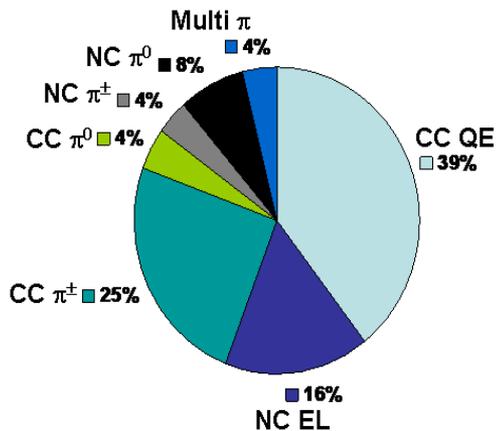
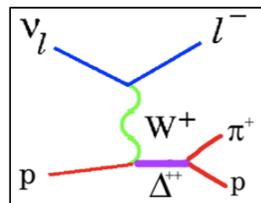
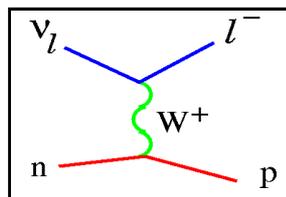
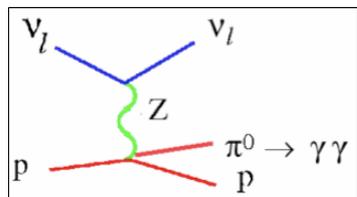
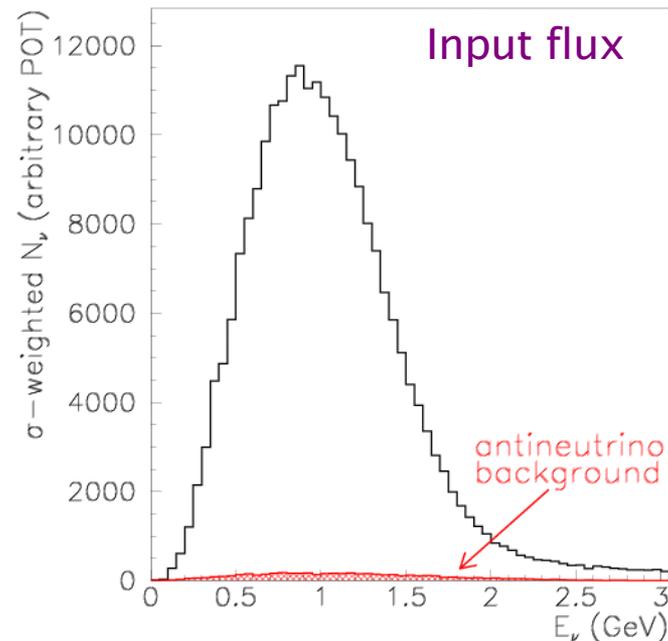
# X-Section Model



# Nuance Monte Carlo

D. Casper, NPS, 112 (2002) 161

- Comprehensive generator, covers entire  $E_\nu$  range
- Predicts relative rate of specific  $\nu$  interactions from input flux
- Expected interaction rates in MiniBooNE (before cuts) shown below
- Based on world data,  $\nu_\mu$  CC shown below right
- Also tuned on internal data

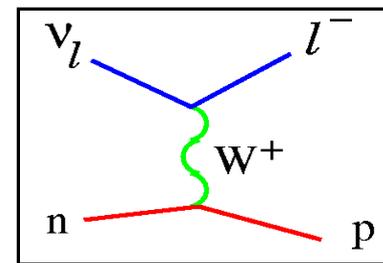
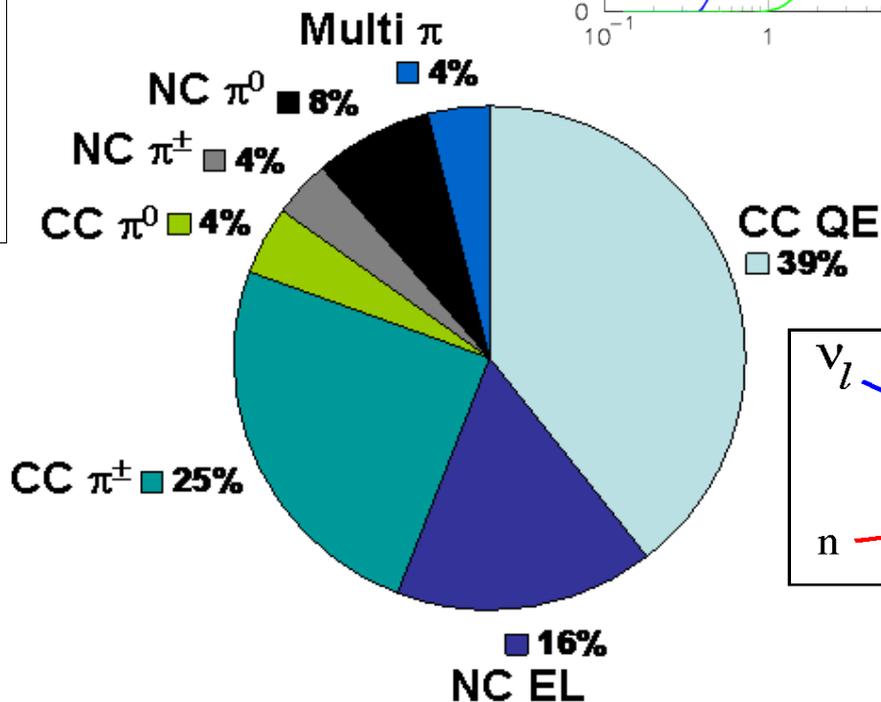
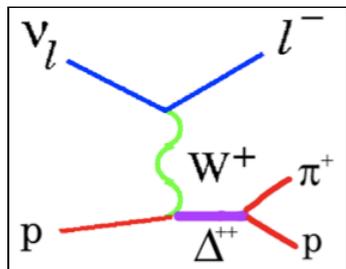
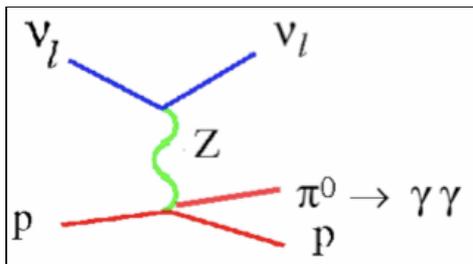
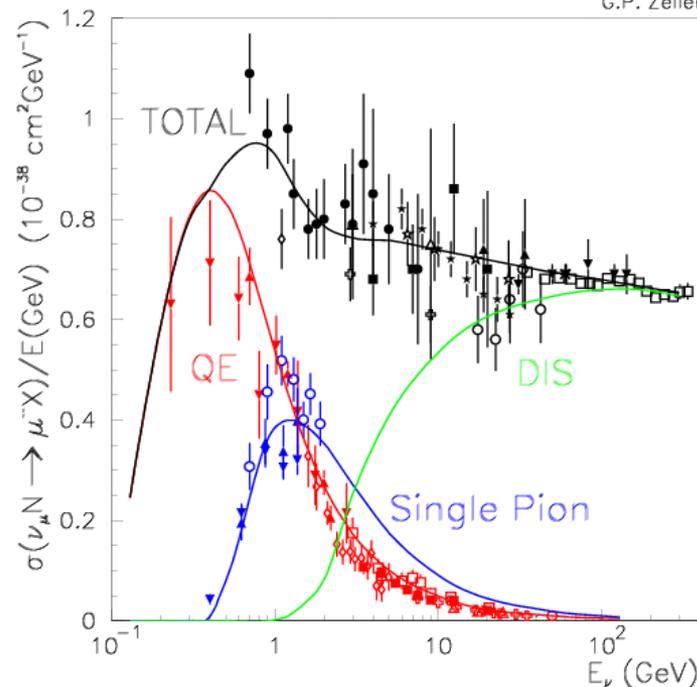


# Nuance Monte Carlo

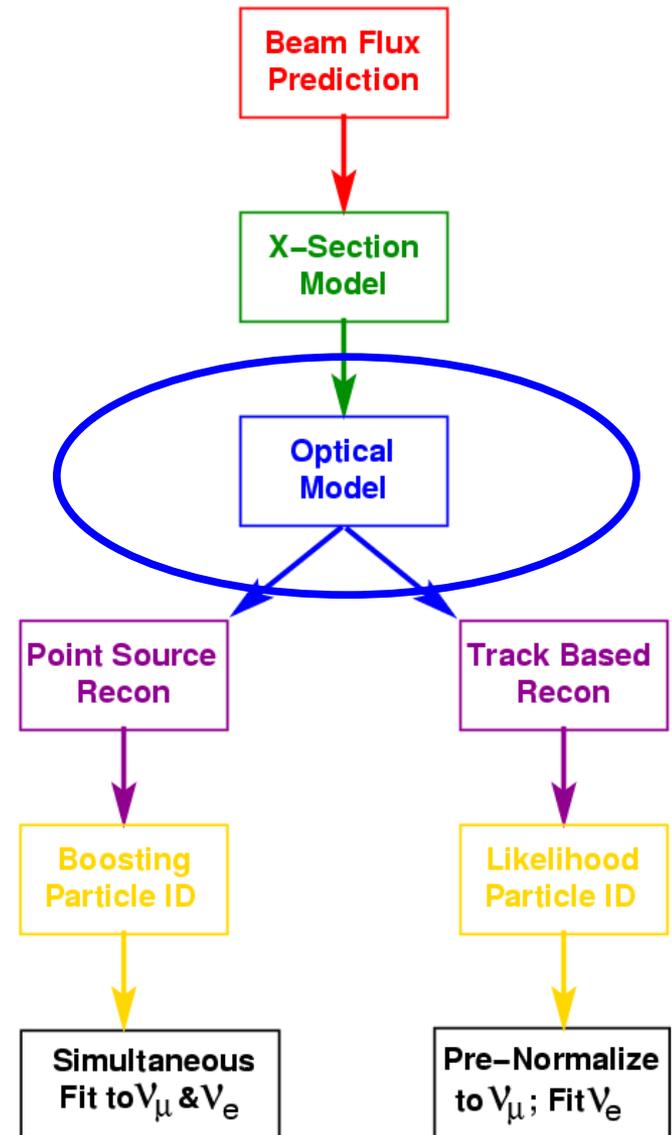
D. Casper, NPS, 112 (2002) 161

- Used to predict rate of specific  $\nu$  interactions
- World data for various channels shown at right
- Tuned on external and internal data
- Expected interaction rate in MiniBooNE (before cuts) shown below

G.P. Zeller

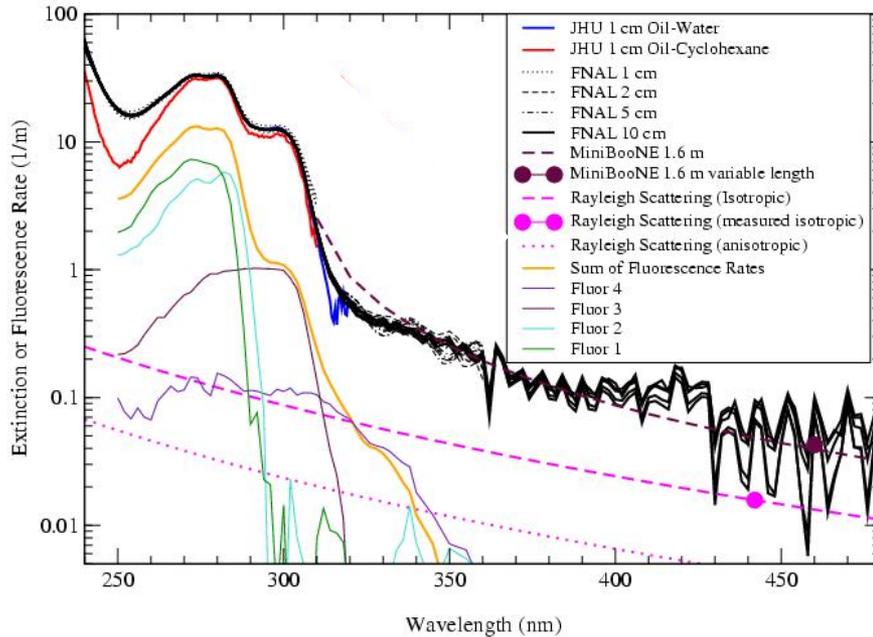


# Optical Model

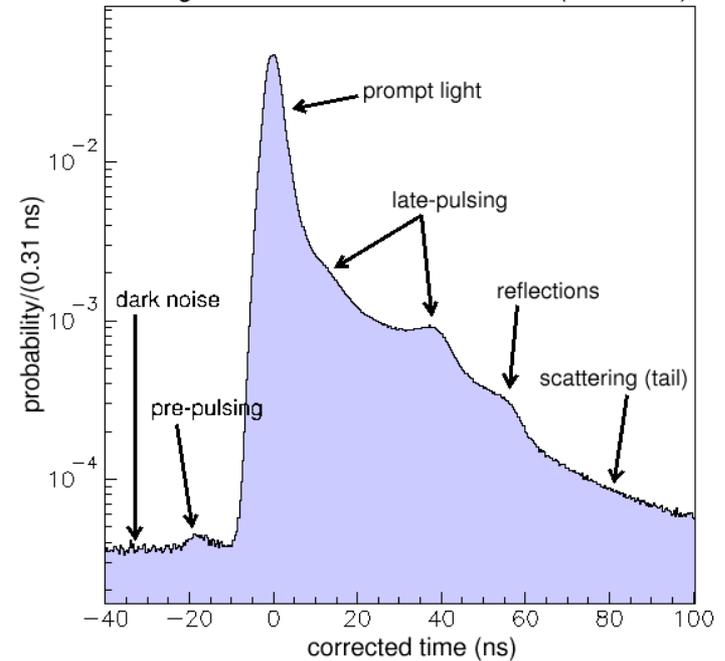


# Light propagation in the detector

Extinction Rate for MiniBooNE Marcol 7 Mineral Oil

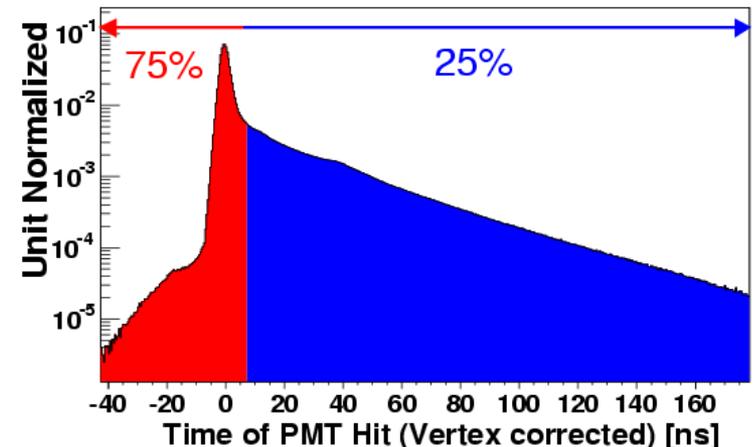


Timing Distribution for Laser Events

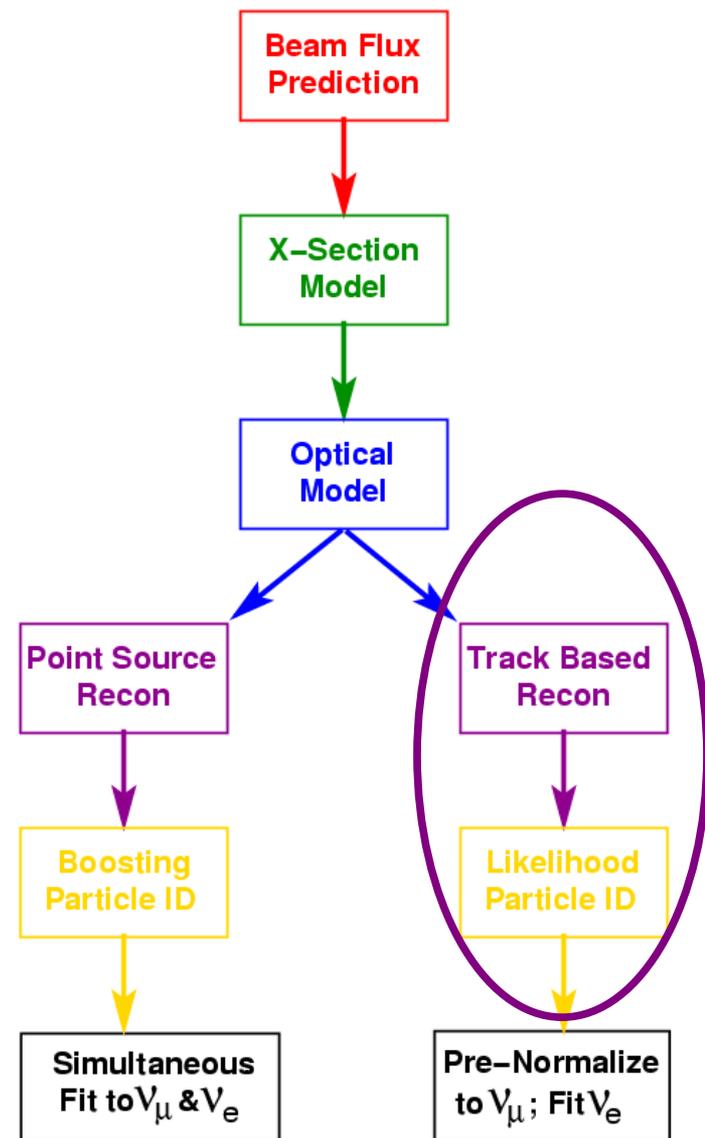


- Optical model is very complex
  - ➔ Cerenkov, scintillation, fluorescence
  - ➔ PMT Q/t response
  - ➔ Scattering, reflection, prepulses
- Overall, about 40 parameters

Michel electron  $t$  distribution

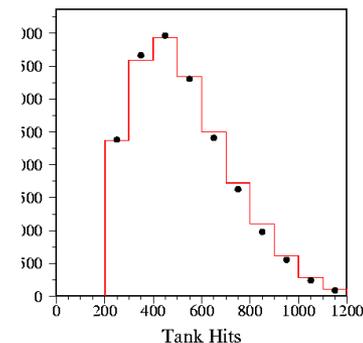
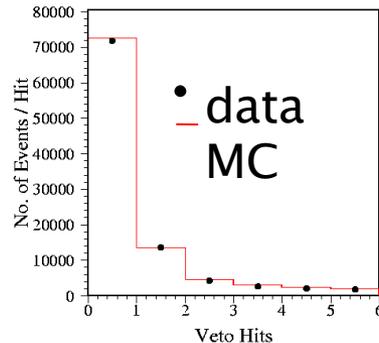
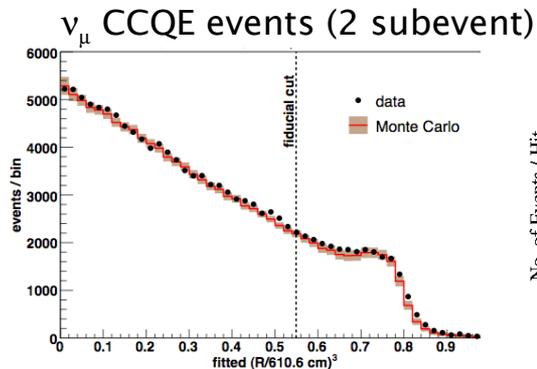


# Track-Based Likelihood (TBL) Reconstruction and Particle ID

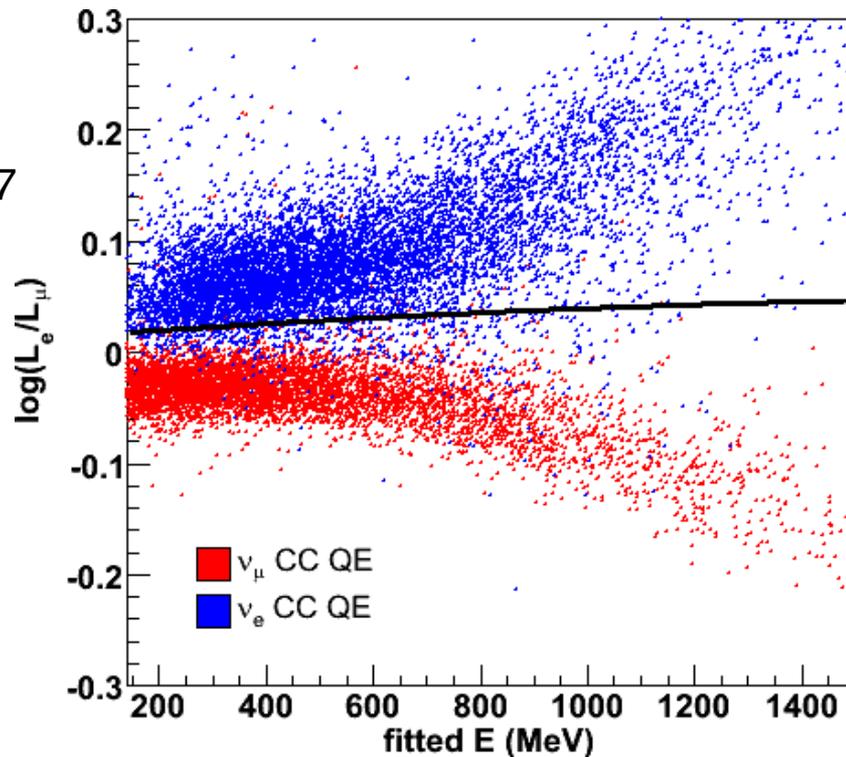
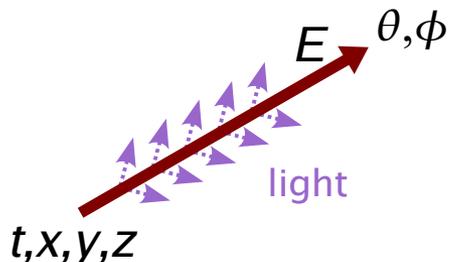


# TBL Analysis: Separating e from $\mu$

- Analysis pre-cuts
  - Only 1 subevent
  - Veto hits < 6
  - Tank hits > 200
  - Radius < 500 cm

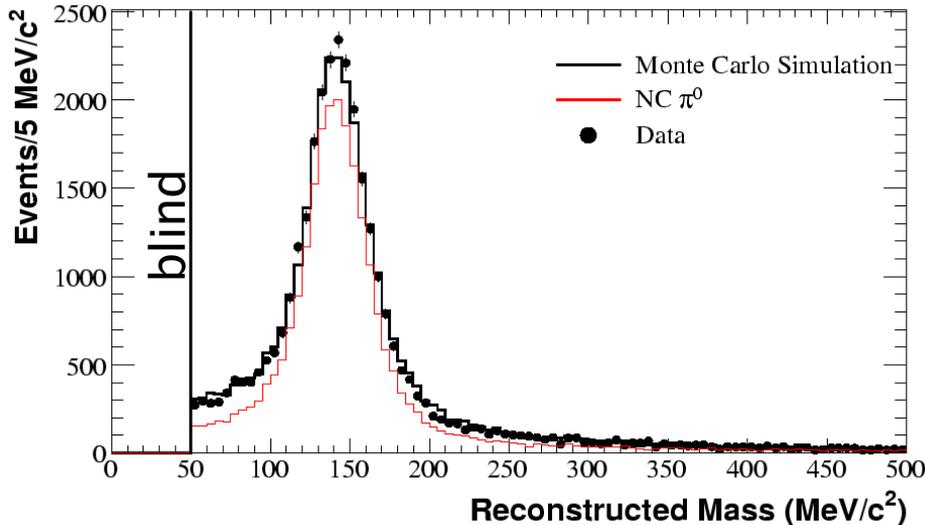
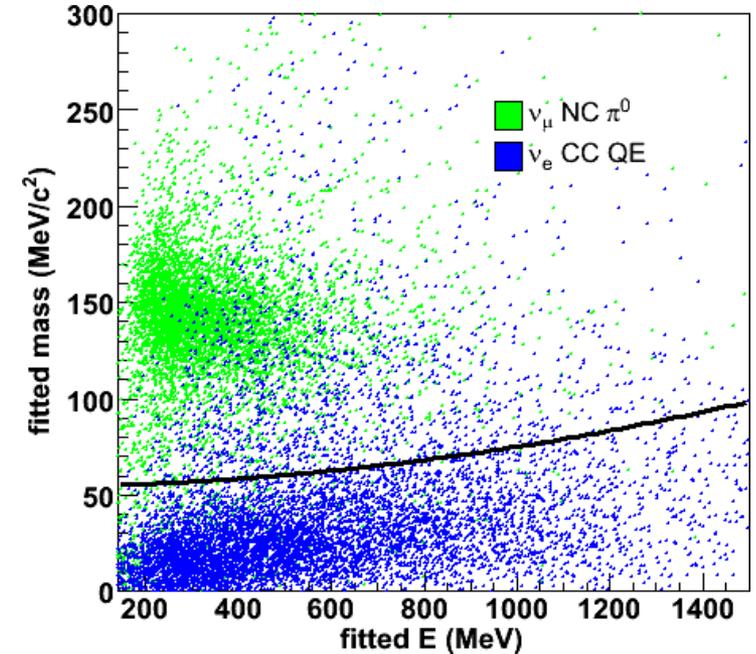
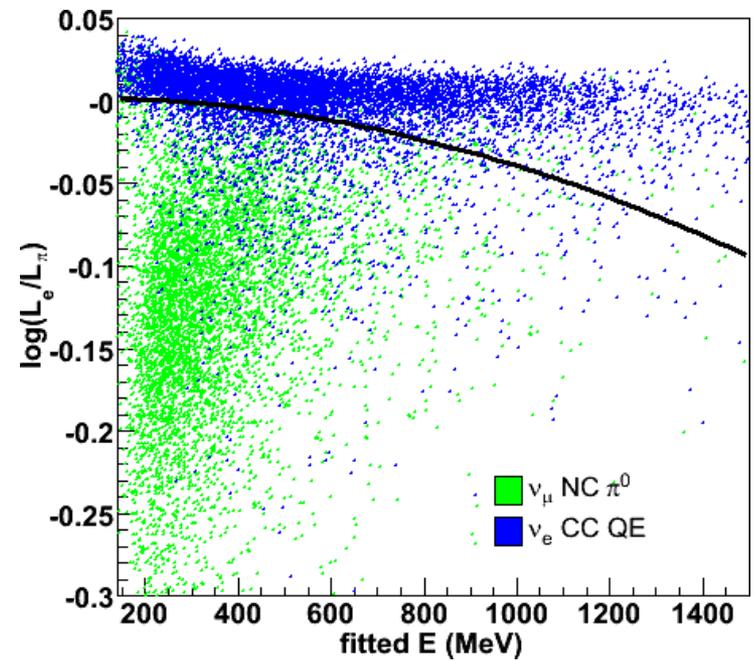
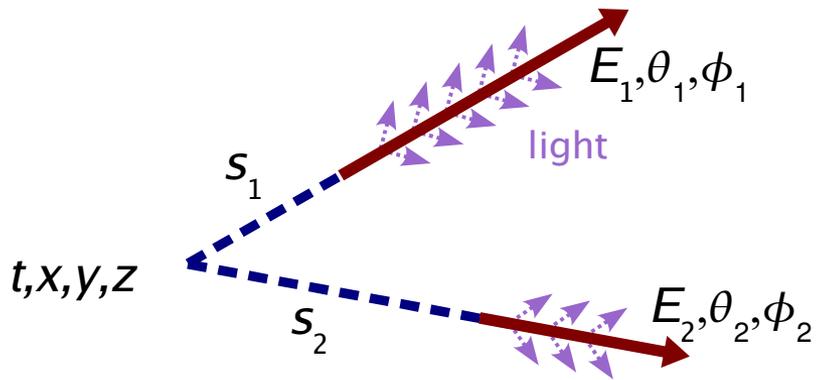


- Event is a collection of PMT-level info (q,t,x)
- Form sophisticated Q and T pdfs, and fit for 7 track parameters under 2 hypotheses
  - The track is due to an electron
  - The track is coming from a muon

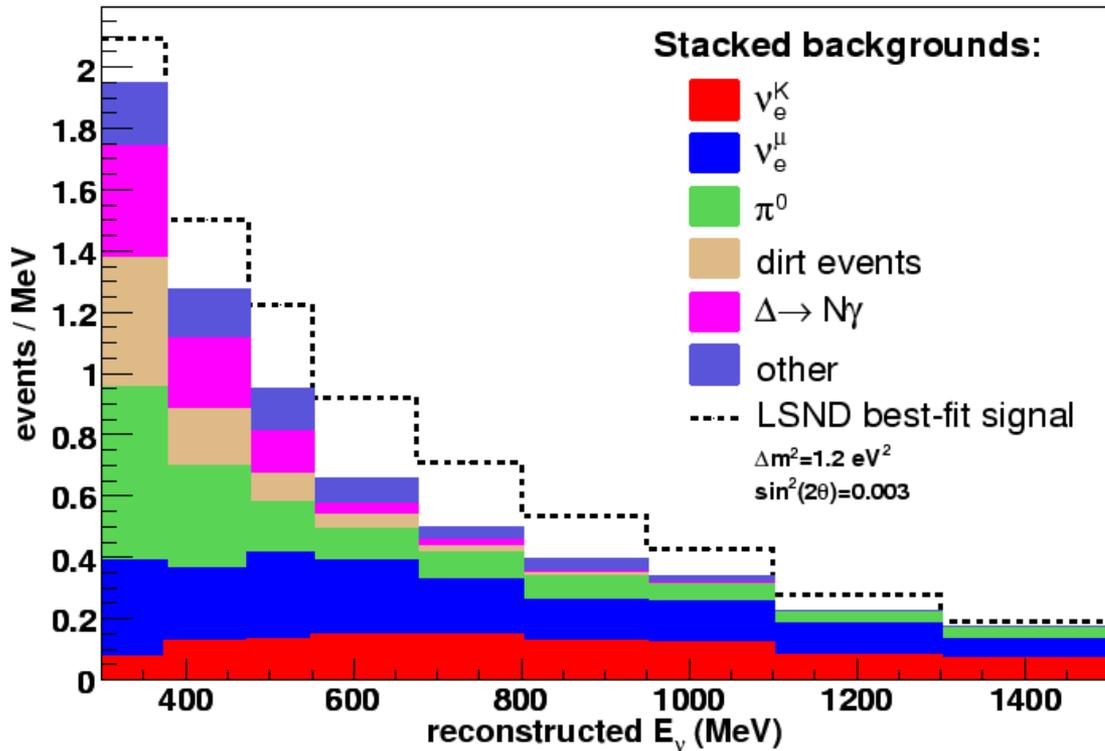


# Separating e from $\pi^0$

- Extend fit to include two e-like tracks
- Very tenacious fit...5 minutes per event
- Nearly 500k CPU hours used



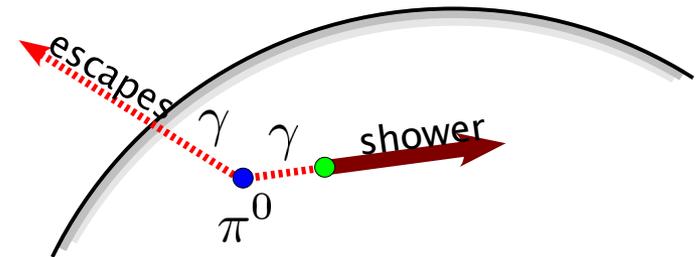
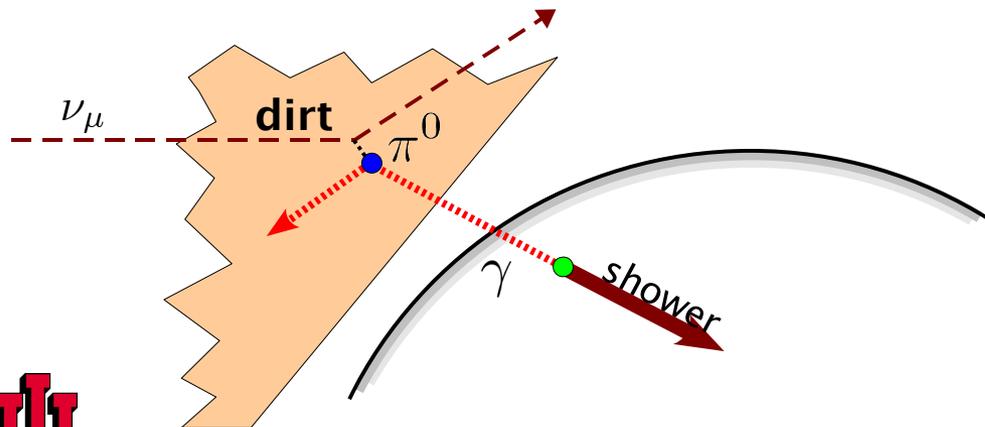
# TBL Analysis: Expected event totals



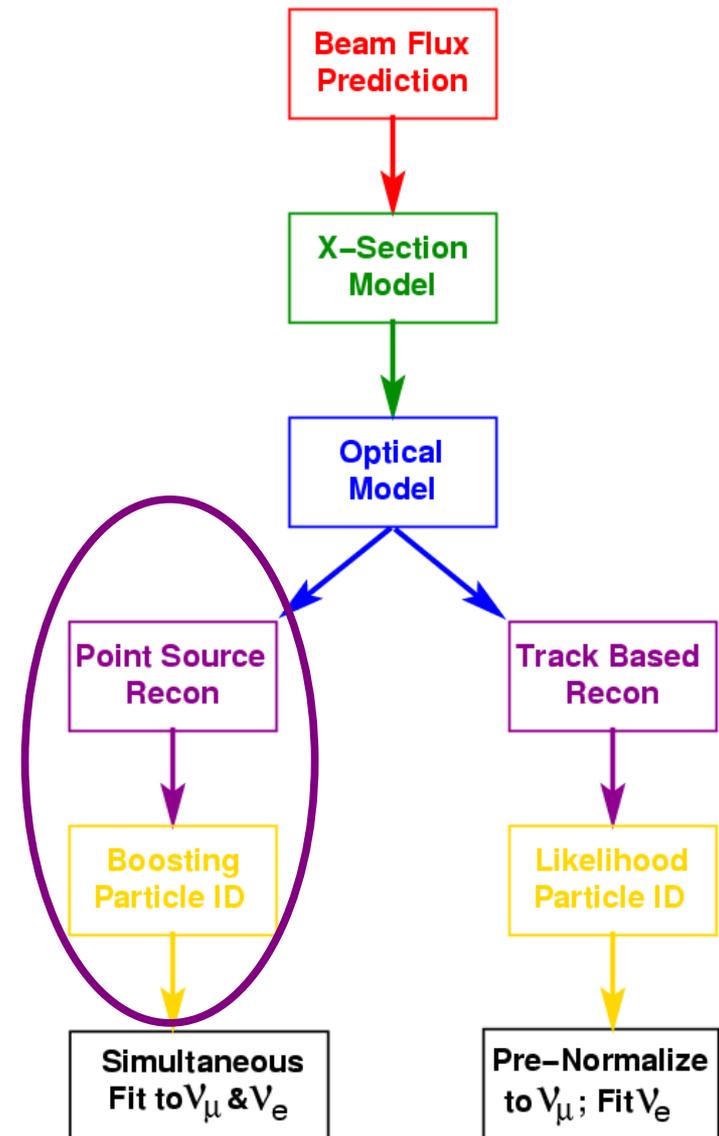
## 475 MeV - 1250 MeV

$\nu_e^K$	94
$\nu_e^\mu$	132
$\pi^0$	62
dirt	17
$\Delta \rightarrow N\gamma$	20
other	33
<b>total</b>	<b>358</b>

LSND best-fit  $\nu_\mu \rightarrow \nu_e$  126



# Boosted Decision Tree (BDT) Reconstruction and Particle ID



# BDT Reconstruction

**BDT Resolution:**  
 vertex: 24 cm  
 direction:  $3.8^\circ$   
 energy 14%

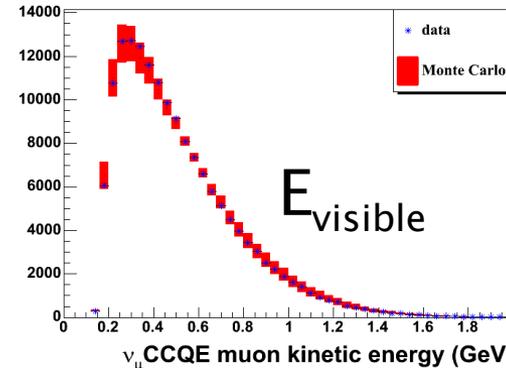
**TBL Resolution:**  
 vertex: 22 cm  
 direction:  $2.8^\circ$   
 energy 11%

- Same pre-cuts as TBL (taking R from different reconstruction)
- Different reconstruction:
  - Treats particles more like point sources, *i.e.* not as careful about dE/dx
  - Not as tenacious about getting out of local minima, particularly with pion fit
  - Reconstruction runs nearly 10 times faster
- To make up for the simple fit, the BDT analysis relies on a form of machine learning, the boosted decision tree. Byron P. Roe, *et al.*, NIM A543 (2005) 577.

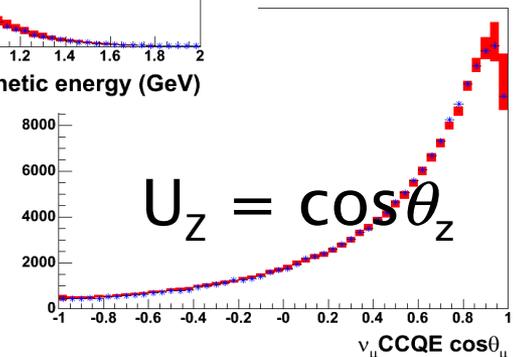
- Boosting Input Variables:
  - Low-level (# tank hits, early light fraction, etc.)
  - High-level ( $Q_2$ ,  $U_z$ , fit likelihoods, etc.)
  - Topology (charge in anuli, isotropic light, etc.)

- A total of 172 variables were used
- All 172 were checked for agreement within errors in 5 important 'boxes' ( $v_\mu$  CCQE, NC  $\pi^0$ , NC-elastic, Michel decay e, 10% closed)

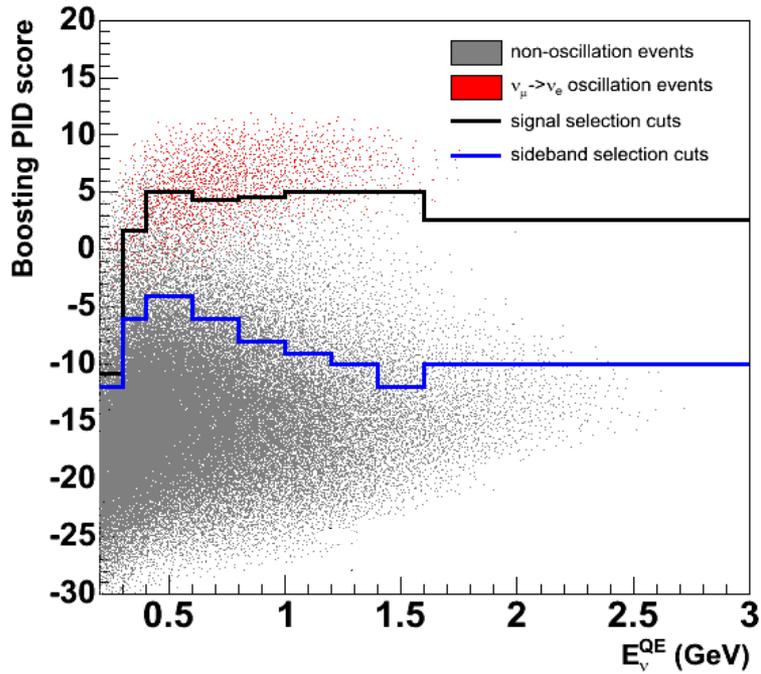
- Boosting Output: Single 'score', + is signal-like



$v_\mu$  CCQE  
 Examples



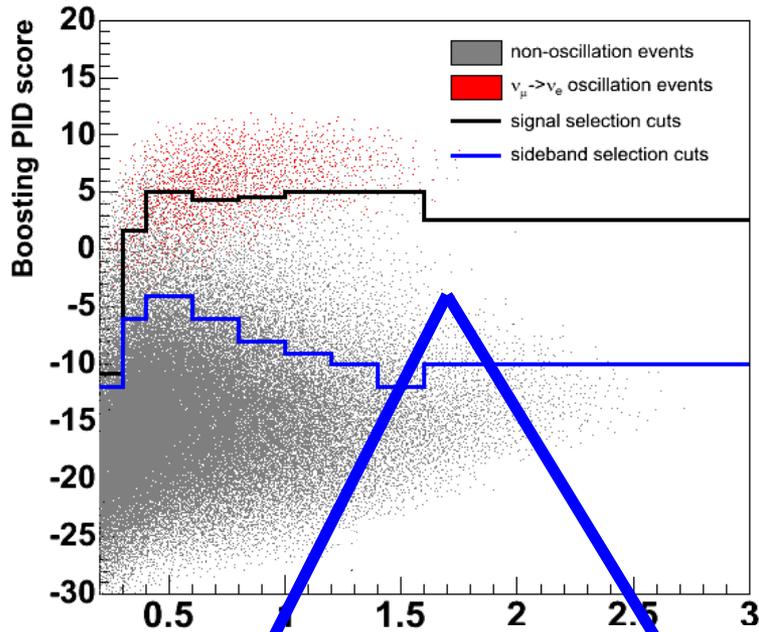
# BDT Analysis: Signal/background regions



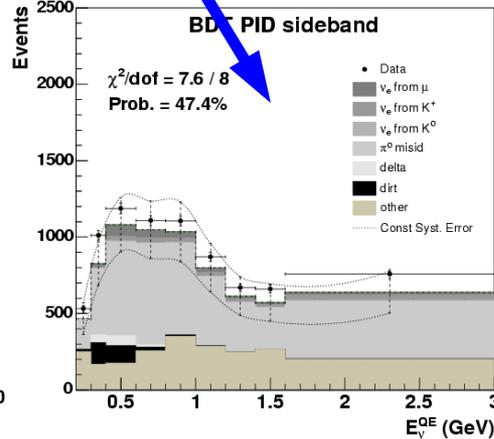
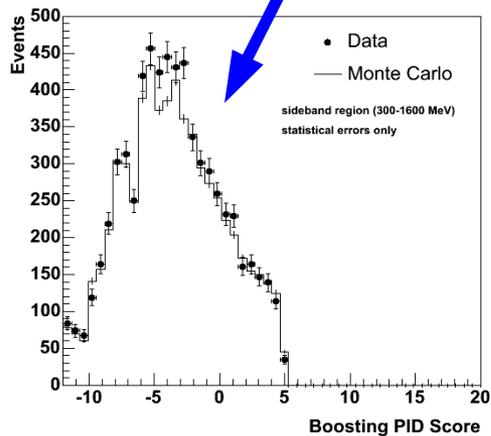
● Signal prediction (red) versus all bkgs (gray)



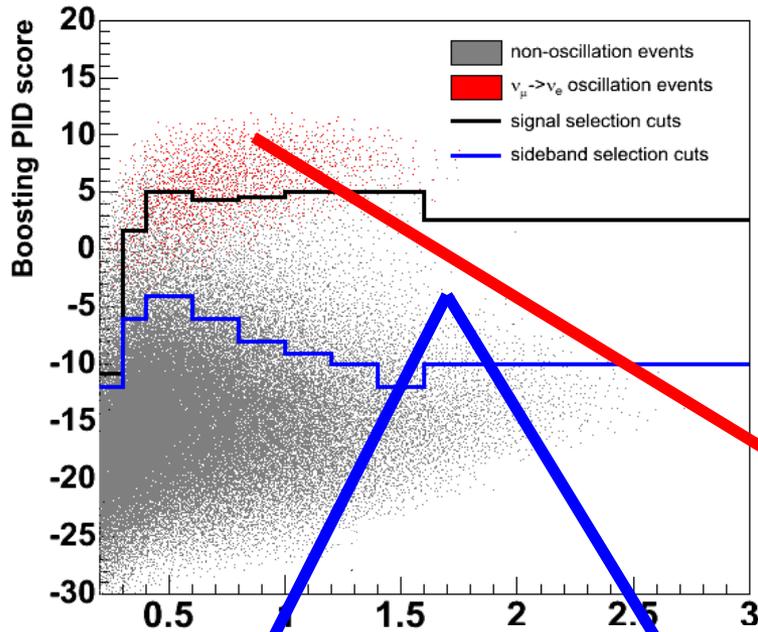
# BDT Analysis: Signal/background regions



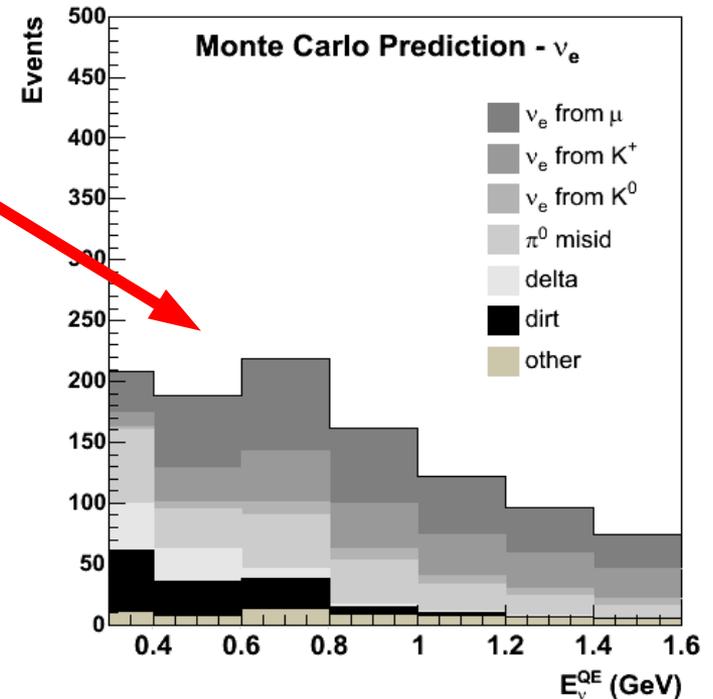
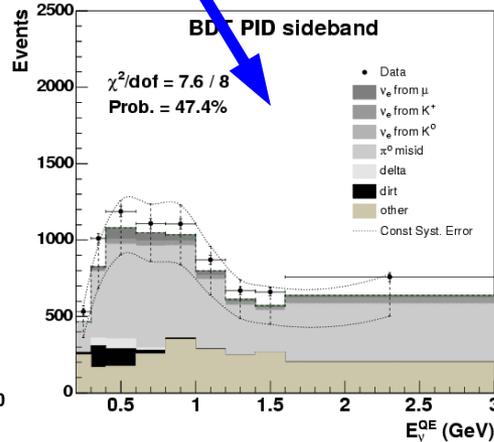
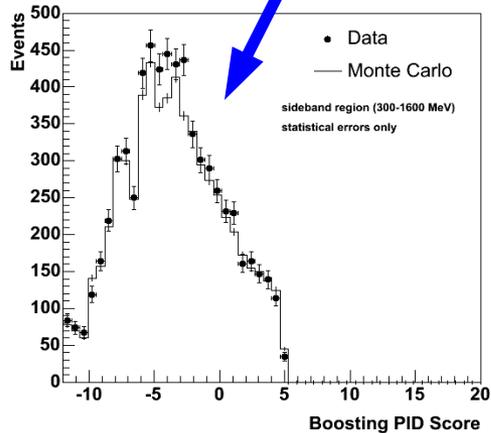
- Signal prediction (red) versus all bkgs (gray)
- Start by looking at data in 'sideband'...region immediately adjacent to signal region



# BDT Analysis: Signal/background regions



- Signal prediction (red) versus all bkgs (gray)
- Start by looking at data in 'sideband'...region immediately adjacent to signal region
- Satisfied with agreement? Finalize background prediction

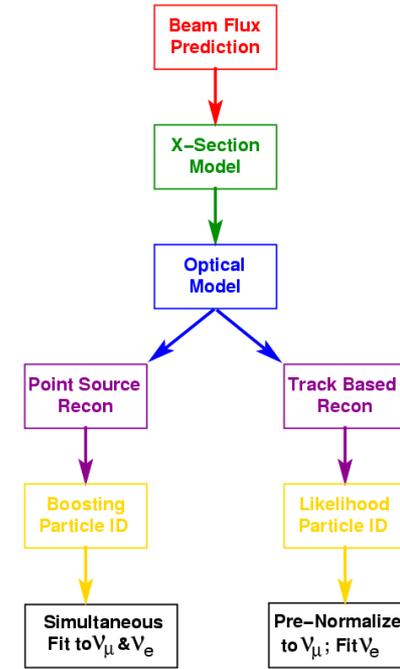


# Systematic Error Analysis and Results



# Final error budget (diagonals only...greatly simplified)

Source of uncertainty on $\nu_e$ background	TBL/BDT error in %	Constrained by MB data	Reduced by tying $\nu_e$ to $\nu_\mu$
Flux from $\pi^+/\mu^+$ decay	6.2 / 4.3	✓	✓
Flux from $K^+$ decay	3.3 / 1.0	✓	✓
Flux from $K^0$ decay	1.5 / 0.4	✓	✓
Target/beam models	2.8 / 1.3	✓	
$\nu$ -cross section	12.3 / 10.5	✓	✓
NC $\pi^0$ yield	1.8 / 1.5	✓	
Dirt interactions	0.8 / 3.4	✓	
Optical model	6.1 / 10.5	✓	✓
DAQ electronics model	7.5 / 10.8	✓	



• Every checkmark in this table could easily consume a 30 minute talk

- ➔ All error sources had some *in situ* constraint
- ➔ Some reduced by combined fit to  $\nu_\mu$  and  $\nu_e$

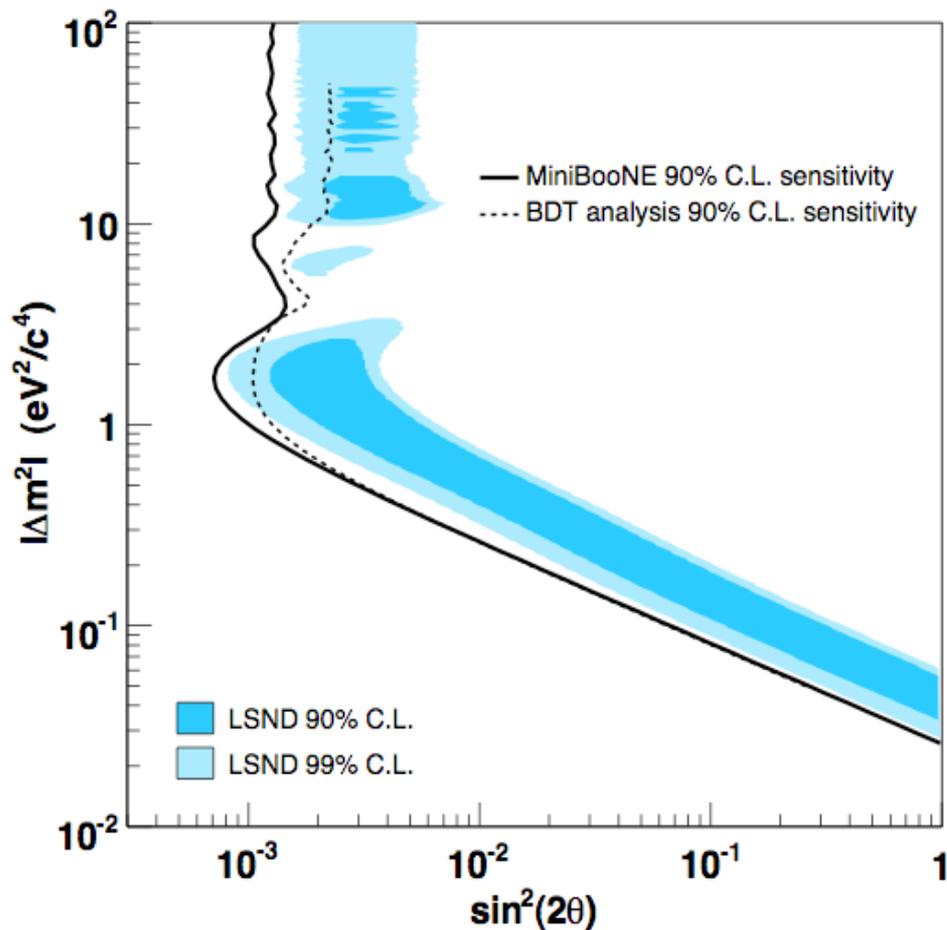
• Errors arise from common uncertainties in flux, xsec, and optical model

• Reconstruction and PID unique

- ➔ BDT had higher signal-to-background
- ➔ TBL more impervious to systematics
- ➔ About 50% event overlap



# BDT/TBL sensitivity comparison



- Sensitivity is determined from simulation only (no data yet!)
- Decided before unblinding that the analysis with higher sensitivity would be the final analysis
- TBL (solid) is better at high  $\Delta m^2$
- 90% CL defined by  $\Delta\chi^2 = 1.64$



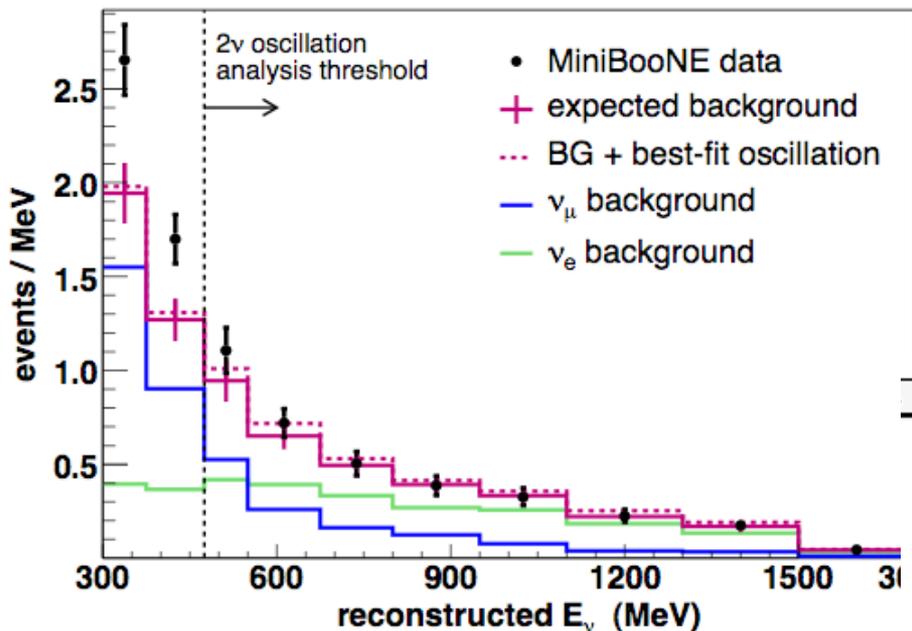
After many man-years and CPU-hours...



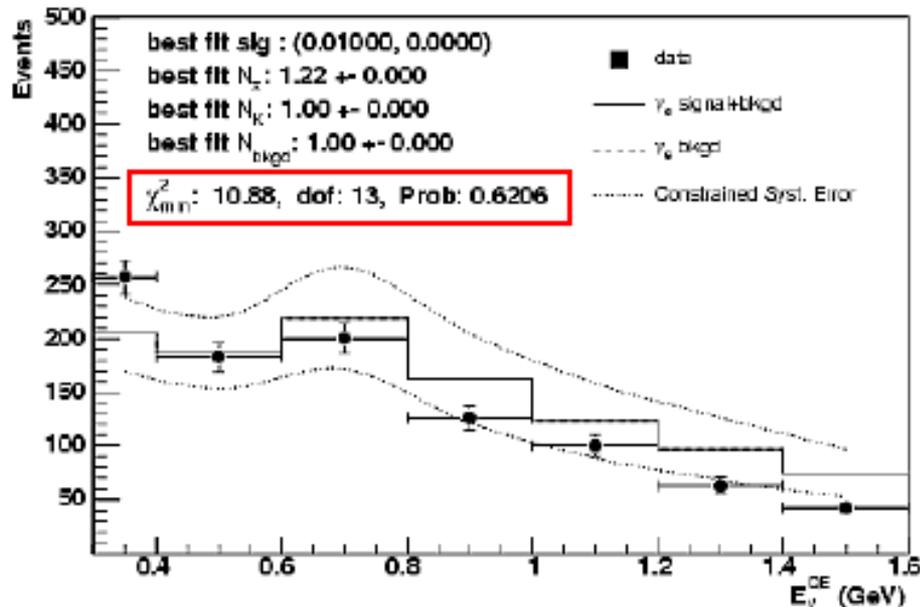
Chris Polly, Wayne State Colloquium, 1 Nov 2007



# Finally we see the data in the signal region...



- TBL shows no sign of an excess in the analysis region (where the LSND signal is expected for the  $2\nu$  mixing hypothesis)
- Visible excess at low E



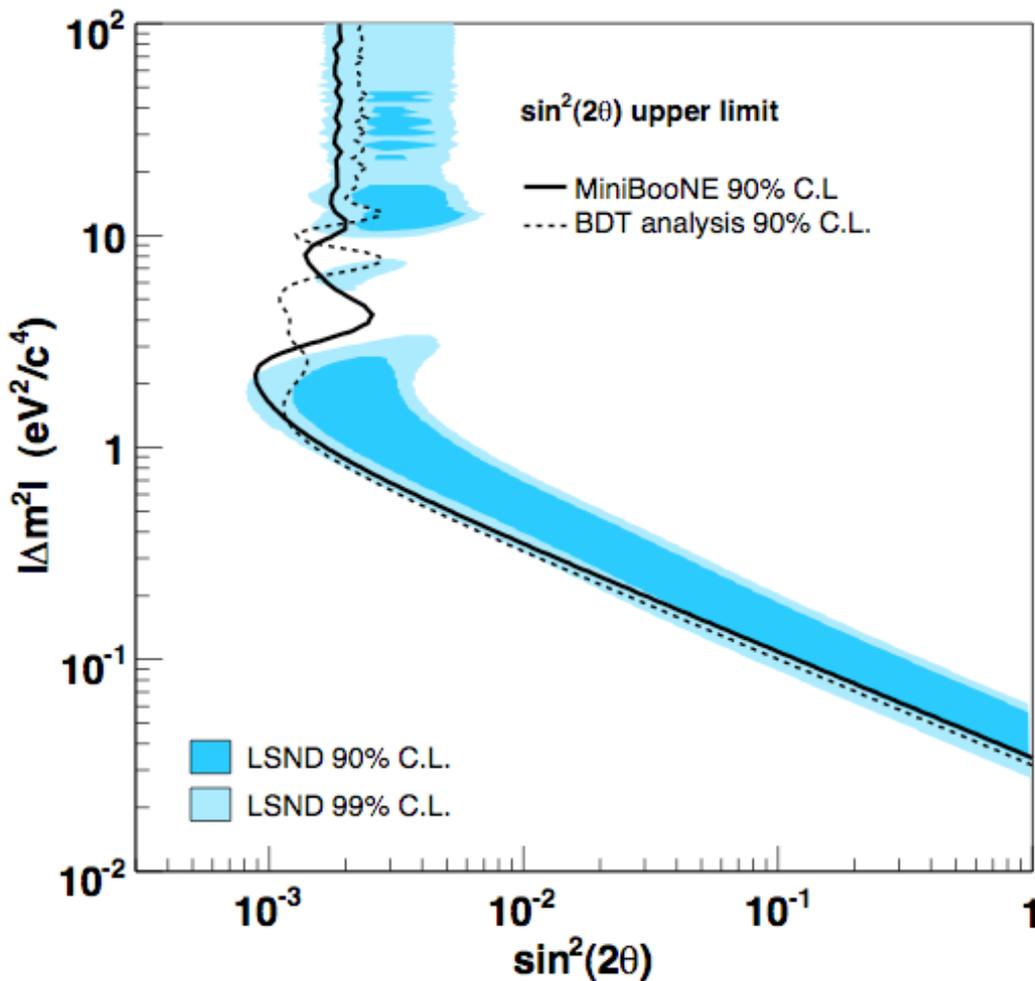
● BDT has a good fit and no sign of an excess, in fact the data is low relative to the prediction

● Also sees an excess at low E, but larger normalization error complicates interpretation

Neither analysis shows an evidence for  $\nu_\mu \rightarrow \nu_e$  appearance in the analysis region



# Fit results mapped into $\sin^2(2\theta)$ $\Delta m^2$ plane



- Energy-fit analysis:
  - ➔ solid: TBL
  - ➔ dashed: BDT
- Independent analyses in good agreement
- Looks similar to sensitivity because of the lack of a signal
- Had there been a signal, these curves would have curled around and closed into contours
- Possible outs for LSND?
  - ➔ CP violation,  $\nu$  not the same as anti- $\nu$ ?
  - ➔ LSND signal is not due to physics that scales as  $L/E$ ?
  - ➔ Is the excess at low E in MB telling us something?



# Future work for MiniBooNE

- Papers in support of this analysis
  - ➔ NC  $\pi^0$  background measurement
  - ➔  $\nu_\mu$  CCQE analysis
- Continued improvements of the  $\nu$  oscillation analysis
  - ➔ Combined BDT and TBL
  - ➔ More work on reducing systematics
- Re-examine low E backgrounds and significance of low E excess

- Lots of work on cross-sections
- MB has more  $\nu_\mu$  interactions than prior experiments in an energy range useful to future  $\nu$  expts.
- Event counts before cuts:

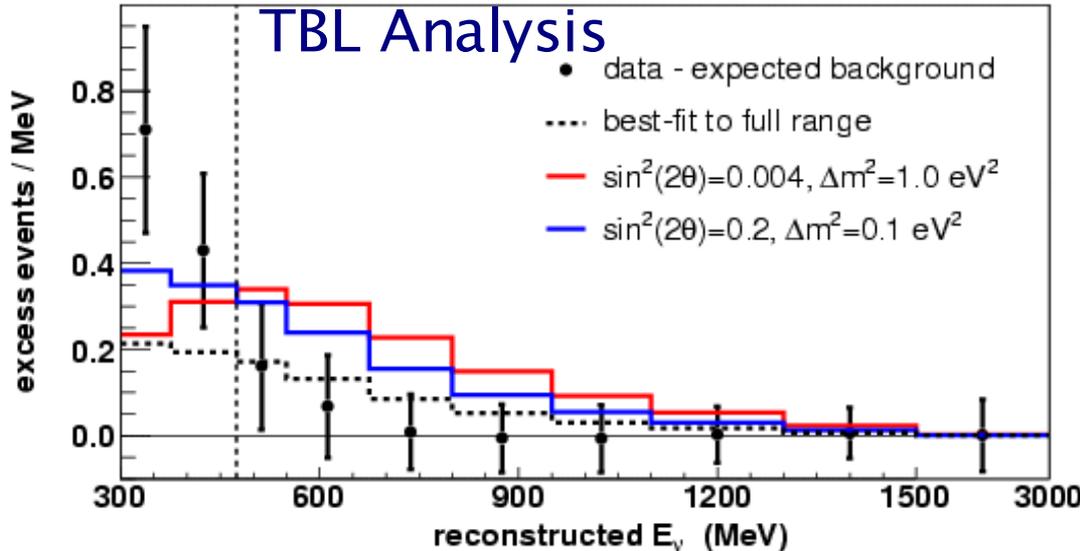
$\nu$ channel	events
all channels	810k
CC quasielastic	340k
NC elastic	150k
CC $\pi^+$	180k
CC $\pi^0$	30k
NC $\pi^0$	48k
NC $\pi^{+/-}$	27k
CC/NC DIS, multi- $\pi$	35k

$\bar{\nu}$ channel	events
all channels	54k
CC quasielastic	24k
NC elastic	10k
CC $\pi^-$	8.9k
CC $\pi^0$	1.7k
NC $\pi^0$	4.9k
NC $\pi^{+/-}$	1.8k
CC/NC DIS, multi- $\pi$	1.9k

$6 \times 10^{20}$  POT  
 $\nu$  mode

$2 \times 10^{20}$  POT  
 $\bar{\nu}$  mode

- Currently running in anti- $\nu$  mode for anti- $\nu$  cross sections



# Backup Slides



# Update on the low E excess...

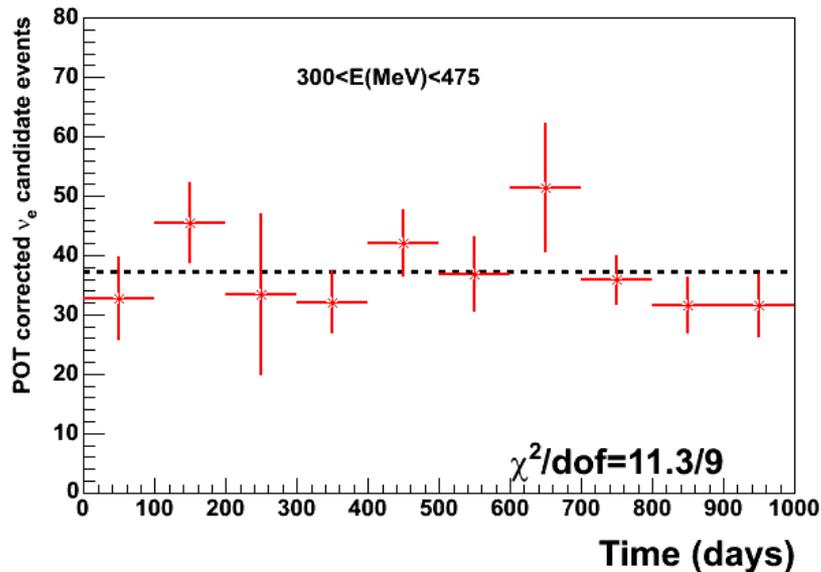
## No Detector anomalies found

- Example: rate of electron candidate events is constant (within errors) over course of run

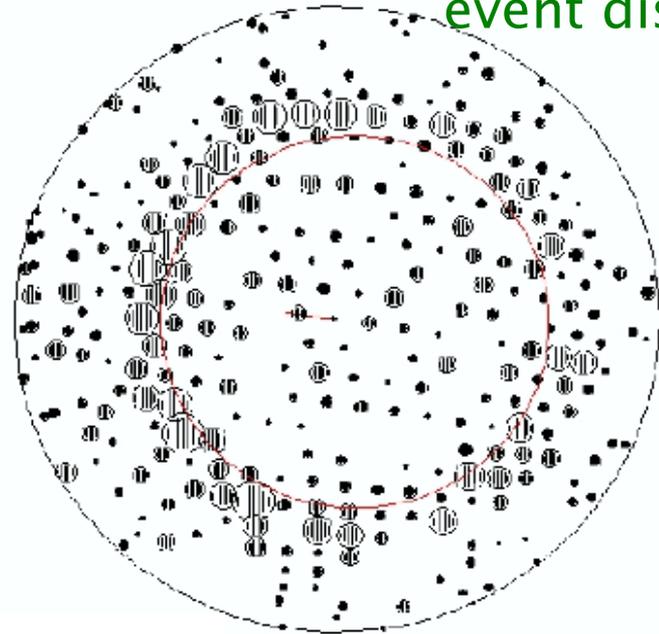
## No Reconstruction problems found

- All low-E electron candidate events have been examined via event displays, consistent with 1-ring events

event/POT vs day,  $300 < E_{\nu} < 475$  MeV



example signal-candidate event display



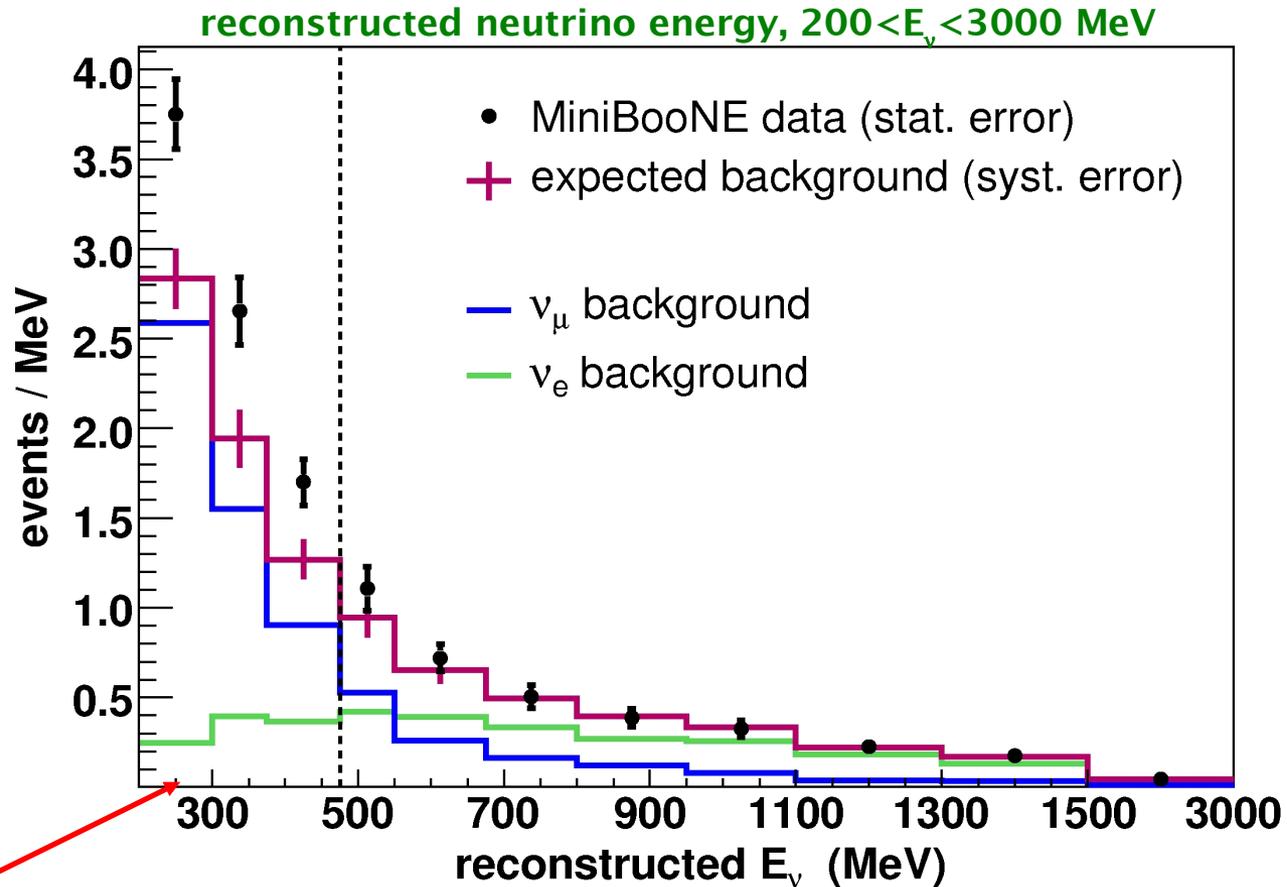
Signal candidate events are consistent with single-ring neutrino interactions

- But could be either electrons or photons



# Update on the low E excess...

Excess persists below 300 MeV but background is also rising



New low energy bin



# Update on the low E excess...

$E_\nu^{QE}$ [MeV]	200-300	300-475	475-1250	
<i>totalbackground</i>	<b>284±25</b>	<b>274±21</b>	<b>358±35</b>	<i>(syst. error)</i>
$\nu_e$ <i>intrinsic</i>	26	67	229	
$\nu_\mu$ <i>induced</i>	258	207	129	
NC $\pi^0$	115	76	62	
NC $\Delta \rightarrow N\gamma$	20	51	20	
<i>Dirt</i>	99	50	17	
<i>other</i>	24	30	30	
<b>Data</b>	<b>375±19</b>	<b>369±19</b>	<b>380±19</b>	<i>(stat. error)</i>
<b>Data-MC</b>	<b>91±31</b>	<b>95±28</b>	<b>22±40</b>	<i>(stat+syst)</i>

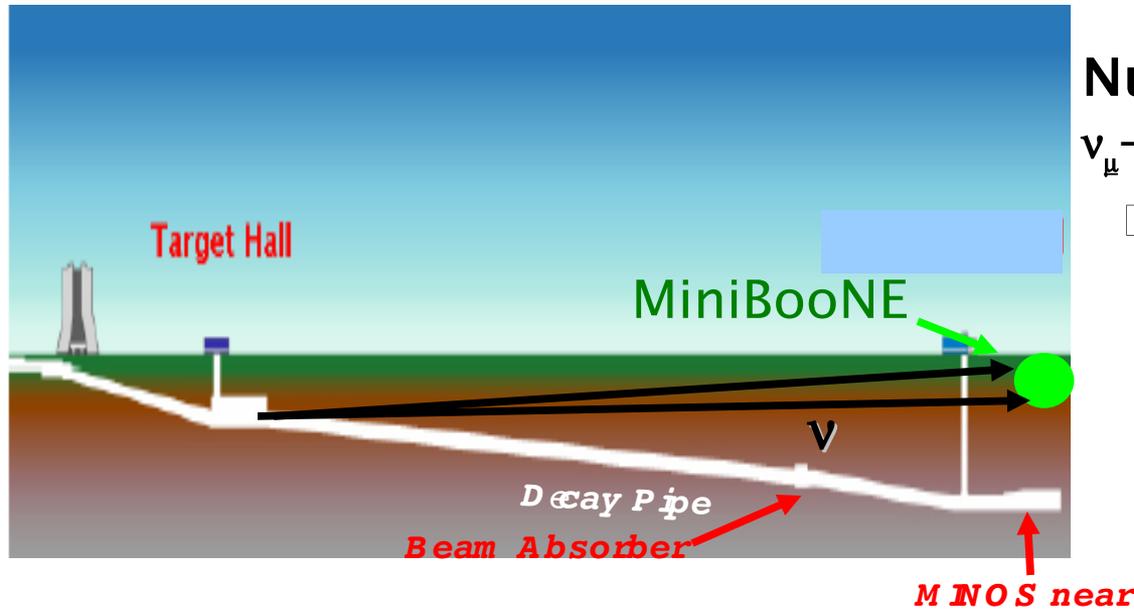
- NC  $\pi^0$  largest
- Dirt background significant
- NC  $\Delta \rightarrow N\gamma$  falling off
- Intrinsic  $\nu_e$  negligible
- Three main:
  - NC  $\pi^0$
  - Dirt bkgnd
  - NC  $\Delta \rightarrow N\gamma$
- Intrinsic  $\nu_e$  small
- Intrinsic  $\nu_e$  largest
- NC  $\pi^0$  significant
- Others small



Systematics/backgrounds at low E still under study...

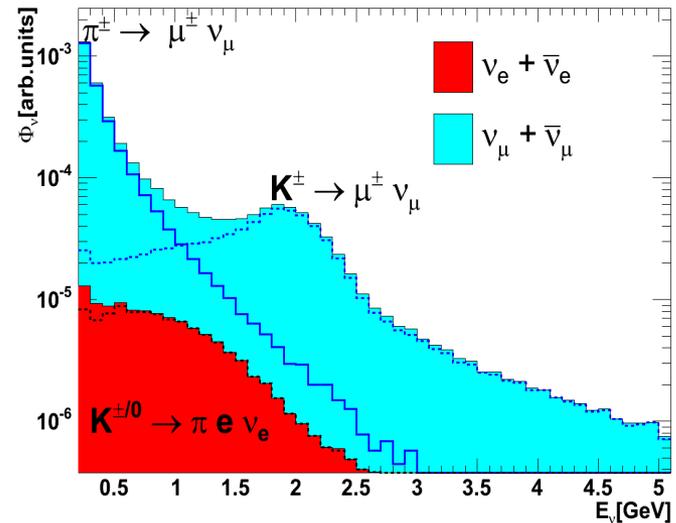


# NuMI neutrinos in the MB detector...

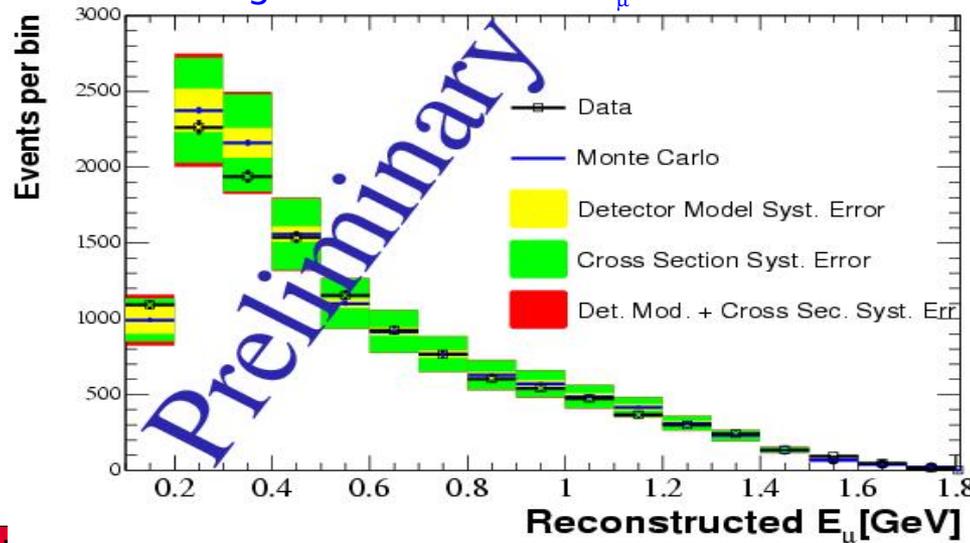


**NuMI event composition:**  
 $\nu_{\mu}$  - 81%,  $\nu_e$  - 5%,  $\bar{\nu}_{\mu}$  - 13%,  $\bar{\nu}_e$  - 1%

NuMI  $\nu$  Flux at MiniBooNE



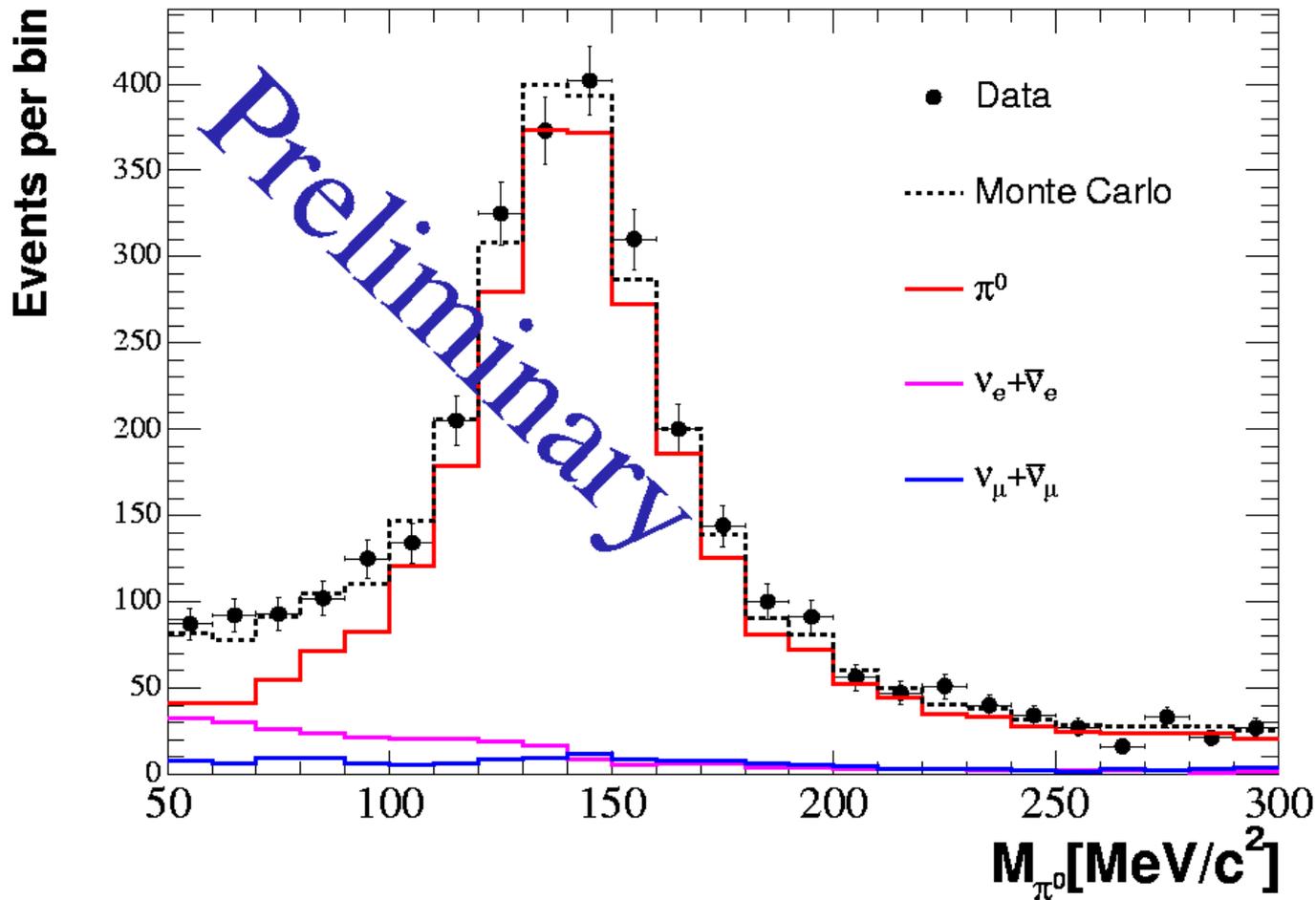
Data vs MC Agreement for NuMI  $\nu_{\mu}$  events in MiniBooNE



The beam at MiniBooNE from NuMI is significantly **enhanced** in  $\nu_e$  from **K decay** because of the off-axis position.



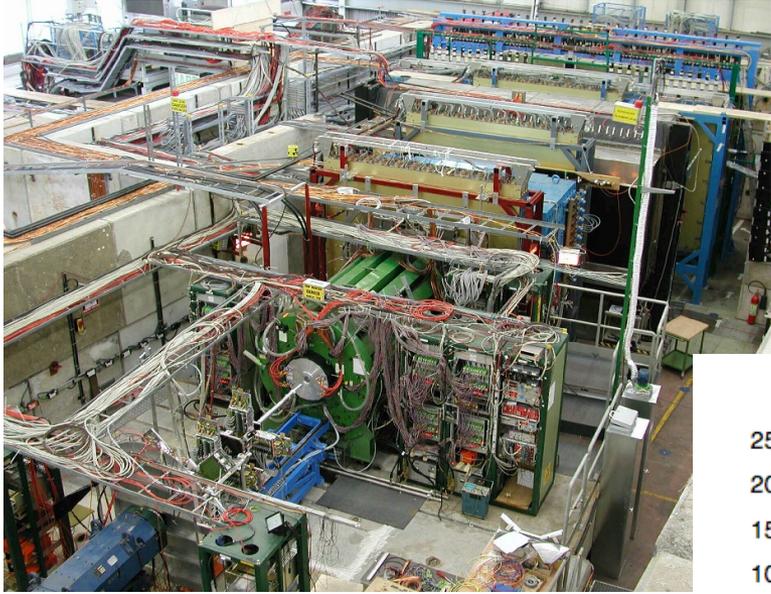
# Analysis of $\pi^0$ events from NuMI beam



- Good data/MC agreement for  $\pi^0$  events
- Ready to finalize background predictions/systematics
- Final step: Look for  $\nu_e$  oscillation or excess



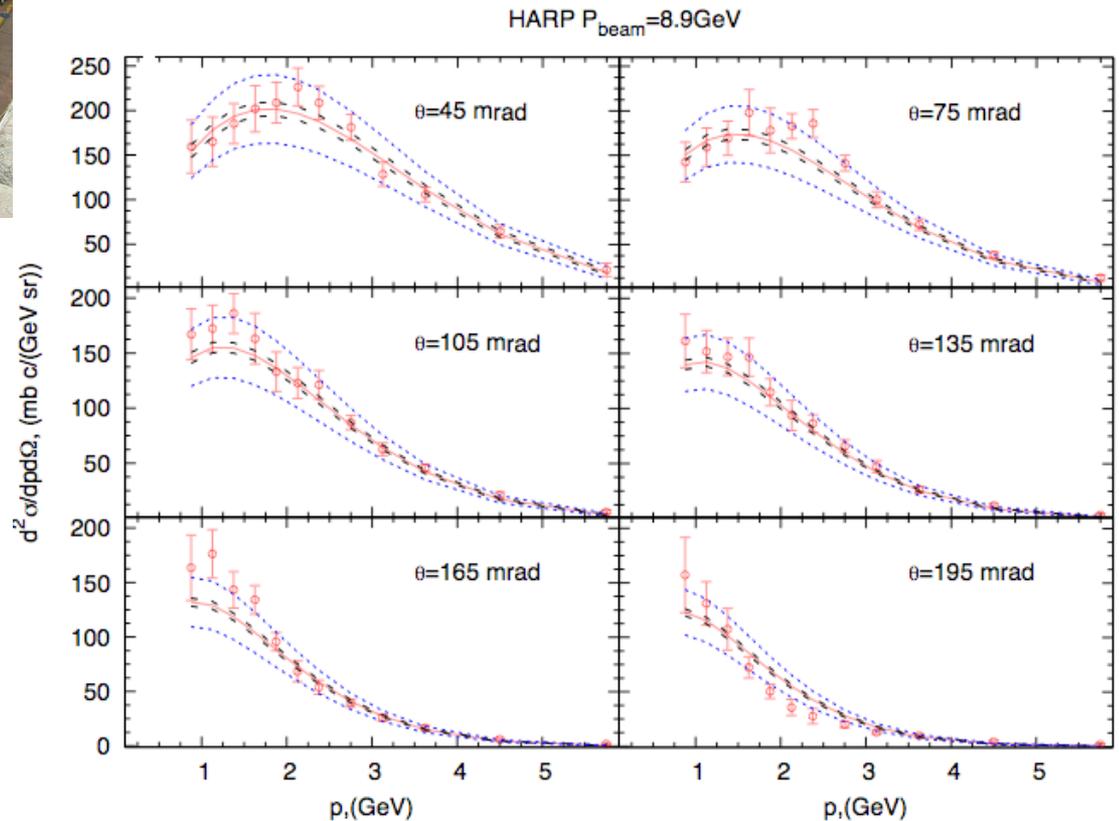
# Modeling pion production



- HARP (CERN)
  - 5%  $\lambda$  Beryllium target
  - 8.9 GeV proton beam momentum

Data are fit to a Sanford-Wang parameterization.

HARP collaboration,  
hep-ex/0702024



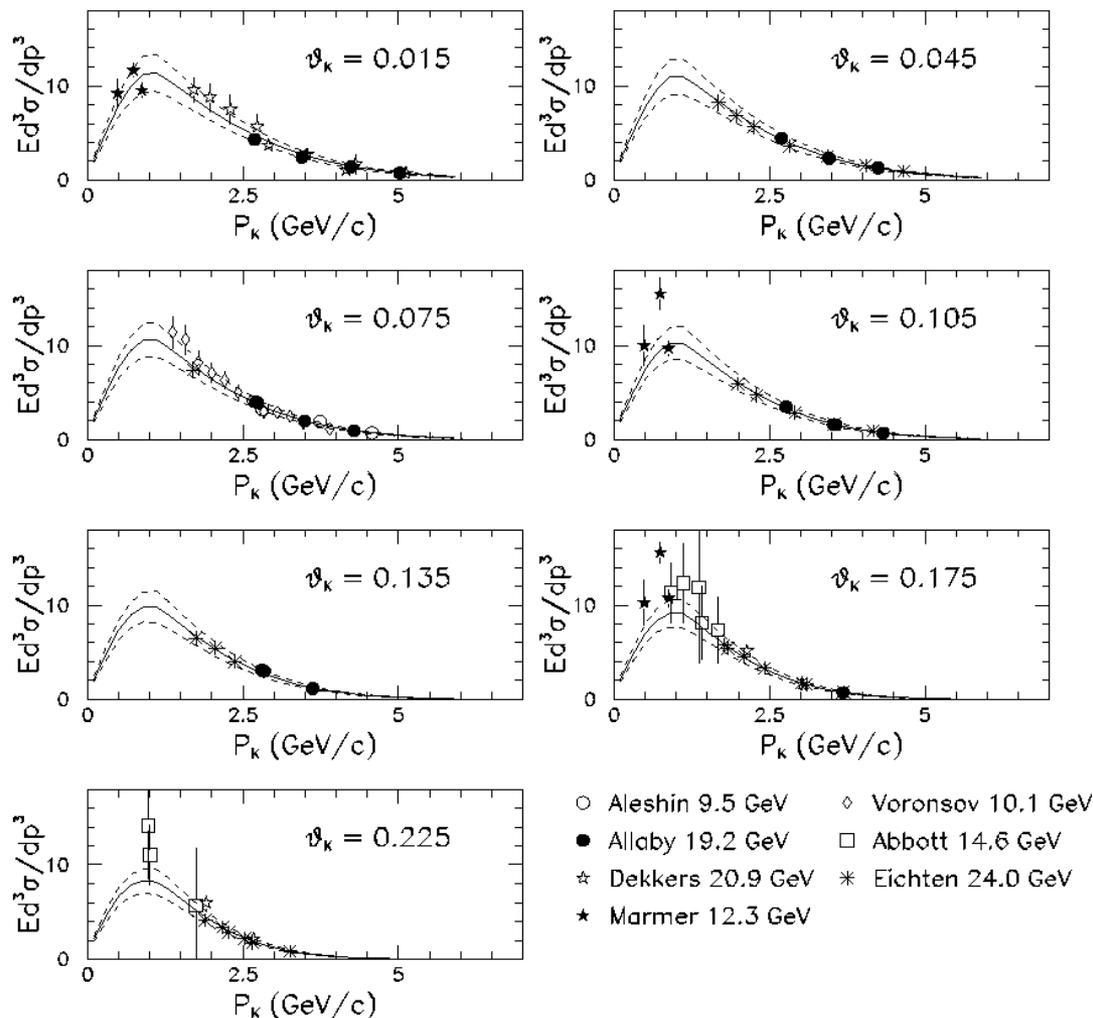
# Modeling kaon production

$K^+$  Production Data and Fit (Scaled to  $P_{\text{beam}} = 8.89$  GeV)

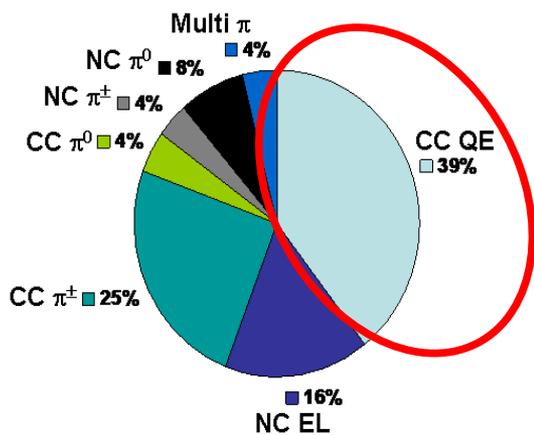
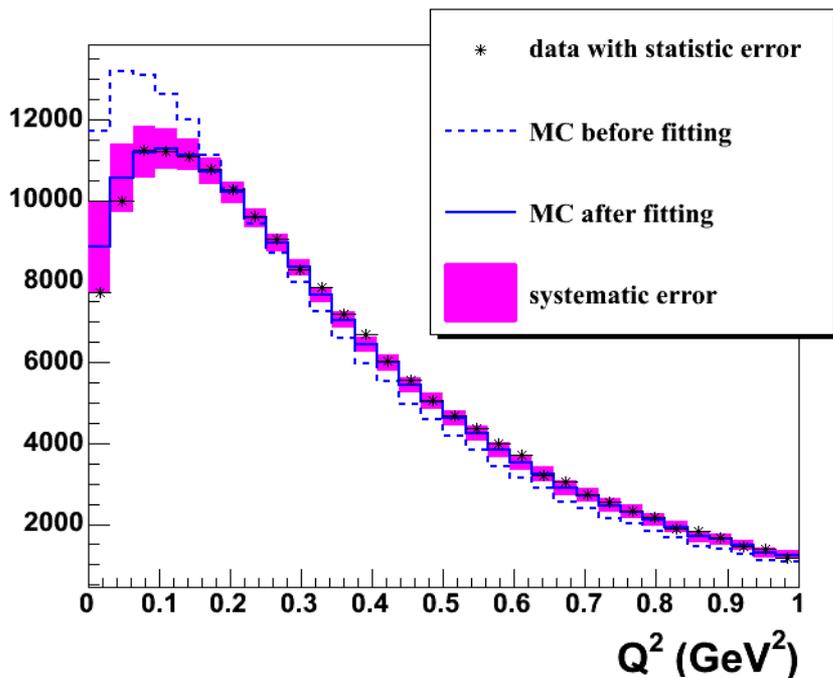
$K^+$  Data from 10 – 24 GeV.  
uses a Feynman scaling  
parameterization.

data -- points  
dash -- total error  
(fit  $\oplus$  parameterization)

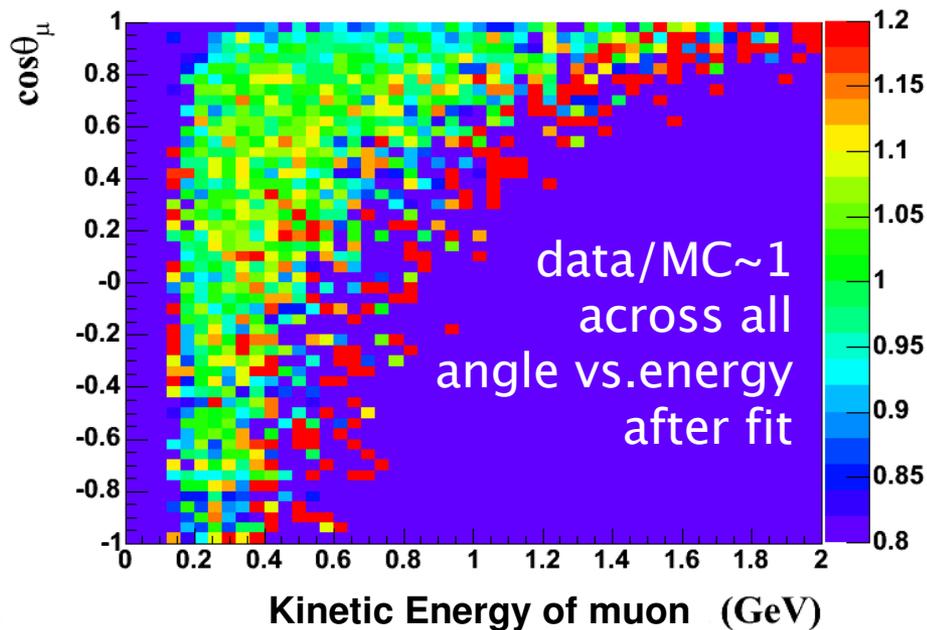
$K^0$  data are also  
parameterized.



# Tuning Nuance on internal $\nu_\mu$ CCQE data



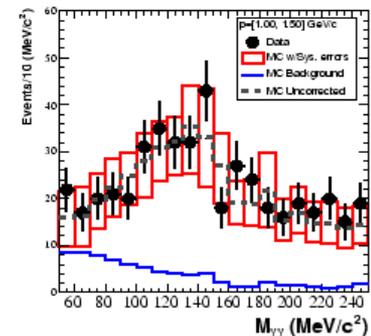
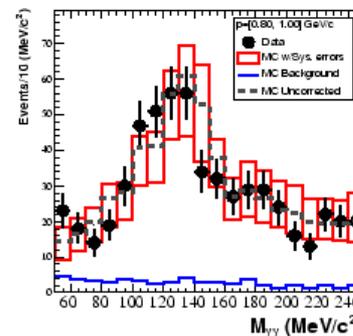
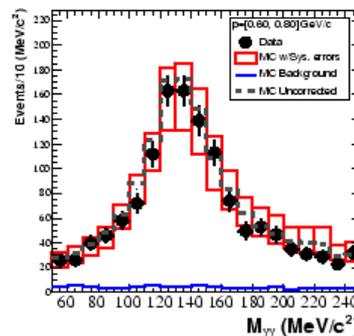
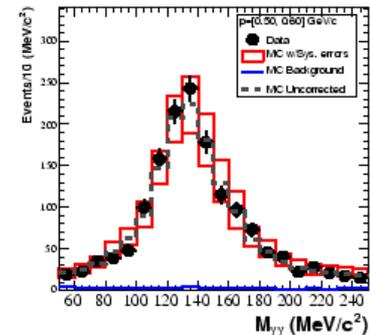
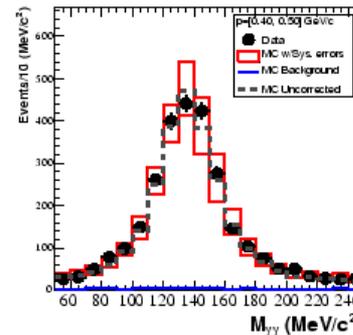
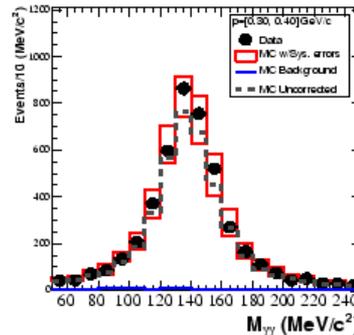
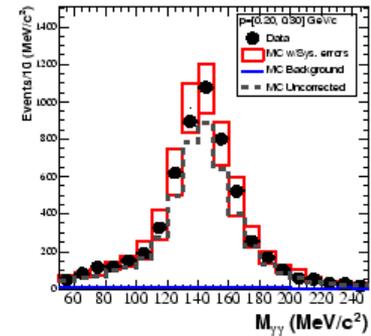
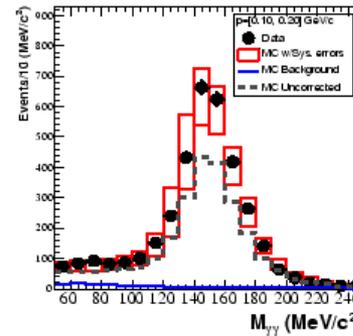
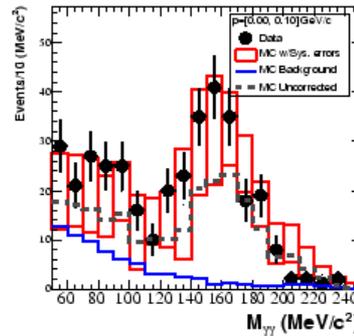
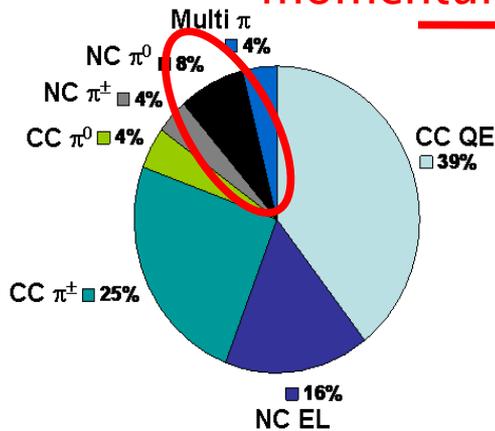
- From  $Q^2$  fits to MB  $\nu_\mu$  CCQE data:
  - ➔  $M_A^{\text{eff}}$  -- effective axial mass
  - ➔  $E_{\text{lo}}^{\text{SF}}$  -- Pauli Blocking parameter
- From electron scattering data:
  - ➔  $E_b$  -- binding energy
  - ➔  $p_f$  -- Fermi momentum
- Model describes CCQE  $\nu_\mu$  data well



# Tuning Nuance on internal NC $\pi^0$ data

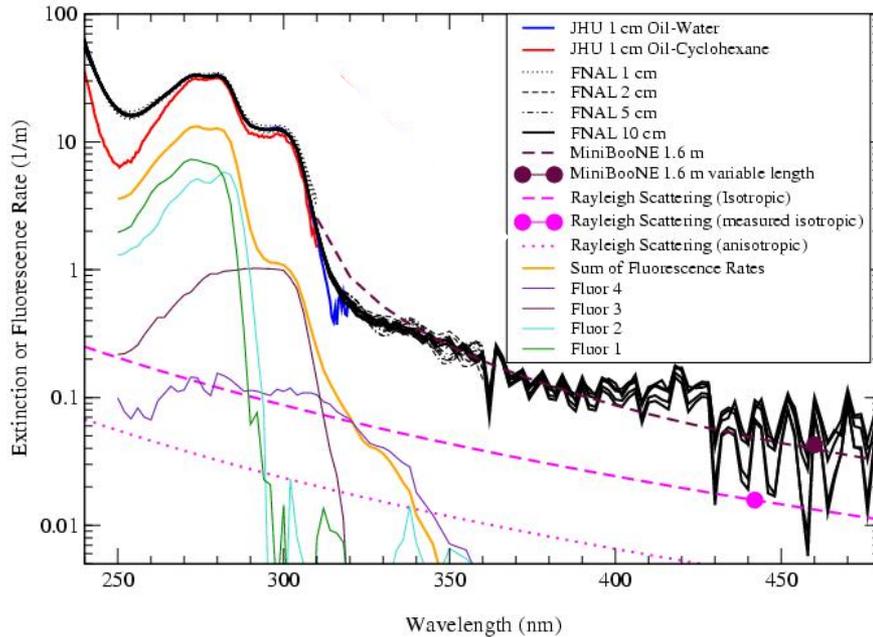
- 90%+ pure  $\pi^0$  sample (mainly  $\Delta \rightarrow N\pi^0$ )
- Measure rate as function of momentum
- Default MC underpredicts rate at low momentum
- analysis reaches 1.5 GeV
- $\Delta \rightarrow N\gamma$  also constrained (though to a lesser extent)

Invariant mass distributions in momentum bins

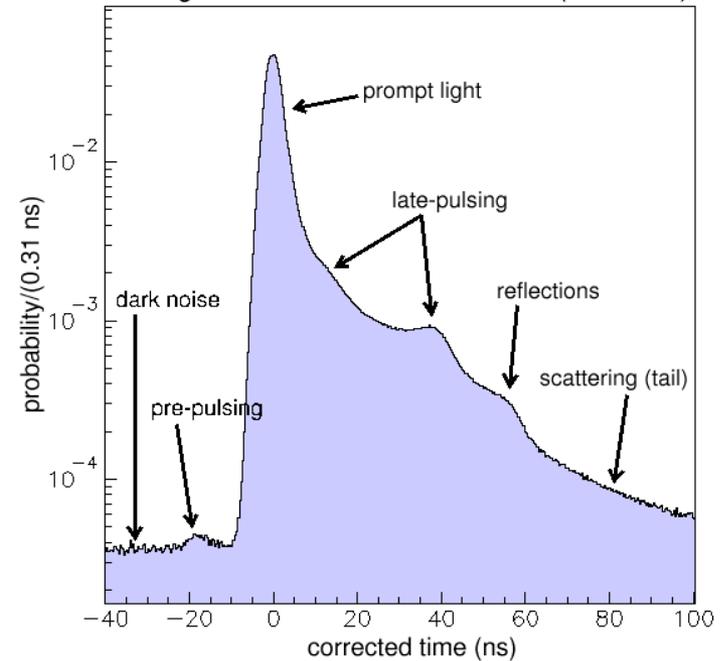


# Light propagation in the detector

Extinction Rate for MiniBooNE Marcol 7 Mineral Oil

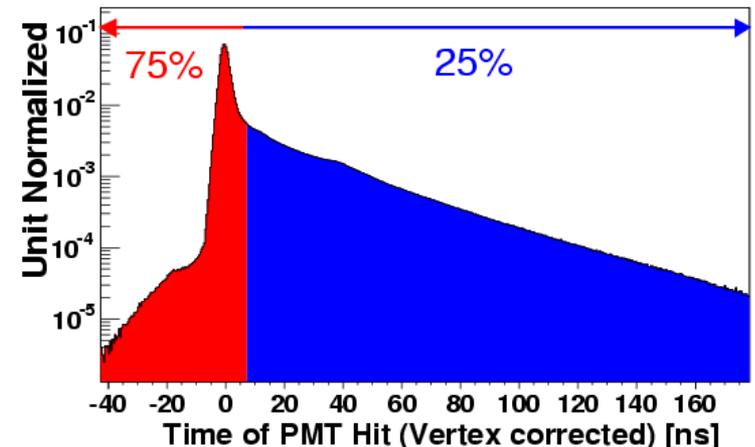


Timing Distribution for Laser Events



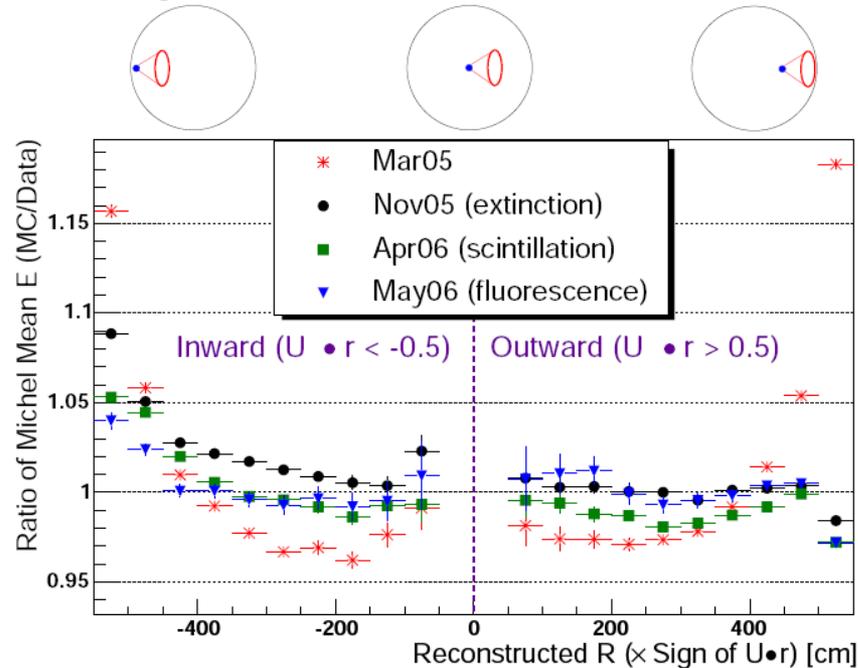
- Optical model is very complex
  - ➔ Cerenkov, scintillation, fluorescence
  - ➔ PMT Q/t response
  - ➔ Scattering, reflection, prepulses
- Overall, about 40 non-trivial parameters

Michel electron  $t$  distribution

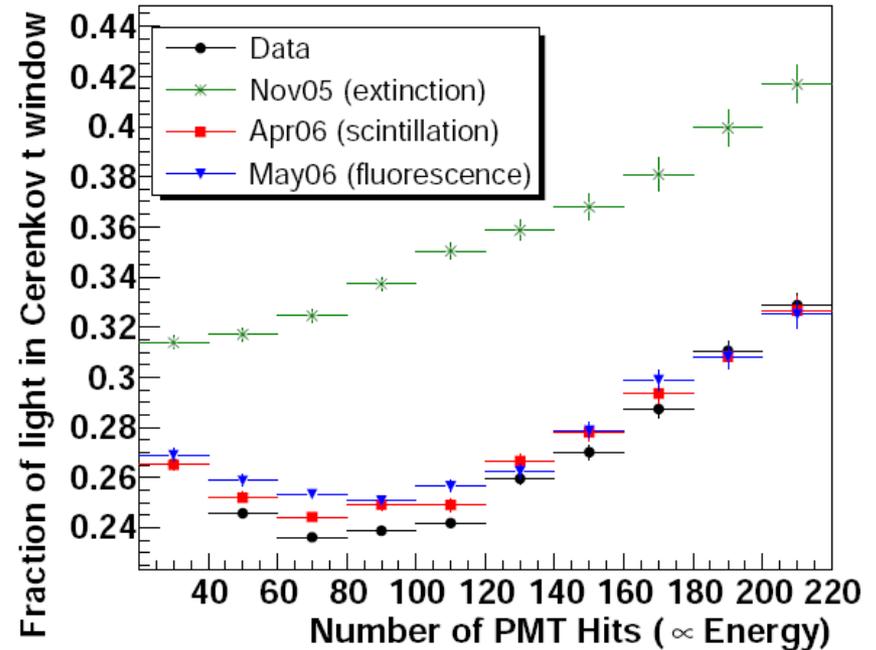


# Tuning the optical model

Using Michel electrons...



Using NC elastic  $\nu$  interactions...



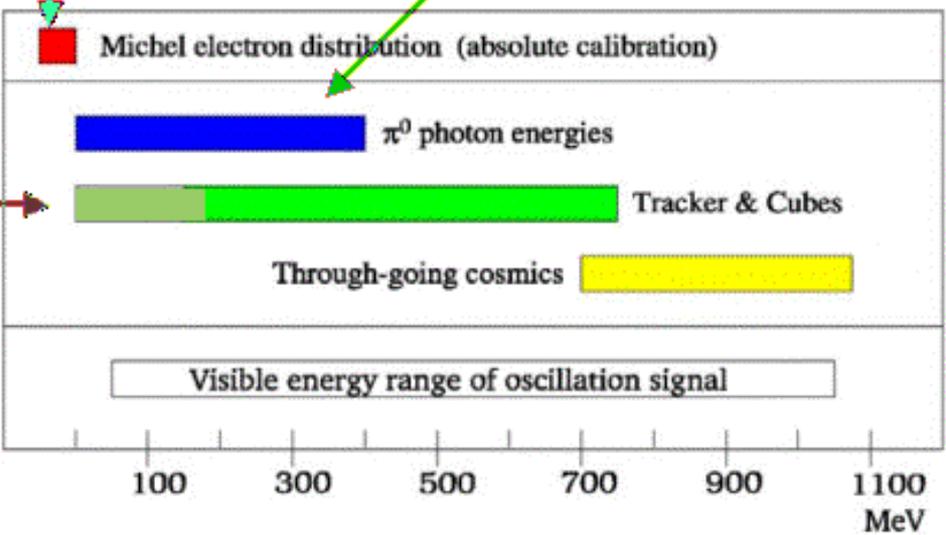
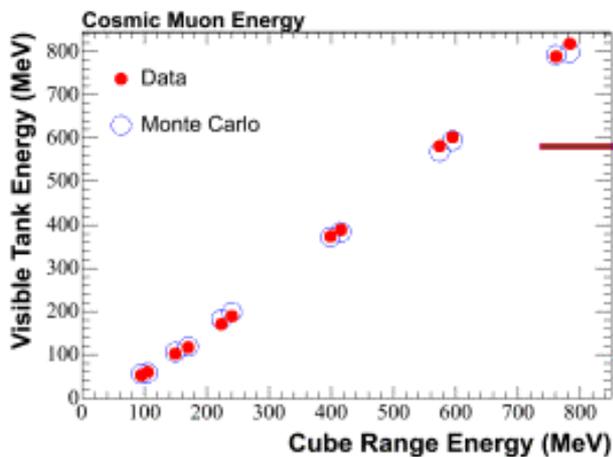
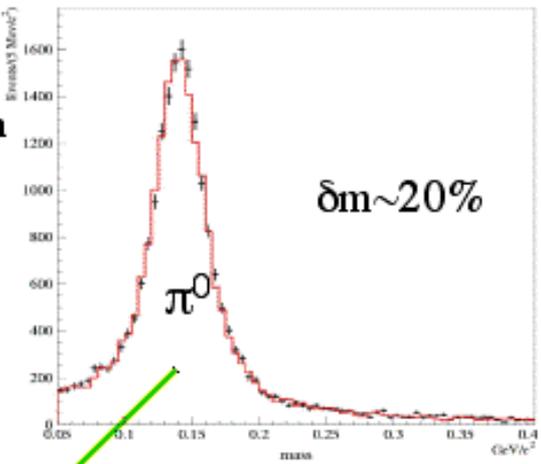
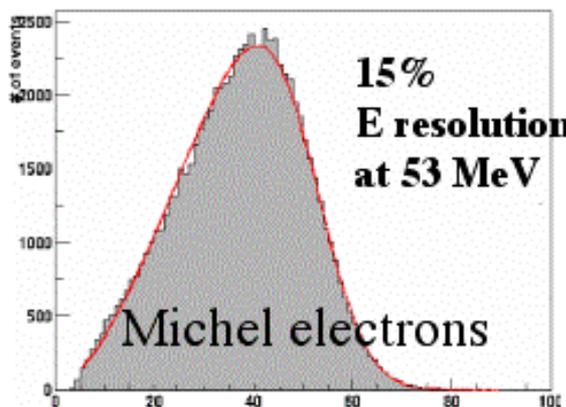
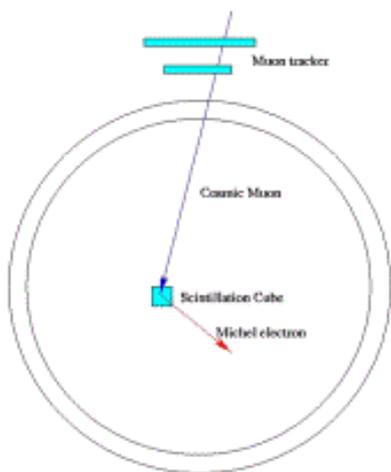
- Initial optical model defined through many benchtop measurements
- Subsequently tuned with *in situ* sources, examples
  - ➔ Left: Michel e populate entire tank, useful for tuning extinction
  - ➔ Right: NC elastic  $\nu$  interactions below Cerenkov threshold useful for distinguishing scintillation from fluorescence



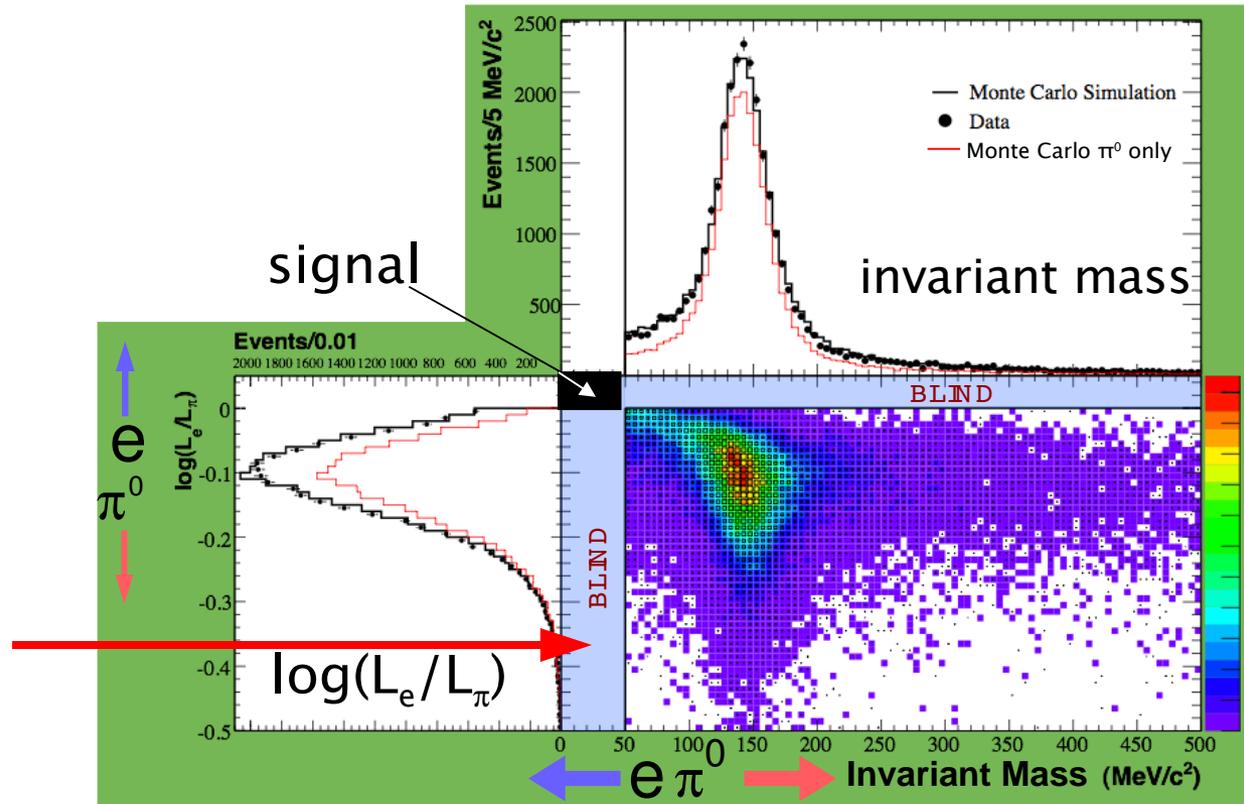
# Calibration sources span various energies

## Calibration Sources

### Tracker system



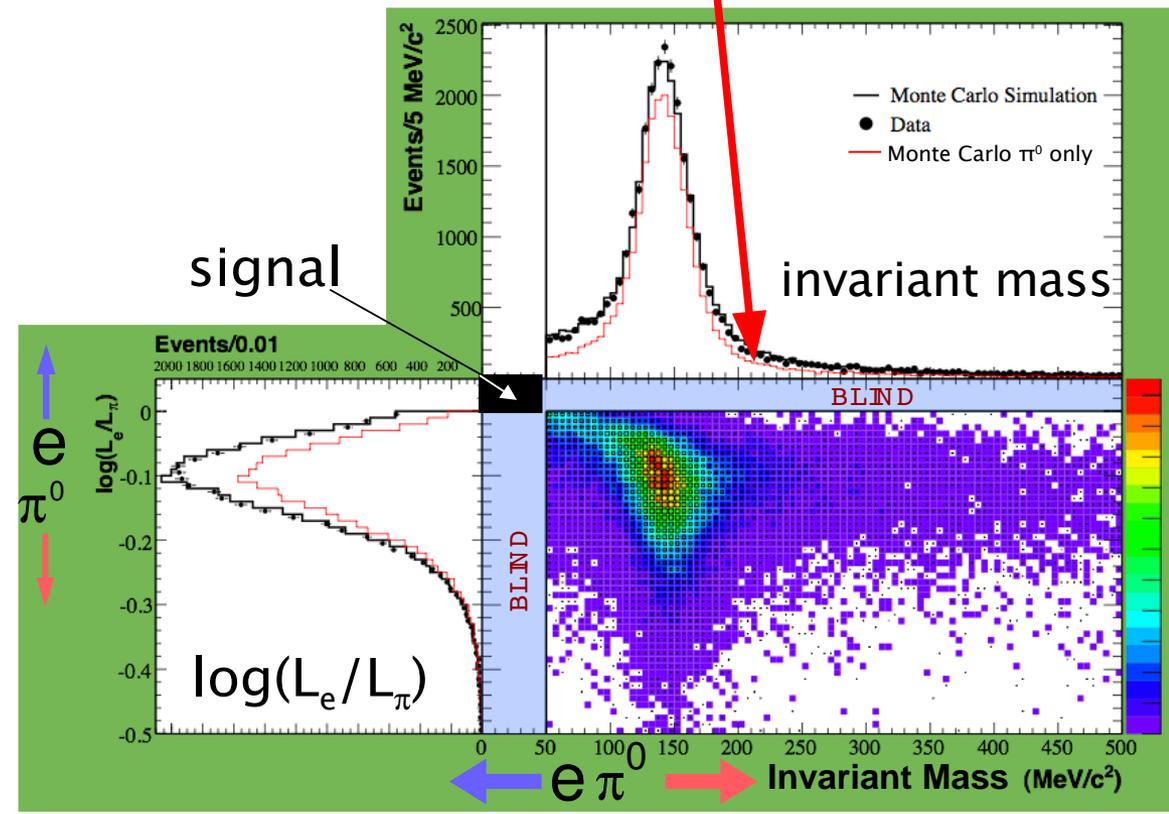
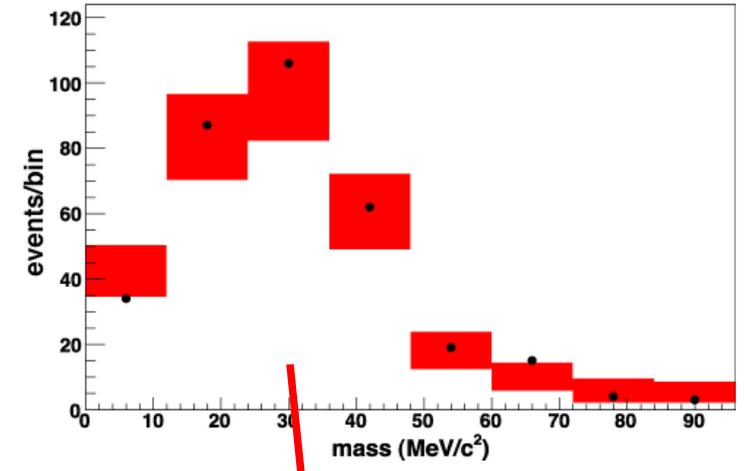
# Checking signal sidebands



# Checking signal sidebands

- Region at low  $\log(L_e/L_\pi)$

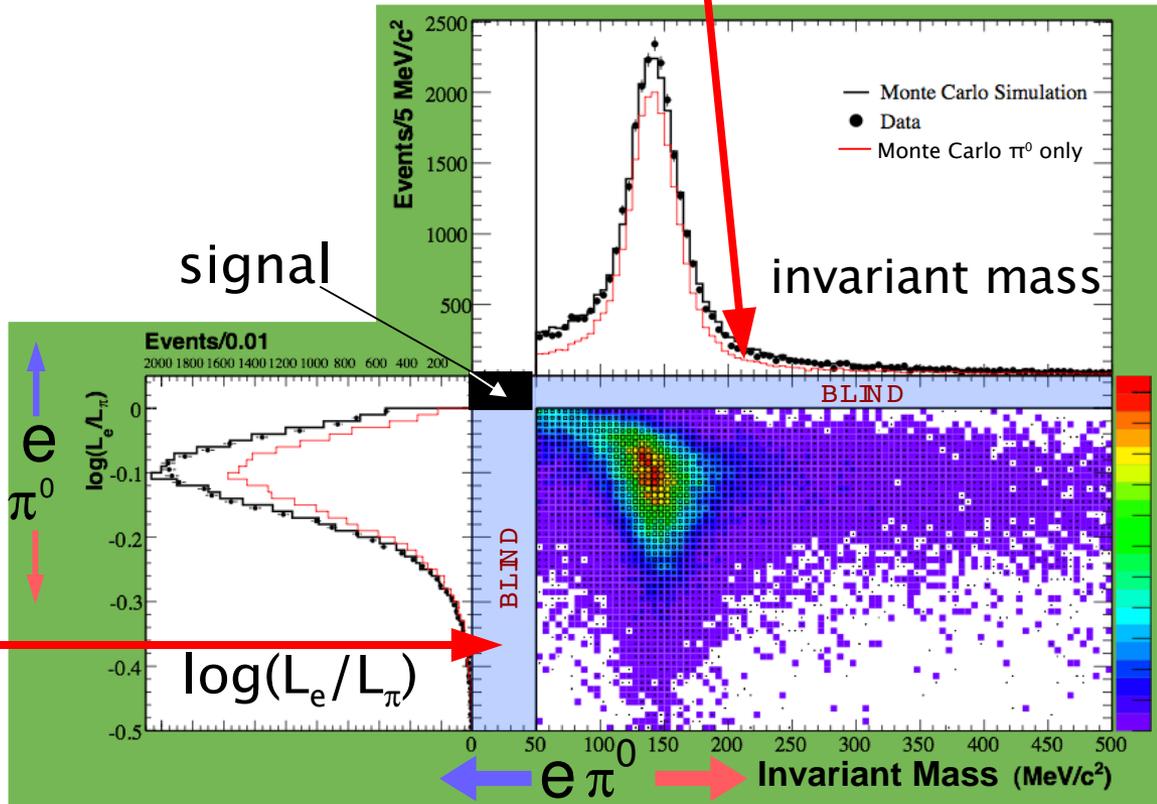
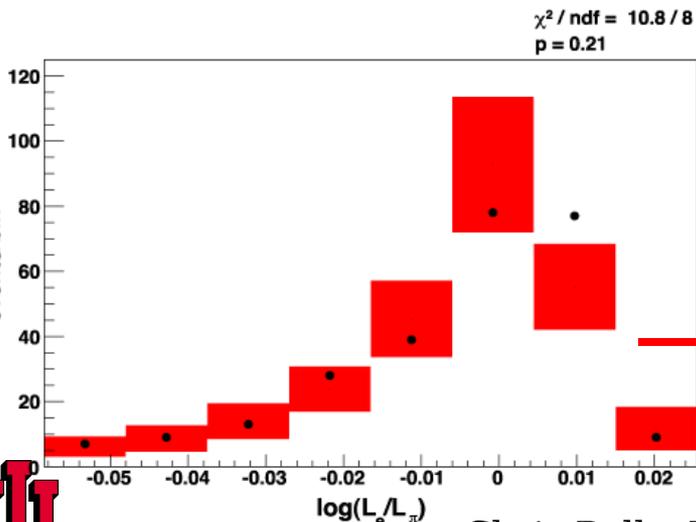
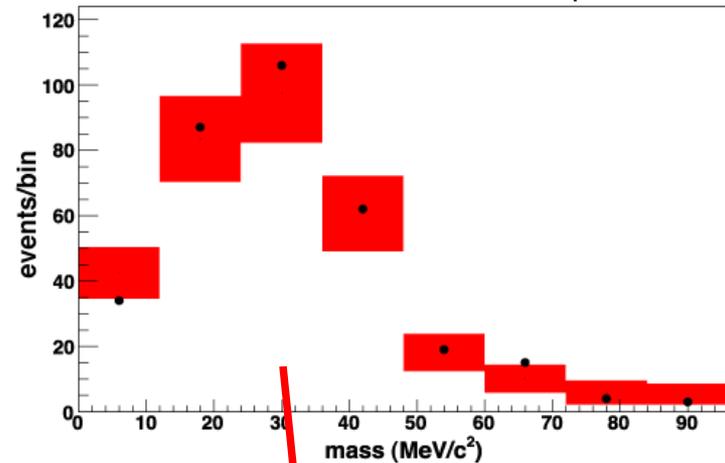
$\chi^2 / \text{ndf} = 5.7 / 8$   
 $p = 0.69$



# Checking signal sidebands

- Region at low  $\log(L_e/L_\pi)$
- Region at low invariant mass

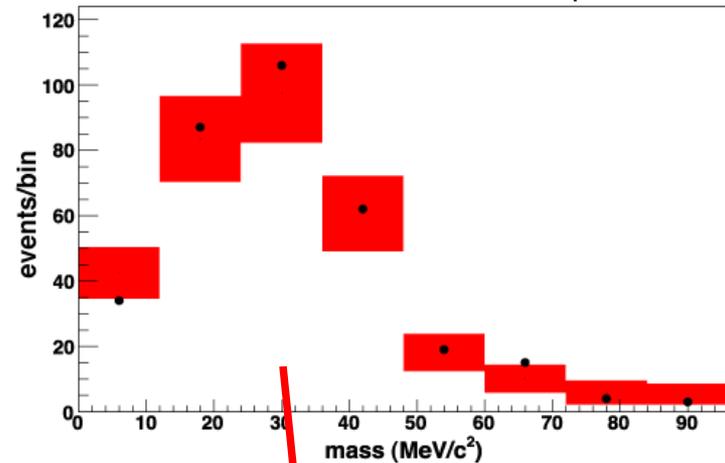
$\chi^2 / \text{ndf} = 5.7 / 8$   
 $p = 0.69$



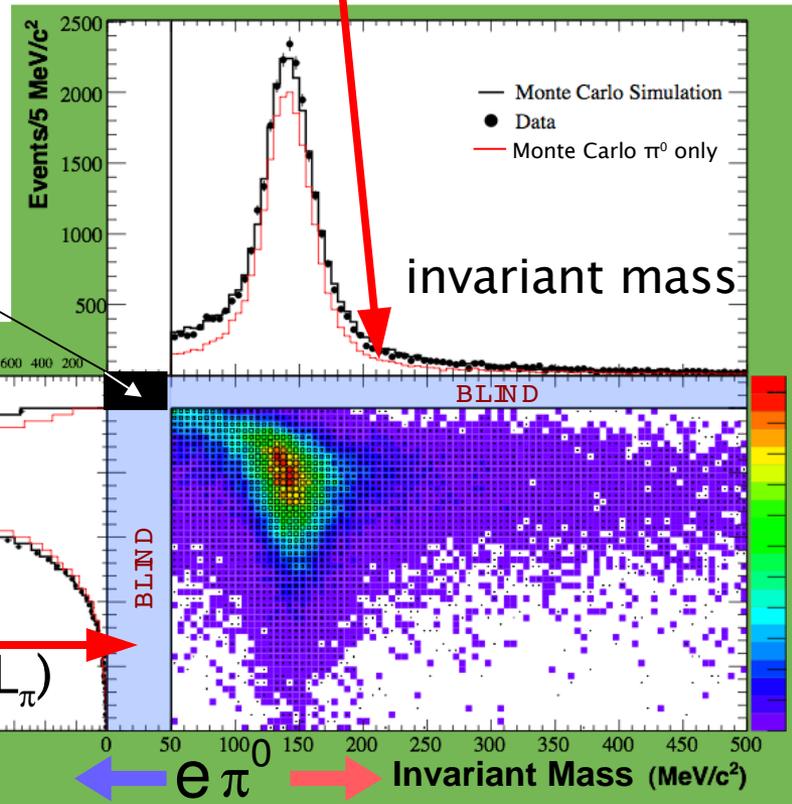
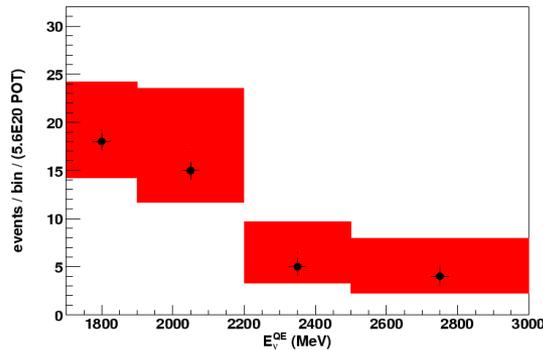
# Checking signal sidebands

- Region at low  $\log(L_e/L_\pi)$
- Region at low invariant mass
- Region in signal, but at high  $E_\nu$

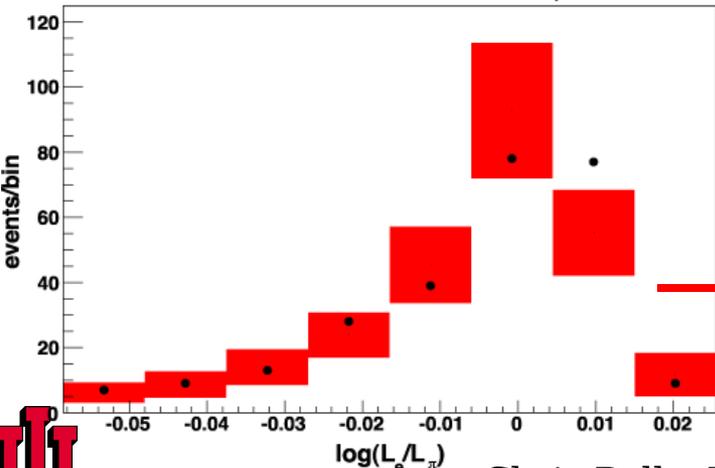
$\chi^2 / \text{ndf} = 5.7 / 8$   
 $p = 0.69$



Prediction and data for high energy electron-like events

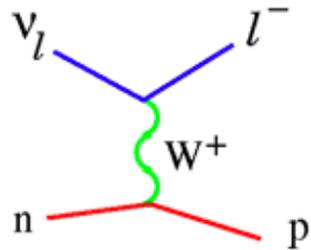


$\chi^2 / \text{ndf} = 10.8 / 8$   
 $p = 0.21$

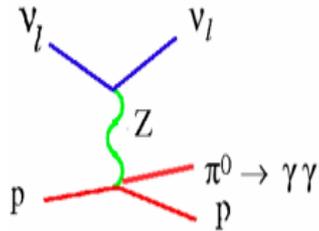


# MB cross-section analyses from NuInt07...

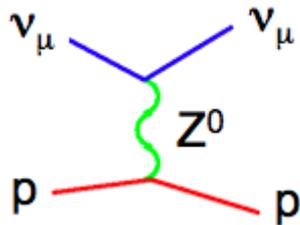
•  $\nu_\mu$  CCQE



• NC  $\pi^0$

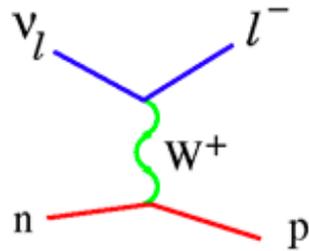


• NC elastic

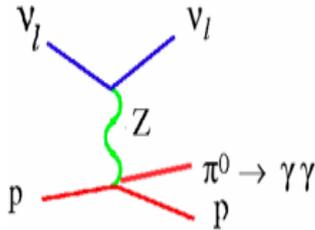


# MB cross-section analyses from NuInt07...

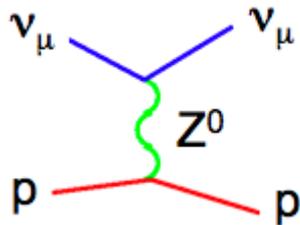
●  $\nu_\mu$  CCQE



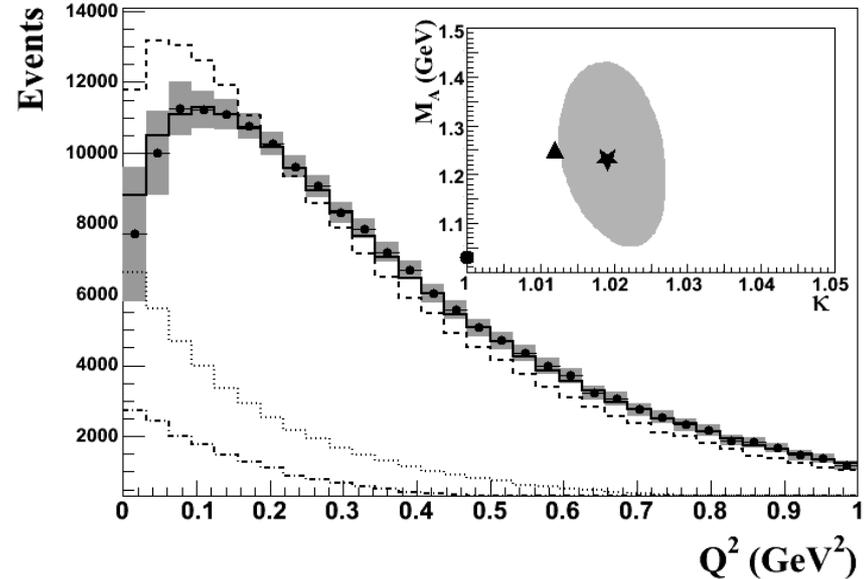
● NC  $\pi^0$



● NC elastic



$\nu_\mu$  CCQE  $Q^2$  distribution (hep-ex/0706.0926)



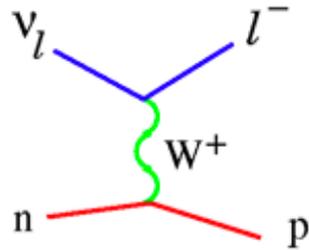
- 198,000 events allows for detailed 1 and 2d kinematic views
- Agreement between data (points) and MC (solid) after fitting for modified Fermi gas parameters
- 'Golden channel' for normalizing flux  $\times$  xsec in oscillation analysis

T. Katori, NuInt07

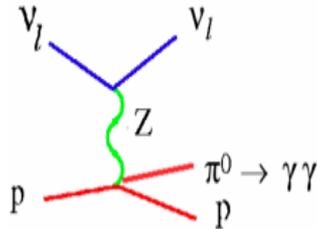


# MB cross-section analyses from NuInt07...

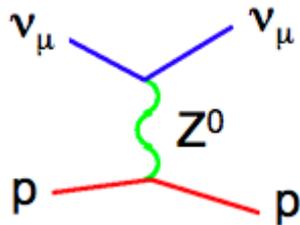
•  $\nu_\mu$  CCQE



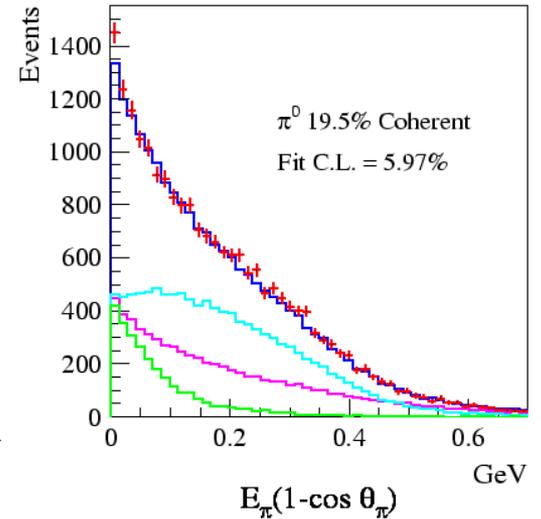
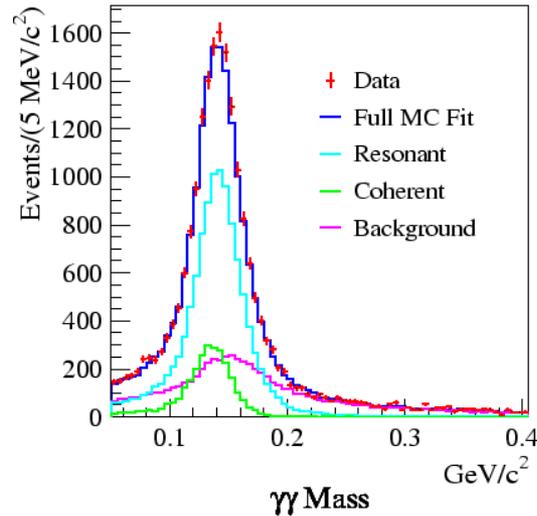
• NC  $\pi^0$



• NC elastic



## NC $\pi^0$ fits to resonant/coherent fractions



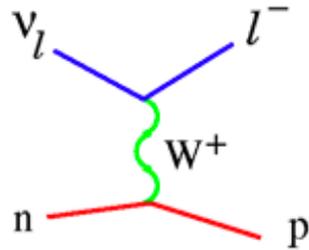
- 28,600 events, largest sample to date
- For MB flux and Nuance model we find that  $(19.5 \pm 1.1)\%$  of exclusive NC  $\pi^0$  production is coherent
- Very important background for oscillation analysis

J. Link, NuInt07

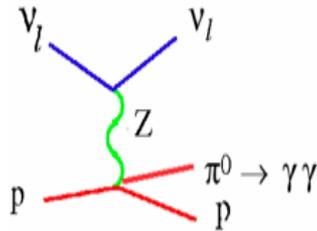


# MB cross-section analyses from NuInt07...

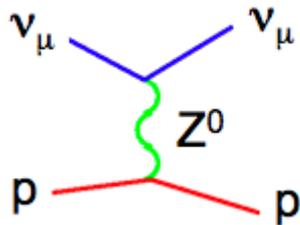
•  $\nu_\mu$  CCQE



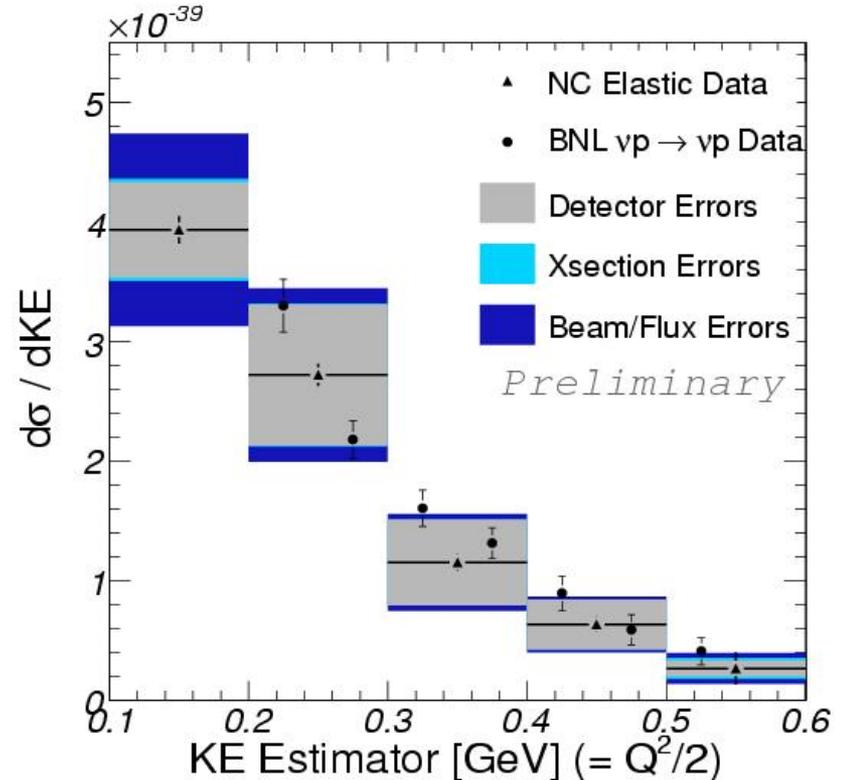
• NC  $\pi^0$



• NC elastic



## NC elastic absolute cross section



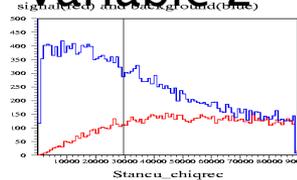
- Data shown is 10% of total sample
- Comparison to BNL E734
- First differential cross section from MB

D. Cox, NuInt07

# Decision tree example

(sequential series of cuts based on MC study)

Variable 2



1906/11828

sig-like

7849/11867

bkgd-like

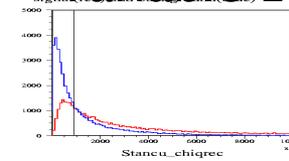
9755/23695

sig-like

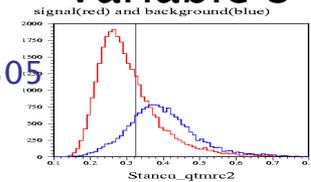
bkgd-like

$(N_{\text{signal}}/N_{\text{bkgd}})$

Variable 1



Variable 3



30,245/16,305

20455/3417

sig-like bkgd-like

9790/12888

etc.



*This tree is one of many possibilities...*

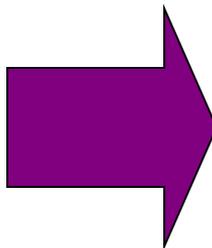
- Optimal cuts on each variable are determined
- An event gets a weight of 1 if signal -1 if background
- Hard to identify backgrounds are iteratively given more weight
- Many trees built
- PID 'score' established from ensemble



# Handling uncertainties in the analysis

What we begin with...

For a given source of uncertainty,  
Errors on a wide range of parameters in the underlying model



... what we need

For a given source of uncertainty,  
Errors in bins of  $E_{\nu}^{QE}$  and information on the correlations between bins



# Incorporating the $\nu_\mu$ constraint into the errors

## Two Approaches

TBL: Reweight MC prediction to match measured  $\nu_\mu$  result  
(accounting for systematic error correlations)

BDT: include the correlations of  $\nu_\mu$  to  $\nu_e$  in the error matrix:

$$\chi^2 = \begin{pmatrix} \Delta_i^{\nu_e} & \Delta_i^{\nu_\mu} \end{pmatrix} \begin{pmatrix} M_{ij}^{e,e} & M_{ij}^{e,\mu} \\ M_{ij}^{\mu,e} & M_{ij}^{\mu,\mu} \end{pmatrix}^{-1} \begin{pmatrix} \Delta_j^{\nu_e} \\ \Delta_j^{\nu_\mu} \end{pmatrix}$$

where  $\Delta_i^{\nu_e} = \text{Data}_i^{\nu_e} - \text{Pred}_i^{\nu_e}(\Delta m^2, \sin^2 2\theta)$  and  $\Delta_i^{\nu_\mu} = \text{Data}_i^{\nu_\mu} - \text{Pred}_i^{\nu_\mu}$

Systematic (and statistical) errors are included in  $(M_{ij})^{-1}$ ,

where  $i, j$  are bins of  $E_\nu^{\text{QE}}$



# Example: Underlying X-section parameter errors

(Many are common to  $\nu_\mu$  and  $\nu_e$  and cancel in the fit)

$M_A^{QE}, e_{lo}^{sf}$  6%, 2% (stat + bkg only)

QE  $\sigma$  norm 10%

QE  $\sigma$  shape function of  $E_\nu$

$\nu_e/\nu_\mu$  QE  $\sigma$  function of  $E_\nu$

determined from  
MiniBooNE  
 $\nu_\mu$  QE data

NC  $\pi^0$  rate function of  $\pi^0$  mom

$M_A^{coh}, coh \sigma$   $\pm 25\%$

$\Delta \rightarrow N\gamma$  rate function of  $\gamma$  mom + 7% BF

determined from  
MiniBooNE  
 $\nu_\mu$  NC  $\pi^0$  data

$E_B, p_F$  9 MeV, 30 MeV

$\Delta s$  10%

$M_A^{1\pi}$  25%

$M_A^{N\pi}$  40%

DIS  $\sigma$  25%

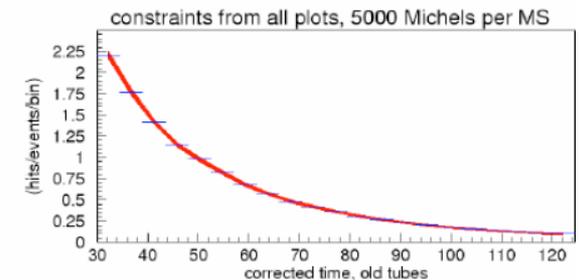
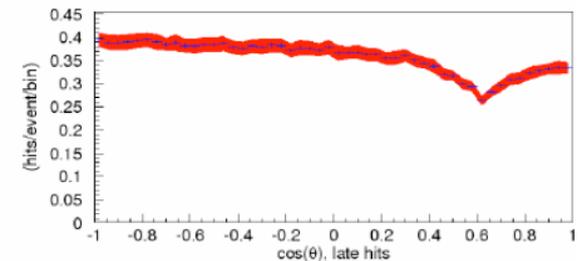
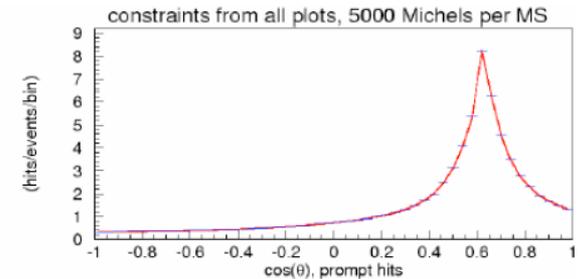
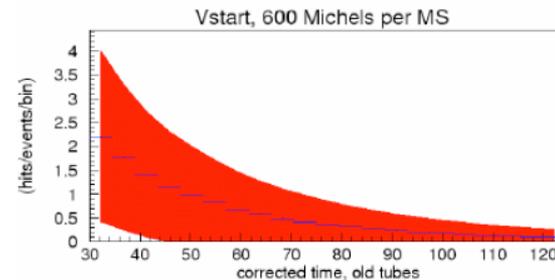
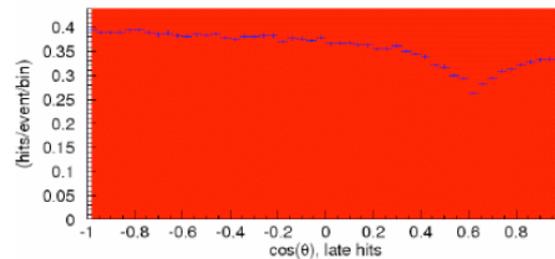
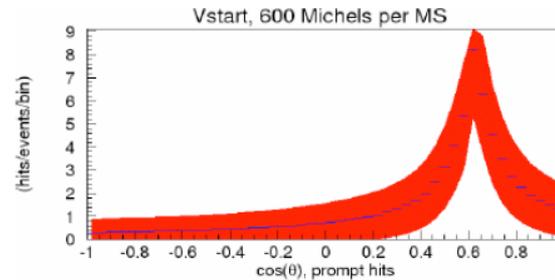
determined  
from other  
experiments



# Extracting the OM systematic error

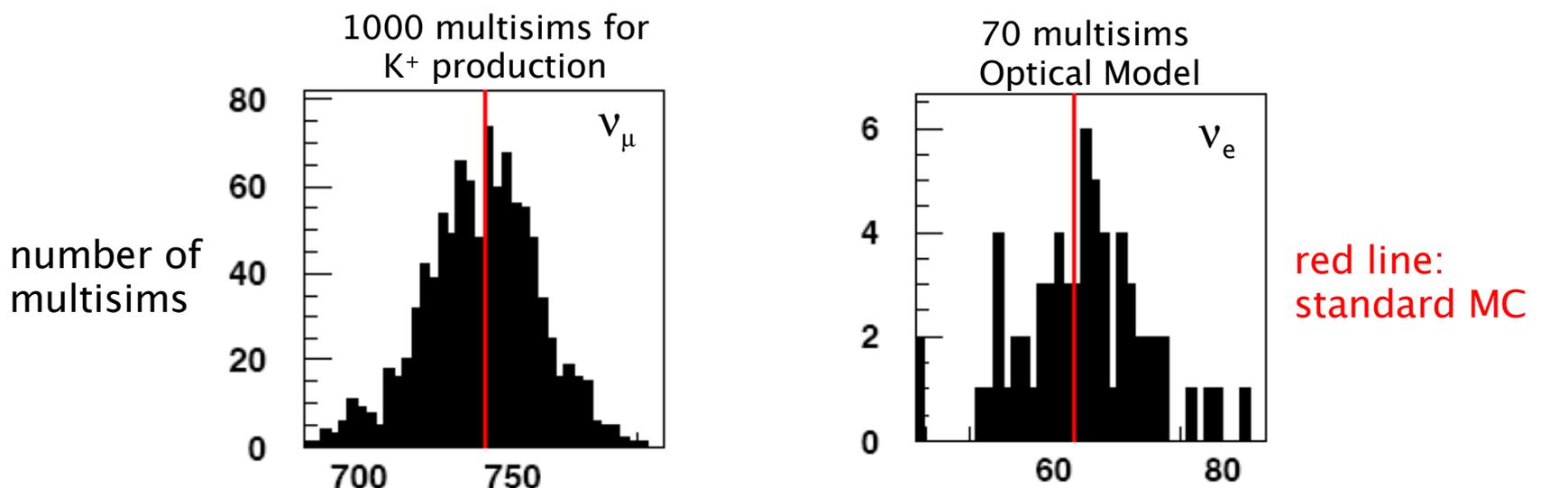
- external measurements essential
- **finish with  $\mu$  decay events (low-energy electrons)**  
(~unlimited supply and fast to simulate)

- use a Monte Carlo method to reduce uncertainty:
- compare data/MC events in relevant distributions for many allowed models
- de-weight disallowed regions of model space
- NC elastic events help out with scintillation



# “Multisim” approach to assessing systematics

- A multisim is defined as a random draw from the underlying parameter that is considered allowed
- Allowed means the draw does not violate internal or external constraints
- Draws are taken from covariance matrices that dictate how parameters are allowed to change in combination, imagine Cerenkov and scintillation as independent sources of light but requiring the Michel energy to be conserved
- For flux and X-section multisims can be done via reweighting, optical model requires running hit level simulation



Number of events passing cuts in bin  $500 < E_\nu^{QE} < 600$  MeV

Chris Polly, Wayne State Colloquium, 1 Nov 2007



# Optical model error matrix

$$E_{ij} = \frac{1}{M} \sum_{a=1}^M \left( N_i^a - N_i^{CV} \right) \left( N_j^{MC} - N_j^{CV} \right)^{MC}$$

- N is number of events passing cuts
- MC is standard monte carlo
- $\alpha$  represents a given multisim
- M is the total number of multisims
- i,j are  $E_{\nu}^{QE}$  bins

Total error matrix is calculated from the sum of 9 independent sources

TB:  $\nu_e$ -only total error matrix

BDT:  $\nu_{\mu}$ - $\nu_e$  total error matrix

Correlations between  $E_{\nu}^{QE}$  bins from the optical model:

